

This document will be used to keep track of our development of counting finite and infinite sets. I will update it as we go along.

## 1. FINITE SETS

Recall that  $[0] = \emptyset$  and  $[n] = \{1, 2, \dots, n\}$  for  $n \geq 1$ .

**Theorem 1.** *Let  $m, n \in \mathbb{N}$ . Then the following all hold:*

- (1) *If there is an injection  $f : [m] \rightarrow [n]$  then  $m \leq n$ .*
- (2) *If there is a surjection  $f : [m] \rightarrow [n]$  then  $m \geq n$ .*
- (3) *If there is a bijection  $f : [m] \rightarrow [n]$ , then  $m = n$ .*

*Proof.* We showed (1) in lecture. You will do (2) in Assignment 5. And (3) follows immediately from (1) and (2).  $\square$

**Definition 1.** If  $X$  is a set and  $n \in \mathbb{N}$ , we say that  $X$  has cardinality  $n$  (denoted  $|X| = n$ ) if there is a bijection  $f : [n] \rightarrow X$ . We say  $X$  is *finite* if  $|X| = n$  for some  $n \in \mathbb{N}$ . We say  $X$  is *infinite* if it is not finite.

**Theorem 2.** *Let  $A$  be a set. Then the following are equivalent:*

- (1)  *$A$  is finite.*
- (2) *There is an injection  $f : A \rightarrow [n]$  for some  $n \in \mathbb{N}$ .*
- (3) *There is a surjection  $f : [n] \rightarrow A$  for some  $n \in \mathbb{N}$ .*

*Proof.* It is enough to prove (1)  $\Rightarrow$  (2), (2)  $\Rightarrow$  (3) and (3)  $\Rightarrow$  (1). You can accept this theorem with out proof, though by the end of the week you should make sure you know how to prove this. We will cover a proof of a very similar theorem which will give you the main ideas.  $\square$

**Theorem 3.** *If  $X$  and  $Y$  are finite sets, and there exists a bijection  $f : X \rightarrow Y$ , then  $|X| = |Y|$*

*Proof.* You will prove this in Assignment 5.  $\square$

**Theorem 4.** *Let  $X$  be a nonempty set such that  $x \in X$  and  $y \notin X$ . Suppose that  $|X| = n$  for some natural number  $n$ . Then  $|X \cup \{y\}| = n + 1$  and  $|X \setminus \{x\}| = n - 1$ .*

*Proof.* You will prove this in Assignment 5.  $\square$

**Theorem 5.** *Every non-empty set of natural numbers has a least element.*

*Proof.* We proved this in lecture.  $\square$

**Theorem 6.** *Every non-empty finite set of natural numbers has a greatest element.*

*Proof.* The proof of this is very similar to the proof of the last theorem. This problem will be in the discussion session on Friday.  $\square$

**Theorem 7.**  $\mathbb{N}$  is infinite.

*Proof.* We proved this in lecture. □

**Theorem 8.** *If  $X$  and  $Y$  are sets, such that  $X \subseteq Y$ , and  $X$  is infinite, then so is  $Y$ .*

*Proof.* You should make sure you can prove this! □

**Theorem 9.** *Let  $X$  and  $Y$  be sets. Then the following hold:*

- (1) *If  $f : X \rightarrow Y$  is an injection and  $X$  is infinite, then  $f[X]$  is infinite.*
- (2) *If  $f : X \rightarrow Y$  is a surjection and  $Y$  is infinite, then  $f^{-1}[Y]$  is infinite.*

*Proof.* Proved in lecture. □

## 2. COUNTABILITY

**Definition 2.** A set  $X$  is *countably infinite* if there exists a bijection  $f : \mathbb{N} \rightarrow X$ . We say that  $X$  is *countable* if it is finite or countably infinite.

**Theorem 10.**  $\mathbb{N}$  and  $\mathbb{Z}$  are countably infinite.

*Proof.* Proved in class. □

**Theorem 11.**  $\mathbb{N} \times \mathbb{N}$  is countably infinite.

*Proof.* Proved in class, using a result from your homework. □

**Theorem 12.** Let  $X$  be a non-empty set. Then the following are equivalent:

- (1)  $X$  is countable.
- (2) There exists a surjection  $f : \mathbb{N} \rightarrow X$ .
- (3) There exists an injection  $f : X \rightarrow \mathbb{N}$ .

*Proof.* (Laborously) proved in class. □

**Theorem 13.** Let  $X$  be a non-empty set. Then the following are equivalent:

- (1)  $X$  is countable.
- (2) There exists a surjection  $f : C \rightarrow X$  for some countable set  $C$ .
- (3) There exists an injection  $f : X \rightarrow C$  for some countable set  $C$ .

**Theorem 14.** If  $A$  and  $B$  are countable sets, then so is  $A \times B$ .

*Proof.* Proved in class. □

**Theorem 15.**  $\mathbb{Q}$  is countable.

*Proof.* Proved in class. □

## 3. UNCOUNTABILITY

**Theorem 16.**  $\{0, 1\}^{\mathbb{N}}$  is uncountable.

**Theorem 17.**  $\mathbb{R}$  is uncountable.