

# First-order Logic (FOL)

①

- substantially more expressive logical system than PL.

- sentences in FOL have some "logical structure" as PL

$$((\dots) \vee (\dots)) \Rightarrow (\dots)$$

- except now the  $(\dots)$  won't be prop variables but basic statements involving constants, functions, and relations

- and we sprinkle in quantifiers

$$\forall x ((x \geq 1) \vee (x \leq -1) \Rightarrow x^2 \geq 1)$$

- main objects of study will be first-order structures these are sets with distinguished constants, functions, relations

$$\text{e.g. } (\mathbb{R}, 0, 1, \pi, +, \exp, \leq)$$

## Syntax of FOL

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### logical symbols

$\neg \wedge \vee \Rightarrow \Leftrightarrow \forall \exists$

### Variables

$v_0, v_1, \dots$  (lowercase now)

↳ technically all variable symbols come from above list, but we sometimes write  $x, y, z, u, v, \dots$  for variables

### equality symbol

$\approx$

(will usually write  $=$ )

(point to distinguish from  $\neq$  actual equality)

in addition to above symbols (can always use) we will work always w.r.t. a language  $L$

languages consist of constant symbols ( $c, d, \dots$ ), function symbols ( $f, g, \dots$ ) and relation symbols ( $R, S, \dots$ )

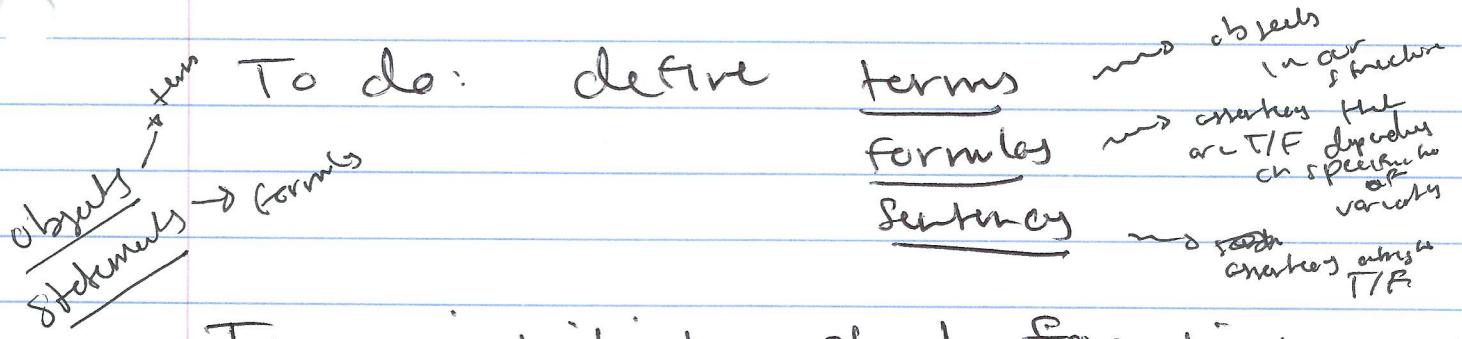
- each function and relation symbol has a fixed arity  $n \geq 1$

Sometimes will write

$$L = \langle c_0, c_1, \dots, f_0, f_1, \dots, R_0, R_1, \dots \rangle$$

e.g.  $\langle 0, 1, +, exp, \leq \rangle$  is a language with two constants (0, 1)  
a binary function symbol +  
a unary function symbol exp  
a binary relation symbol  $\leq$ .

just symbols (for now: no meaning!!)



- Terms intuitively stand for objects in a structure

- defined recursively

- every variable  $v_0$  is a term
- every constant is a term
- if  $f$  is an  $n$ -ary function symbol and  $t_1, \dots, t_n$  are terms then  $f(t_1, \dots, t_n)$  is a term

e.g

- $v_0$
  - $1$
  - $\exp(v_0 + 1)$
- are terms in  $\langle 0, 1, +, \exp, \leq \rangle$
- should write  $+ (v_0, 1)$

- Formulas also defined recursively

~~Formulas are defined recursively~~

atomic formulas

- $T$  and  $\perp$  are formulas
- if  $s, t$  are terms, then  $s \approx t$  is a formula
- if  $R$  is an  $n$ -ary relation symbol and  $t_1, \dots, t_n$  are terms then  $R(t_1, \dots, t_n)$  is a formula
- if  $\phi$  and  $\psi$  are formulas, so are
  - $\neg \phi$
  - $\phi \wedge \psi$
  - $\phi \vee \psi$
  - $\phi \Rightarrow \psi$
  - $\phi \Leftrightarrow \psi$
  - $\forall v_i \phi$
  - $\exists v_i \phi$

⑧

e.g. if our language is  $(0, 1, +, \exp, \leq)$   
then

$1 + v_5$ ,  $\exp(v_7)$ ,  $v_7$ ,  $0$   
are terms

$1 + v_5 \leq \exp(v_7)$ ,  $v_7 = 0$   
~~to be~~ <sup>are</sup> atomic formulas

$\forall v_5 (1 + v_5 \leq \exp(v_7) \Rightarrow v_7 = 0)$

is a formula

→ sometimes use  $x, y, z, u, v$  for variables  
for readability

e.g.  $\forall x (1 + x \leq \exp(y) \Rightarrow y = 0)$

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(i)

## Free variables

IF  $\mathcal{C}$  is  $u + u \leq 1$  (should write:  $\leq (+(u, u), 1)$ ), we say  $u$  is free in  $\mathcal{C}$  since intuitively truth of  $\mathcal{C}$  depends on specification of  $u$ .

OTOH  $u$  is not free in  $\forall u (u + u \leq 1)$  since intuitively this formula is outright true/false.

Inductively we define this idea of

"occurs freely in" (basically:  $u$  occurs freely until its quantified out)

- No variables occur freely in  $\top$  or  $\perp$
- $u$  occurs freely in  $s = t$  iff  $u$  occurs in  $s$  or  $t$
- $u$  occurs freely in  $R(t_0, \dots, t_{n-1})$  iff  $u$  occurs in  $t_i$  for some  $i < n$
- $u$  occurs freely in  $\neg \mathcal{C}$  iff  $u$  occurs freely in  $\mathcal{C}$ .
- $u$  occurs freely in  $\mathcal{C} * \mathcal{D}$  iff  $u$  occurs freely in  $\mathcal{C}$  or  $\mathcal{D}$ , where  $*$  is  $\wedge$  or  $\vee$ .
- $u$  occurs freely in  $\forall v \mathcal{C}$  and  $\exists v \mathcal{C}$  iff  $u$  occurs freely in  $\mathcal{C}$  and  $u \neq v$

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(ii)

the point: if  $u$  is free in  $\mathcal{L}$   
then  $u$  is no longer free in  $\forall u \mathcal{L}$   
and  $\exists u \mathcal{L}$ .

e.g.  $u$  occurs freely in  $u+v=7$   
but  $u$  is not free in  $\forall u(u+v=7)$   
but  $v$  is still free in this ~~formula~~ formula.

Warning:  $u$  does ~~not~~ occur freely in

$$[\forall u(u+u \geq u)] \wedge u=3$$

↑  
bound here

↑  
free here.

~~Warning~~ This is a well-formed  
formula, but would be bad actual  
mathematical style to reuse a  
single variable in this way.

- a sentence is a formula w/  
no free variables

e.g.  $0=1$  and  $\forall u(u=u)$   
are sentences but  $u=1$  is not.

## Substitution

often when calculating, useful to substitute:

$$\begin{aligned} \text{e.g. } u &= z^2 \\ v+u &= 12 \\ v+z^2 &= 12 \end{aligned}$$

Before we can do "proofs" like this, need to define syntactically what it means to substitute terms for variables

e.g. if  $t$  is the term  $v+u$  and  $r$  is the term  $z^2$ , we'll write  $t(u/r)$  for the term  $v+z^2$ .

Substitution into terms:

Suppose  $t$  is a term and  $u$  is a variable and  $r$  is a term:

- if  $u$  does not occur in  $t$  then  $t(u/r)$  is  $t$
- if  $t$  is the variable  $u$  then  $t(u/r)$  is  $r$ .
- if  $t$  is  $F(t_0, \dots, t_{n-1})$  then  $t(u/r)$  is  $F(t_0(u/r), \dots, t_{n-1}(u/r))$



e.g. if  $t$  is  $\exp(utv)$   
 then  $t(x+z)$  is  $\exp((1+z)v)$

(iv)

Substitution into Formulas.

Same idea: e.g. if  $\mathcal{Q}$  is  
 the formula  $u + z = v$   
 and  $r$  is the term  $x + 1$  then  
 $\mathcal{Q}(u/r)$  is  $(x + 1) + z = v$

Inductive def'n.

$\mathcal{Q}$  is a formula,  $u$  a variable,  
 $r$  a term

- if  $\mathcal{Q}$  is  $\perp$  or  $\top$  then  $\mathcal{Q}(u/r)$  is  $\mathcal{Q}$
- if  $\mathcal{Q}$  is  $s = t$  then  $\mathcal{Q}(u/r)$  is  $s(u/r) = t(u/r)$
- if  $\mathcal{Q}$  is  $R(t_0, \dots, t_{n-1})$  then  $\mathcal{Q}(u/r)$  is  $R(t_0(u/r), \dots, t_{n-1}(u/r))$
- if  $\mathcal{Q}$  is  $\neg \mathcal{X}$  then  $\mathcal{Q}(u/r)$  is  $\neg \mathcal{X}(u/r)$
- if  $\mathcal{Q}$  is  $\mathcal{Y} \wedge \mathcal{X}$  then  $\mathcal{Q}(u/r)$  is  $\mathcal{Y}(u/r) \wedge \mathcal{X}(u/r)$  where  $\wedge$  is  $\wedge$  or  $\exists$
- if  $\mathcal{Q}$  is  $\exists v \mathcal{Y}$  then:
  - if  $u$  is  $v$  then  $\mathcal{Q}(u/r)$  is  $\mathcal{Q}$
  - if  $u$  is not  $v$  then  $\mathcal{Q}(u/r)$  is  $\exists v \mathcal{Y}(u/r)$
- if  $\mathcal{Q}$  is  $\forall v \mathcal{X}$ 
  - if  $u$  is  $v$  then  $\mathcal{Q}(u/r)$  is  $\mathcal{Q}$
  - if  $u$  is not  $v$  then  $\mathcal{Q}(u/r)$  is  $\forall v \mathcal{X}(u/r)$

(c)

(v)

point of last two bullets: non-free <sup>occure</sup> of a ~~variable~~ variable can't be substituted!

More examples:

Suppose  $\phi$  is the formula  $R(u, v)$  where  $R$  is a binary relation symbol and  $u, v$  are distinct variables,  $c, d$  are distinct constants

- $\phi(u/v)$  is  $R(v, v)$
- $\phi(v/u)$  is  $R(u, u)$
- $\phi(u/v)(v/u)$  is also  $R(u, u)$
- $\phi(v/u)(u/v)$  is  $R(v, v)$
- $\phi(u/c)$  is  $R(c, v)$
- $\phi(v/d)$  is  $R(u, d)$
- $\phi(u/c)(v/d)$  is  $R(c, d)$
- $\phi(v/d)(u/c)$  is  $R(c, d)$  also

Now suppose  $\phi$  is  $(\forall u R(u, v)) \vee (\exists v S(u, v))$

where  $S$  is another binary relation symbol.

Then  $\phi(u/v)$  is  $(\forall u R(u, v)) \vee (\exists v S(v, v))$   
 $\phi(v/u)$  is  $(\forall u R(u, u)) \vee (\exists v S(u, v))$

(i)

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## Structures

- Given a language, e.g.  $\langle 0, 1, +, \exp, \leq \rangle$ , ~~where~~ a structure in this language is a set in which we interpret these symbols as actual constants, functions, and relations
- Before interpretation, symbols are just symbols
- the same symbol may be interpreted in different ways over two different structures

## Formal def'n.

- Fix a language  $L$
- a structure  $A$  in this language consists of:
  - a set  $|A|$  (called the universe of  $A$ )
  - for each  $c \in L$ , an element  $c^A \in |A|$

- For each  $n$ -ary function symbol  $f \in L$ , an  $n$ -ary function  $f^A : |A|^n \rightarrow |A|$
- For each  $n$ -ary relation symbol  $R \in L$ , an  $n$ -ary relation  $R^A \subseteq |A|^n$ .

~~scribble~~

### Examples

① Let  $L = \langle 0, 1, +, \text{exp}, \leq \rangle$

Consider the structure  $A$  in which  $|A| = \mathbb{R}$ ,

$0^A$	$\cup$	"the real 0"
$1^A$	$\cup$	"the real 1"
$+^A$	$\cup$	"+"
$\text{exp}^A$	$\cup$	"exponential function"
$\leq^A$	$\cup$	"less than or equal to"

then  $A = \langle \mathbb{R}, 0^A, 1^A, +^A, \text{exp}^A, \leq^A \rangle$   
 $\cup$  a structure in this language.

② Now consider the structure  $B$  in which  $|B| = \mathbb{R}$ , and  $0, 1, \text{exp} \in$  are interpreted as before, but  $+^B \cup$  the function defined by  $x +^B y = x \cdot y - 2$ .

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Then  $B = \langle \mathbb{R}, 0^B, 1^B, +^B, \exp^B, \leq^B \rangle$

is also a structure in this language.

We have

$$(5 +^A 7) +^A 2 = 14$$

but

$$(5 +^B 7) +^B 2 = (5 \cdot 7 - 2) \cdot 2 - 2 \\ = 64$$

③ - Let  $L = \langle C, R \rangle$  be a language with a single constant symbol and single binary relation symbol.

- Let  $T$  denote the set of finite 01-sequences:

$$T = \{ F \mid \text{dom}(F) = n \text{ for some } n \in \mathbb{N} \\ \text{ran}(F) \subseteq \{0, 1\} \}$$

includes  $\emptyset$

Think of  $F$  elts as sequences

e.g.  $\langle 0, 0, 1 \rangle$

$\langle 0, 0, 1, 0 \rangle$ .

- Let  $A$  be the structure

with  $|A| = T$

$c^A = \emptyset$

(iv)

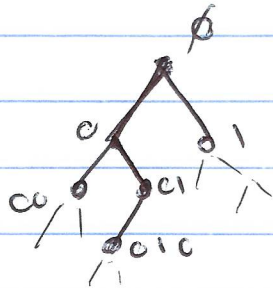
(14)

and  $R^A$  is the "extension" relation

i.e.

$$R^A(f, g) \text{ if } \text{dom}(f) \leq \text{dom}(g) \text{ and } g \upharpoonright \text{dom}(f) = f$$

e.g.  $R^A(\langle 0, 1 \rangle, \langle 0, 1, 0 \rangle)$  is true  
but not  $R^A(\langle 0, 0 \rangle, \langle 0, 1, 0 \rangle)$



Then  $A = \langle T, c^A, R^A \rangle$

is the "rooted binary tree ordered by end-extension."

a nonexample

- functions  $f$  for  $w$  in a structure  $A$  go from  $|A|^n$  (for some  $n$ ) to  $|A|$

- e.g. suppose  $L = \langle f \rangle$  is a language with a single function symbol.

(v)

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- then if  $A$  is the ~~set~~ "structure" with  $|A| = \mathbb{R}$  and  $F^A: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by  $F^A(x, y) = (x+y, x-y)$  then  $A = \langle \mathbb{R}, F^A \rangle$  is not a structure at all.

(not an important issue, just pointing it out)

### Semantics:

- New way to define  $\text{Truth}_A(\mathcal{L})$  <sup>sentence</sup>  
For a structure  $A$

↳ roughly:  $\text{Truth}_A(\mathcal{L}) = 1$  iff  $\mathcal{L}$  is true (relative to  $A$ 's interp. of symbols in  $\mathcal{L}$ ).

- First need to say how to eval. closed terms (recall: a term is closed if it contains no variables)

↳ if  $t$  is a closed term,  $t^A$  is defined inductively:

- if  $t$  is  $c$  a constant then  $t^A$  is  $c^A$
- if  $t$  is  $f(t_1, \dots, t_n)$  then  $t^A$  is  $f^A(t_1^A, \dots, t_n^A)$

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e.g. if  $A = (\mathbb{R}, 1^A, +^A)$  ↙ usual interp  
and  $t$  is the term  
 $(1+1)+1$

then

$$\begin{aligned}t^A &= (1+1)^A +^A 1^A \\ &= (1^A +^A 1^A) +^A 1^A \\ &= 3\end{aligned}$$

Expanding by a new constant:

- need the following to deal w/  
structures w/ quantifiers

- Sps  $A$  is a structure and  $x \in |A|$   
- can't refer to  $x$  explicitly in  
formulas unless  $c^A = x$  for some  
constant symbol  $c$ .

- if there is no such  $c$ , can  
introduce a new symbol  $c_x$   
and define  $c_x^A = x$ .

- technically we have defined a  
new structure, which we call  
 $(A, x)$



So that:

- $c(A, x) = c^A$
- $f(A, x) = f^A$
- $R(A, x) = R^A$
- $c_x(A, x) = x$

For all  $c \neq c_x$

\* See example next page

- With this notation can finally define  $\text{Truth}_A$

-  $\text{Truth}_A : \{ \phi : \phi \text{ a sentence} \} \rightarrow \{0, 1\}$

is unique function st.

- $\text{Truth}_A(\top) = 1$      $\text{Truth}_A(\perp) = 0$
- if  $\phi$  is  $s \approx b$

then  $\text{Truth}_A(\phi) = 1$  iff  $s^A = t^A$

↑  
must be closed  
term if  $\phi$

• if  $\phi$  is  $R(t_1, \dots, t_n)$

then  $\text{Truth}_A(\phi) = 1$  iff  
 $R^A(t_1^A, \dots, t_n^A)$

•  $\text{Truth}_A$  is defined as in PL  
for  $\neg \phi$   $\phi \wedge \psi$   $\phi \vee \psi$   $\phi \Rightarrow \psi$   $\phi \Leftrightarrow \psi$

• if  $\phi$  is  $\exists x \psi$

example: Suppose  $L = \langle 0, 1, +, x \rangle$   
 and  $A = \langle \mathbb{R}, c^A, 1^A, +^A, x^A \rangle$  where  
 all interpretations are the usual  
 ones.

We can't write  
 $\sqrt{2} \times \sqrt{2} = 2$   
 in this language.

So we expand our structure.

$(A, \sqrt{2})$  is a structure in the  
 language  $\langle 0, 1, c_{\sqrt{2}}, +, x \rangle$

We have

$$(A, \sqrt{2}) = \langle 0^{(A, \sqrt{2})}, 1^{(A, \sqrt{2})}, c_{\sqrt{2}}^{(A, \sqrt{2})}, +^{(A, \sqrt{2})}, x^{(A, \sqrt{2})} \rangle$$

where all interp are same as  $A$   
 but now  $c_{\sqrt{2}}^{(A, \sqrt{2})} = \sqrt{2}$

We have

$$(A, \sqrt{2}) \models c_{\sqrt{2}} \times c_{\sqrt{2}} = 1 + 1$$

In order to write 2 directly,  
 would need another symbol...

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then  $\text{Truth}_A(\varphi) = 1$  iff there exists  
 $x \in |A|$  s.t.  $\text{Truth}_{(A,x)}(\varphi(y/c_x)) = 1$

• if  $\varphi$  is  $\forall u \psi$  then  $\text{Truth}_A(\varphi) = 1$   
iff for every  $x \in |A|$ ,  $\text{Truth}_{(A,x)}(\psi(y/c_x)) = 1$

example let  $A = \langle \mathbb{R}, 0^A, 1^A, +^A \rangle$

① if  $\varphi$  is  $1+1=0$   
then  $\text{Truth}_A(\varphi) = 0$   
since  $1^A + 1^A \neq 0^A$

(but  $\text{Truth}_A(\neg\varphi) = 1$ )

② if  $\varphi$  is  $\exists u (u+1=0)$   
then  $\text{Truth}_A(\varphi) = 1$

why: let  $\psi$  be  $u+1=0$   
Introduce a new symbol  $c_{-1}$   
and interpret  $c_{-1}$  as  $-1$  in  
the expanded structure  $(A, c_{-1})$

Then ~~the structure  $(A, c_{-1})$  is a model of  $\psi$~~

~~since~~  $\psi(y/c_{-1})$  is  
 $c_{-1} + 1 = 0$

Hence  $\text{Truth}_{(A, c_{-1})}(\psi(y/c_{-1})) = 1$

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Hence  $\text{Truth}_A(\mathcal{U}) = 1$  ✓

Some more terminology (same as PL)

- a set of sentences  $\Sigma$
- is called a theory
- $A \models \mathcal{U}$  means  $\text{Truth}_A(\mathcal{U}) = 1$
- $A \models \Sigma$  iff  $A \models \mathcal{U}$  for all  $\mathcal{U} \in \Sigma$
- $\mathcal{U} \models \mathcal{V}$
- $\mathcal{U} \models \mathcal{V}$

how we usually indicate  $\text{Truth}_A(\mathcal{U}) = 1$

$\Sigma \models \mathcal{U}$  have some meanings

- $\mathcal{U}$  is valid if every structure is a model of  $\mathcal{U}$  (we write  $\models \mathcal{U}$ )
- $\mathcal{U}$  is satisfiable if it has a model
- $\Sigma$  is satisfiable if there is  $A$  s.t.  $A \models \mathcal{U}$  for all  $\mathcal{U} \in \Sigma$ .

Some more examples

① Let  $L = \{<\}$  be a language with a single binary relation symbol and let

$$A = \langle \mathbb{Q}, <^A \rangle$$

↖ usual interpretation

Then we have

$$\begin{aligned} A \models \forall x \exists y (y < x) \\ A \not\models \exists y \forall x (y < x) \\ A \models \forall x \forall y (x < y \Rightarrow \exists z (x < z \wedge z < y)) \end{aligned}$$

One theme of FOL is that it can be annoying to refer to elements  $x \in |A|$  unless you have a constant symbol that interprets as  $x$ .

② Consider the structures

$$\begin{aligned} L &= \{<, x, \cdot\} \\ L' &= \{<, x, \cdot\} \\ L'' &= \{<, x, \cdot\} \end{aligned}$$

$$\begin{aligned} A &= \langle \mathbb{R}, 0^A, \cdot^A, \leq^A \rangle \\ A' &= \langle \mathbb{R}, x^{A'}, \cdot^A, \leq^A \rangle \\ A'' &= \langle \mathbb{R}, x^{A''}, \cdot^A, \leq^A \rangle \end{aligned}$$

multiplication

where all symbols have their usual interpretations.

(2)

Question: How can we express "A real ~~x~~  $x$  has a square root iff  $x$  is nonnegative" in three various languages?

In  $L$ : let  $\mathcal{L}$  be  
$$\forall x (\exists y (y^2 = x) \Leftrightarrow 0 \leq x)$$

Then  $A \models \mathcal{L}$

In  $L'$ : trickier. let  $\mathcal{L}'$  be  
$$\exists z (\forall u (z \cdot u = z) \wedge \forall x (\exists y (y^2 = x) \Leftrightarrow z \leq x))$$

Then  $A' \models \mathcal{L}'$  and the unique  $e \in L'$  of  $\mathbb{R}$  satisfying first clause is  $z = 0$

Can we write an equivalent expression in  $L''$ ?  
(Don't think so).

## Tautologies

- Fix a language  $L$

- Recall that a ~~sentence~~ sentence  $\mathcal{L}$  is valid iff every  $A$  (in this language) has  $A \models \mathcal{L}$ .

- Some sentences are valid because they have the "Boolean Form" of a valid sentence in PL

- e.g. for any  $\phi$  we know

$$\phi \vee \neg \phi$$

is valid: for any  $A$ ,  $\text{Truth}_A(\phi \vee \neg \phi) = 1$  iff  $\text{Truth}_A(\phi) = 1$  or  $\text{Truth}_A(\neg \phi) = 1$  which always holds.

- real reason is because  $P \vee \neg P$  is a valid sentence in PL, and we have "substituted"  $\phi$  for  $P$ .

-  $\text{Truth}_A$  (in PL) ~~is defined~~ defined recursively on Boolean connectives in some way  $\text{Truth}_A$  (in FOL) is

So any substitution of a valid PL sentence is valid in FOL

$$\begin{array}{l} \rightarrow \vee \\ \Rightarrow \Leftrightarrow \end{array}$$

- More formally, if  $\pi(P_0, \dots, P_{n-1})$  is a PL sentence in the variables  $P_0, \dots, P_{n-1}$  and  $\phi_0, \dots, \phi_{n-1}$  are FOL sentences we write  $\pi(P_i/\phi_i)$  for the FOL sentence obtained by replacing every instance of  $P_i$  with  $\phi_i$  for  $i < n$ .

- If  $\Pi$  is valid (in PL) we call  $\Pi(P_i/e_i)$  a tautology

Theorem Tautologies are valid.

PF: clear.

examples ① Let  $\Pi(P_0, P_1)$  be

$$(P_0 \Leftrightarrow P_1) \Rightarrow (\neg P_1 \Rightarrow P_0)$$

Then  $\Pi$  is valid (in PL). Let  $e_0$  be  $1+1=0$  and let  $e_1$  be  $\forall x(x \geq 0)$  then

$$((1+1=0) \Leftrightarrow \forall x(x \geq 0)) \Rightarrow (\neg(\forall x(x \geq 0)) \Rightarrow \neg(1+1=0))$$

is a tautology (in the language  $\langle 0, 1, +, \geq \rangle$ ) Hence this sentence is valid

② Not all valid sentences are tautologies e.g.

$$\forall x(x=x)$$

is valid. But ~~is~~ not a tautology

More generally: no sentence of form  $\forall x \phi$  is tautology



# Basic Semantic Principles

- with PL we first defined deductive rules and then checked that for each rule,  $(\vdash \vdash \cup)$  replaced with  $\models$  then we obtain a true statement. (soundness)
- with FOL we'll go a bit in opposite direction: prove some statements about  $\models$  that we'll later "turn into" deductive rules

Substitution Lemma: Let  $s$  and  $t$  be closed terms and suppose  $\mathcal{U}$  is a finite  $\mathcal{U}$  w/ a single free variable  $v$ . Then:

$$s \approx t \quad \models \mathcal{U}(v/s) \Leftrightarrow \mathcal{U}(v/t)$$

ex: Let  $L = \langle \mathcal{C}, *, \mathcal{B}, +, \leq \rangle$   
 where  $\mathcal{C}, *, \mathcal{B}$  are constants  
 let  $s$  be  $\mathcal{C}$   
 let  $t$  be  $* + *$   
 let  $\mathcal{U}$  be  $v \in \mathcal{B}$

Then Lemma says:

$$\mathcal{C} \approx * + * \quad \models (\mathcal{C} \leq \mathcal{B}) \Leftrightarrow (* + * \leq \mathcal{B})$$

PF of Lemma

- only way to prove stuff about terms and fmls is by induction on their construction. - but harder in FOL
- Suppose  $A$  is a struct and  $A \models s \approx t$
- then there is  $x \in |A|$  s.t.  $s^A = t^A = x$

Claim 1 If  $r$  is a term with at most one free variable  $v$ , then

$$r(v/s)^A = r(v/t)^A$$

PF: induction on construction of  $r$

- If  $r$  is a constant  $c$   
then  $r(v/s)^A = r(v/t)^A = c = r$   
and  $r(v/s)^A = r(v/t)^A = c^A$
- If  $r$  is  $v$   
then  $r(v/s)^A$  is  $s$   
 $r(v/t)^A$  is  $t$   
so that  $r(v/s)^A = s^A = t^A = r(v/t)^A$
- If  $r$  is  $f(r_1, \dots, r_n)$  and the claim holds for  $r_1, \dots, r_n$   
then  $r(v/s)^A$  is  $f(r_1(v/s)^A, \dots, r_n(v/s)^A)$

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and  $r(v/t) \cup f(r_1(v/t), \dots, r_n(v/t))$

so that

$$r(v/s)^A = f^A(r_1(v/s)^A, \dots, r_n(v/s)^A)$$

$$r(v/t)^A = f^A(r_1(v/t)^A, \dots, r_n(v/t)^A)$$

but  $r_i(v/t)^A = r_i(v/s)^A$  by III

So  $r(v/t)^A = r(v/s)^A$  ✓

Claim proved ✓

Claim 2:  $A \models \varphi(v/r)$  iff  $A \models \varphi(v/t)$

PF: by induction on construction of  $\varphi$ .

• If  $\varphi$  is  $\top$  or  $\perp$  then  $\varphi(v/s) = \varphi(v/t) = \varphi$ , nothing to prove

• If  $\varphi$  is  $r \approx r'$ , where  $r, r'$  terms, then  $\varphi(v/s) \cup r(v/s) \approx r'(v/s)$  and  $\varphi(v/t) \cup r(v/t) \approx r'(v/t)$

Now:

$$A \models r(v/s) \approx r'(v/s) \leftarrow \varphi(v/s)$$

$$\text{iff } r(v/s)^A = r'(v/s)^A$$

$$\text{iff } r(v/t)^A = r'(v/t)^A \leftarrow \varphi(v/t)$$

$$\text{iff } A \models r(v/t) \approx r'(v/t)$$

by claim 1 →

~~...  $A \models \varphi(v/s) \iff A \models \varphi(v/t)$  ...~~

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if  $\mathcal{L} \in R(r_1, \dots, r_n)$  where  $r_1, \dots, r_n$  terms then  $\mathcal{L}(v/s) \in R(r_1(v/s), \dots, r_n(v/s))$  and  $\mathcal{L}(v/t) \in R(r_1(v/t), \dots, r_n(v/t))$

so that:

Claim 1  $\rightarrow$

$A \models \mathcal{L}(v/s), \dots, r_n(v/s) \leftarrow \mathcal{L}(v/s)$   
 iff  $RA \models (r_1(v/s))^A, \dots, r_n(v/s)^A$   
 iff  $RA \models (r_1(v/t))^A, \dots, r_n(v/t)^A \leftarrow \mathcal{L}(v/t)$   
 iff  $A \models R(r_1(v/t), \dots, r_n(v/t)) \leftarrow \mathcal{L}(v/t)$

So claim holds for  $\mathcal{L}$  and  $\neg$

then  $(\mathcal{L} \wedge \neg)(v/s) \in \mathcal{L}(v/s) \wedge \neg(v/s)$  and  $(\mathcal{L} \wedge \neg)(v/t) \in \mathcal{L}(v/t) \wedge \neg(v/t)$

and vice versa

iff  $A \models \mathcal{L}(v/s) \wedge \neg(v/s)$   
 iff  $A \models \mathcal{L}(v/s)$  and  $A \models \neg(v/s)$   
 iff  $A \models \mathcal{L}(v/t)$  and  $A \models \neg(v/t)$   
 iff  $A \models \mathcal{L}(v/t) \wedge \neg(v/t)$

sim'ly for  $\neg \mathcal{L}, \mathcal{L} \vee \neg, \mathcal{L} \Rightarrow \neg, \mathcal{L} \Leftrightarrow \neg$   
True and False. ✓

Claim is proved.

Claim 2 can also be stated

$$A \models \mathcal{L}(v/s) \Leftrightarrow \mathcal{L}(v/t) \quad \checkmark$$

lemma follows since  $A$  was arbitrary model of  $\Sigma$  ✓

## A word on quantifiers

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Some useful notation: will write  ~~$\mathcal{L}(v)$~~   $\mathcal{L}(v)$  to mean  $\mathcal{L}$  is a formula w/ a single free variable  $v$ ,  
 $\mathcal{Y}(u, v)$  means  $\mathcal{Y}$  has two free variables  $u$  and  $v$  etc.

Recall:  
•  $A \models \exists v \mathcal{L}(v)$  iff there is  $x \in |A|$  s.t.  $(A, x) \models \mathcal{L}(v/c_x)$   
•  $A \models \forall v \mathcal{L}(v)$  iff for every  $x \in |A|$ , we have  $(A, x) \models \mathcal{L}(v/c_x)$

But really:  
•  $A \models \exists v \mathcal{L}(v)$  iff there is  $x \in |A|$  s.t. " $\mathcal{L}(x)$  is true in  $A$ "  
•  $A \models \forall v \mathcal{L}(v)$  iff for every  $x \in |A|$  " $\mathcal{L}(x)$  is true in  $A$ "

- have <sup>↗</sup> not defined ~~what it means~~ what it means to "plug in" an arbitrary  $x \in |A|$  for  $v$ ; have defined  $(A, x) \models \mathcal{L}(v/c_x)$

- but when working in a specific structure can often get out consideration of extra constants

e.g. Sps  $L = \langle R \rangle$  is a lang w/  
a binary relation symbol and  
 $A = \langle |A|, R^A \rangle$  is a structure in this  
lang.

Sps  $\mathcal{L} \cup \forall u \exists v R(u, v)$

- Then  $A \models \mathcal{L}$  iff for every  $x \in |A|$   
we have  $(A, x) \models \exists v R(x, v)$

- iff for every  $x \in |A|$  there is  $y \in |A|$

s.t.  $(A, x, y) \models R(x, y)$

- iff for every  $x \in |A|$  there is  $y \in |A|$  s.t.  
 $(A, x, y) \models R(x, y)$

iff  $R^A(x, y)$

→ on HW or exam can cut out  
middle lines and say:

$A \models \forall u \exists v R(u, v)$

iff for every  $x \in |A|$  there is  $y \in |A|$

s.t.  $R^A(x, y)$

- e.g. if  $|A| = \mathbb{Z}$  and  $R^A$  is  $<$   
then

$A \models \forall u \exists v R(u, v)$  iff for every

$n \in \mathbb{Z}$  there is  $m \in \mathbb{Z}$  s.t.  $n < m$  (true)