

# 21-300 Basic Logic

①

5/15

Garrett Ervin

Office: ~~7128~~ 7128 Wean Hall

e-mail: gervin@andrew.cmu.edu

website: canvas.cmu.edu/courses/6964

↑  
syllabus w/ rough schedule  
posted

## Office Hours

Monday: 10:30 - 11:30 in WEH 7201

- "structured" office hour

- more like a recitation: may present practice problems some weeks

Wednesday: 10:30 - noon in WEH

7128 (my office)

- standard office hour; just answering HW q's etc.

Prof Schummerling's Office Hours:

Monday: 4:30 - 5:30 in WEH 8201

(structured)

Wednesday: 4:30 - 5:30 in WEH

7125 (his office) (standard)

(2)

## Grading

HW: 20% (weekly)

Midterm 1: 25%

Midterm 2: 25%

Final: 30%

- Tests individually curved
- Final cut-offs not more harsh than  $90 - 100 = A$ ,  $80 - 90 = B$  etc.

## Textbook: Schummerling

- linked on Canvas page
- will cover Ch. 1-4

- ↳ HW will be posted on Canvas
- ↳ First midterm is Oct. 12

# Overview of Class

③

- ↳ introduction to mathematical logic
- ↳ Big themes: relationships between

① mathematical syntax  
(what is written) and  
mathematical semantics (what  
is meant)

"A word is a chest for  
its rich contents, as a man  
is a cloak for 'his soul'."

② what we can prove  
and what is true.

- ↳ we will study propositional logic  
and first-order logic.

- ↳ these are logical systems in  
which we can write formal  
statements

e.g.  $(\forall x \in \mathbb{R}) x^2 \geq 0$

and carry out formal proofs

e.g.  $\rightarrow (\forall x \in \mathbb{R}) x^2 \geq 0$

$\rightarrow -5 \in \mathbb{R}$

$\rightarrow (-5)^2 \geq 0$

④

→ these systems give us a formal model of mathematical practice ("from a set of axioms, deduce new theorems by way of proofs.")

Amazing fact: in this formal setting, notion of proof is entirely syntactic, i.e. depends only on symbols on page and certain deduction rules

"Mathematics is a game played according to certain simple rules with meaningless marks on paper."

→ from "outside" of these systems can define what it means for a statement to be true and then investigate the q's:

① if we can prove a statement  $S$ , is it true?

② if a statement is true, can we prove it?

Before that, some review



# Set-theoretic Background

(5)

- Informally, a set is a collection of objects ("set" = "collection" = "family")
- e.g.

$$A = \{*, \emptyset, \Delta\}$$

$$E = \{0, 2, 4, 6, \dots\}$$

$$= \{x \in \mathbb{N} \mid x \text{ is a multiple of } 2\}$$

$$= \{x \in \mathbb{N} \mid (\exists k \in \mathbb{N}) x = 2k\}$$

- last two lines examples of set-builder notation, defining the set  $E$  of even natural #'s

-  $a \in X$  means "a is an element of X"

-  $a \notin X$  means "a is not an element of X"

e.g.,  $\emptyset \in A$ ,  $2 \in E$ , but  $\emptyset \notin E$

- sets are determined by their el'ts, order, repetition irrelevant

$$\text{e.g., } \{1, 2, 3\} = \{2, 1, 3\} = \{1, 1, 2, 3\}$$

- sets may contain other sets

e.g.,  $X = \{\{1, 2\}, \{3, 4\}\}$  is a set w/ two elements:  $\{1, 2\}$  and  $\{3, 4\}$

⑥

- different from  $Y = \{1, 2, 3, 4\}$

- if  $A, B$  are sets, the union of  $A, B$  is

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

- e.g. if  $A = \{1, 2\}$

$$B = \{2, 5, 6\}$$

$$\text{then } A \cup B = \{1, 2, 5, 6\}$$

- if  $S$  is a collection of sets then the union over  $S$  is:

$$\cup S = \{x \mid \text{there exists } A \in S \text{ with } x \in A\}$$

- e.g. if  $S = \{\{1, 2\}, \{3, 4\}, \{5, 6\}, \dots\}$  then

$$\begin{aligned} \cup S &= \{1, 2\} \cup \{3, 4\} \cup \{5, 6\} \cup \dots \\ &= \{1, 2, 3, 4, 5, \dots\} \end{aligned}$$

- hence we can also write  $A \cup B$  as  $\cup \{A, B\}$

- if  $A, B$  are sets, the intersection of  $A, B$  is

$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}$$

(7)

- if  $S$  is a collection of sets then we define

$$\bigcap S = \{x \mid \text{for every } A \in S \text{ we have } x \in A\}$$

- e.g. if  $S = \{\{1,2,3,4\}, \{2,4,6,8\}, \{0,2,4\}\}$

then

$$\begin{aligned} \bigcap S &= \{1,2,3,4\} \cap \{2,4,6,8\} \cap \\ &\quad \{0,2,4\} \\ &= \{2,4\} \end{aligned}$$

- hence we can also write  $\bigcap \{A, B\}$  for  $A \cap B$ .

- the empty set is the unique set with no elements  
- denoted  $\{\}$  or  $\emptyset$

- if  $A, B$  are sets then  $A$  is a subset of  $B$  if for every  $x \in A$  we have  $x \in B$

- we write  $A \subseteq B$

- e.g.  $\{1,3\} \subseteq \{1,2,3,4\}$   
but  $\{1,5\} \not\subseteq \{1,2,3,4\}$

- For any set  $A$  we have  $\emptyset \subseteq A$  and  $A \subseteq A$ .

- the powerset of  $A$ , written  $P(A)$ , is the set of all subsets of  $A$ .

- e.g. if  $A = \{1, 2, 3\}$  then

$$P(A) = \{ \emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\} \}$$

Fact: If  $A$  is a finite set with  $n$  elements then  $A$  has  $2^n$  subsets, i.e.  $P(A)$  has  $2^n$  elements

Some important sets

$$\begin{aligned} \omega &= \text{set of natural #'s} \\ &= \{0, 1, 2, 3, \dots\} \\ &= \mathbb{N} \end{aligned}$$

$$\begin{aligned} \mathbb{Z} &= \text{set of integers} \\ &= \{\dots, -2, -1, 0, 1, 2, \dots\} \end{aligned}$$

$$\begin{aligned} \mathbb{Q} &= \{ \frac{m}{n} \mid m, n \in \mathbb{Z} \text{ and } n \neq 0 \} \\ \mathbb{R} &= \text{set of real #'s} \end{aligned}$$



## Cartesian Products

9

- if  $x, y$  are objects the ordered pair of  $x$  and  $y$  is denoted  $(x, y)$
- in ordered pairs, order matters and repetition is allowed
- e.g.  $(1, 2) \neq (2, 1) \neq (2, 2)$
- if  $A, B$  are sets then the Cartesian product of  $A$  and  $B$  is
$$A \times B = \{(a, b) \mid a \in A \text{ and } b \in B\}$$
- e.g.  $\{1, 2\} \times \{*, \heartsuit\}$ 
$$= \{(1, *), (2, *), (1, \heartsuit), (2, \heartsuit)\}$$
- we write  $A \times A$  as  $A^2$
- more generally: ordered  $n$ -tuples are denoted  $(x_1, x_2, \dots, x_n)$
- sometimes write  $\vec{x}$  for  $(x_1, \dots, x_n)$
- if  $A_1, \dots, A_n$  are sets then their Cartesian product is:

$$A_1 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) \mid \begin{array}{l} a_i \in A_i \\ \text{for every} \\ i \in \{1, \dots, n\} \end{array}\}$$

(10)

- if these are all the same set we write

$$A \times \dots \times A = A^n$$

- e.g. if  $A = \{0, 1\}$

$$\text{then } A^3 = \{0, 1\} \times \{0, 1\} \times \{0, 1\}$$

$$= \{(0, 0, 0), (0, 0, 1), (0, 1, 0), (0, 1, 1), \\ (1, 0, 0), (1, 0, 1), (1, 1, 0), (1, 1, 1)\}$$

- by convention, for any set  $A$  we have  $A^0 = \{\emptyset\}$

## Relations and Functions

Def'n if  $A$  is a set, an  $n$ -ary relation on  $A$  is a subset  $R \subseteq A^n$

- e.g. if  $A = \{1, 2, 3\}$  then  $R = \{(1, 1), (2, 3), (2, 1)\} \subseteq A^2$  is

a 2-ary relation on  $A$

- 2-ary relations also called binary

- if  $R$  is an  $n$ -ary relation on  $A$  and  $\bar{x} \in A^n$  then  $R(\bar{x})$  and  $\bar{x} \in R$  mean the same thing

(11)

- For binary relations we often write  $xRy$  instead of  $(x,y) \in R$
- e.g. for relation  $R$  above we could write  $2R1$  instead of  $(2,1) \in R$
- If  $\leq$  is the usual "less than or equal to" relation on  $\mathbb{R}$  we will write  $2 \leq \pi$  instead of  $(2, \pi) \in \leq$ .

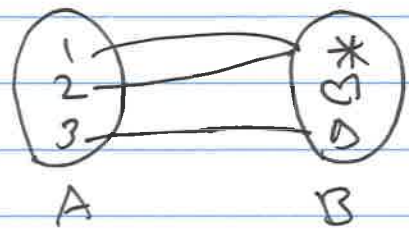
"such that"  $\rightarrow$  Def'n if  $A, B$  are sets, a function from  $A$  to  $B$  is a subset  $f \subseteq A \times B$  s.t. for every  $x \in A$  there is a unique  $y \in B$  s.t.  $(x,y) \in f$ .

- will usually write  $f(x) = y$  to mean  $(x,y) \in f$
- will write  $f: A \rightarrow B$  to mean  $f$  is a function from  $A$  to  $B$ .
- $A$  is called domain of  $f$ ,  $B$  is called codomain of  $f$ .

- e.g. if  $A = \{1, 2, 3\}$  and  $B = \{*, \heartsuit, \Delta\}$

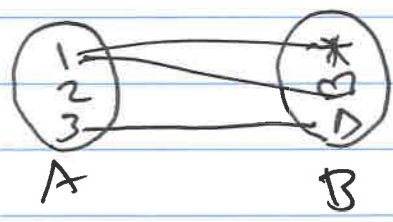
then

$f = \{(1, *), (2, *), (3, \Delta)\}$   
is a function from A to B



$f(1) = f(2) = *$   
 $f(3) = \Delta$

whereas  $g = \{(1, *), (1, \heartsuit), (3, \Delta)\}$   
is not



$g(1)$  not unique  
 $g(2)$  not defined

- sometimes define functions with rules, e.g. "let  $f: \mathbb{R} \rightarrow \mathbb{R}$  be defined by  $f(x) = x^2$ "
- then really  $f = \{(x, y) \in \mathbb{R}^2 \mid y = x^2\}$

- if  $f: A \rightarrow B$  is a function and  $X \subseteq A$  then  $f[X]$  denotes the set  $\{f(x) \mid x \in X\}$

- ~~and~~  $f[X]$  is called the image of X



-  $F[A]$  is the image of the domain  $A$ , also called range of  $f$ .

- e.g. if  $f: \mathbb{R} \rightarrow \mathbb{R}$  is defined by  $f(x) = x^2$  and  $X = \{2, 3\}$  then

$$f[X] = \{f(2), f(3)\} = \{4, 9\}$$

$$\begin{aligned} \text{and } f[\mathbb{R}] &= \text{range}(f) \\ &= \{f(x) \mid x \in \mathbb{R}\} \\ &= \{x^2 \mid x \in \mathbb{R}\} \\ &= \{x \mid x \geq 0\} \end{aligned}$$

- if  $f: A \rightarrow B$  is a function and  $Y \subseteq B$  then ~~the set~~  $f^{-1}[Y]$  denotes the set  $\{x \in A \mid f(x) \in Y\}$

-  $f^{-1}[Y]$  is called the preimage of  $Y$ .

- e.g. if  $f: \mathbb{R} \rightarrow \mathbb{R}$ ,  $f(x) = x^2$  is our function above and  $Y = \{1, 4, 9\}$  then

$$\begin{aligned} f^{-1}[Y] &= \{x \in \mathbb{R} \mid f(x) \in Y\} \\ &= \{x \in \mathbb{R} \mid x^2 \in Y\} \\ &= \{x \in \mathbb{R} \mid x^2 \in \{1, 4, 9\}\} \\ &= \{-3, -2, -1, 1, 2, 3\} \end{aligned}$$

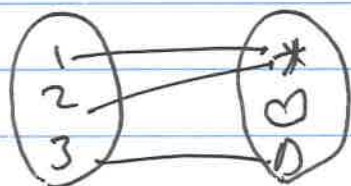
(14)

- if  $f: A \rightarrow B$  is a function and  $X \subseteq A$  then the restriction of  $f$  to  $X$ , written  $f|_X$ , is the function  $\{(x, y) \in f \mid x \in X\}$

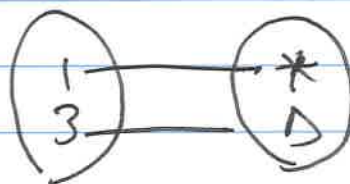
- e.g. if  $f: \{1, 2, 3\} \rightarrow \{*, \heartsuit, \Delta\}$

$$f = \{(1, *), (2, *), (3, \Delta)\}$$

is our function from before and  $X = \{1, 3\}$  then  $f|_X = \{(1, *), (3, \Delta)\}$



$f$



$f|_X$

-  $f|_X$  is always a function w/ domain  $X$

Def'n Sps  $f: A \rightarrow B$  is a function

-  $f$  is an injection (or (-))

if for every  $x, x' \in A$ , if  $x \neq x'$  then  $f(x) \neq f(x')$

("distinct inputs yield distinct outputs")

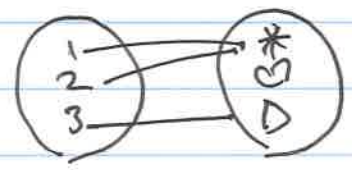
-  $f$  is a surjection (or onto)

$f$  For every  $y \in B$  there is  $x \in A$  s.t.  $f(x) = y$

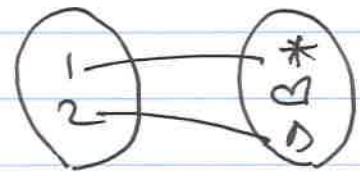
("every possible output is attained")

-  $f$  is a bijection if  $f$  is both an injection and surjection.

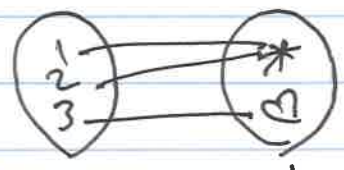
Picture:



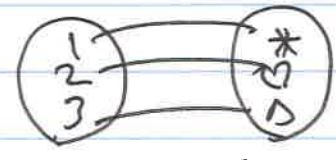
neither injection nor surjection



injection but not surjection



surjection but not injection



bijection

Compositions

- if  $f: A \rightarrow B$  and  $g: B \rightarrow C$  are functions then  $g \circ f: A \rightarrow C$  is a function defined by  $g \circ f(a) = g(f(a))$  for every  $a \in A$ .

(16)

- e.g.  $f: \mathbb{Z} \rightarrow \mathbb{N}$  is defined by  $f(z) = |z|$  and  $g: \mathbb{N} \rightarrow \mathbb{R}$  is defined by  $g(n) = \sqrt{n}$  then

$$g \circ f: \mathbb{Z} \rightarrow \mathbb{R}$$

and for every  $z \in \mathbb{Z}$  we have

$$g \circ f(z) = g(f(z)) = g(|z|) = \sqrt{|z|}$$

- e.g.  $g \circ f(-5) = g(f(-5)) = g(5) = \sqrt{5}$

Facts: Suppose  $f: A \rightarrow B$  and  $g: B \rightarrow C$  are functions.

① if  $f$  and  $g$  are both injections then  $g \circ f: A \rightarrow C$  is an injection

② if  $f$  and  $g$  are both surjections then  $g \circ f: A \rightarrow C$  is a surjection

③ if  $f$  and  $g$  are both bijections then  $g \circ f: A \rightarrow C$  is a bijection.

- If  $A$  is a set, an  $n$ -ary function on  $A$  is a function  $f: A^n \rightarrow A$ .

- e.g.  $f: \mathbb{R}^2 \rightarrow \mathbb{R}$  defined by  $f(x, y) = x^2 y$  is a binary function on  $\mathbb{R}$ .



# The natural numbers

(17)

- $\omega = \mathbb{N} = \{0, 1, 2, 3, \dots\}$
- we'll use set-theoretic notation that each natural number  $n$  is a set consisting of previous natural numbers

- so:

$$0 = \emptyset$$

$$1 = \{0\} = \{\emptyset\}$$

$$2 = \{0, 1\} = \{\emptyset, \{\emptyset\}\}$$

$$3 = \{0, 1, 2\} = \{\emptyset, \{\emptyset, \{\emptyset, \{\emptyset\}\}\}$$

etc.

- Be careful: Sometimes we think ~~about~~ about 0 "as 0" sometimes "as  $\emptyset$ ".

- if  $f$  is a function  $\omega \rightarrow \omega$  /  $\text{dom}(f) = \omega$  we sometimes think of  $f$  as an infinite sequence and write  $f = \{f(0), f(1), f(2), \dots\}$

- e.g.  $f: \omega \rightarrow \omega$  is defined by  $f(n) = n^2$

(18)

then

$$f = \langle 0, 1, 4, 9, \dots \rangle$$

- sim'ly: if  $\text{dom}(f) = n = \{0, 1, \dots, n-1\}$   
we sometimes write:

$$f = \langle f(0), f(1), \dots, f(n-1) \rangle$$

- e.g. the sequence

$$\langle 1, 1, \pi, 492 \rangle$$

represents the function  $f: 4 \rightarrow \mathbb{R}$

• with  $f(0) = f(1) = 1$

$$f(2) = \pi$$

$$f(3) = 492$$

## Induction

Thm Let  $P(n)$  be a statement about  $n$ , e.g.

" $n$  has a prime factorization"

$$\text{" } \sum_{k=0}^n k = \frac{n(n+1)}{2} \text{"}$$

Suppose

①  $P(0)$  holds

② for every  $n \in \mathbb{N}$ ,

if  $P(n)$  holds, then  $P(n+1)$  holds

①  
Then for every  $n \in \mathbb{N}$ ,  $P(n)$  holds

Thm (Strong Induction)

Suppose

①  $P(0)$  holds

② For every  $n \in \mathbb{N}$ ,  
(if, for every  $k \leq n$ ,  $P(k)$  holds,  
then  $P(n+1)$  holds

Then for every  $n \in \mathbb{N}$ ,  $P(n)$  holds

Recursion:

Theorem (Recursion Theorem)

- Let  $B$  be a set

- Let  $P = \{f \mid f \text{ is a function, } \text{dom}(f) \in \omega, \text{ran}(f) \subseteq B\}$

- Suppose  $G: P \rightarrow B$  is a function

Then: there is a unique function  
 $F: \omega \rightarrow B$  s.t. for every  $n \in \omega$   
 $F(n) = G(F \upharpoonright n)$

(20)

- we'll take induction principles  
+ recursion theorem for granted.  
(i.e. no proofs)

- recursion theorem looks wanky:  
used to justify recursive def'n's

- e.g. (informal recursive def'n)  
define  $F: \omega \rightarrow \omega$  by

$$F(0) = 0$$

$$F(1) = 1$$

$$F(n) = F(n-1) + F(n-2) \quad (n \geq 2)$$

$$- \text{so } F(2) = F(0) + F(1) = 0 + 1 = 1$$

$$F(3) = 1 + 1 = 2$$

$$F(4) = 1 + 2 = 3$$

$$F(5) = 2 + 3 = 5$$

$$F(6) = 3 + 5 = 8 \quad \text{etc...}$$

- Fibonacci Sequence.

Formal def'n using recursion theorem

Let  $P = \{F \mid F \text{ is a function,}$   
 $\text{dom}(F) \in \omega$   
 $\text{ran}(F) \subseteq \omega\}'$



"<>"

(2)

e.g.  $\phi \in P$   
 $\langle 1, 1, 0, 5 \rangle \in P$

Define  $G: P \rightarrow W$  by:

$$G(f) = 0 \quad \text{if } \text{dom}(f) = \emptyset = \phi$$

(i.e. if  $f = \phi$ )

$$G(f) = 1 \quad \text{if } \text{dom}(f) = 1$$

$$G(f) = F(n-2) + F(n-1) \quad \text{if } \text{dom}(f) = n$$

$n \geq 2$

So e.g. if  $f: 4 \rightarrow W$  is  $\langle 2, 1, 5, 19 \rangle$   
then  $G(f) = 5 + 19 = F(2) + F(3)$   
 $= 24$ .

Recursion Thm says exists unique  $F: W \rightarrow W$   
s.t. for all  $n \in W$

$$F(n) = G(F \upharpoonright n)$$

- what does this  $F$  look like?

$$F(0) = G(F \upharpoonright 0) = G(\emptyset) = 0$$

$$F(1) = G(F \upharpoonright 1) = G(\langle 0 \rangle) = 1$$

$$F(2) = G(F \upharpoonright 2) = G(\langle 0, 1 \rangle) = 0 + 1 = 1$$

$$F(3) = G(F(3)) = G(\langle 0, 1, 1 \rangle) = 1 + 1 = 2$$

$$F(4) = G(F(4)) = G(\langle 0, 1, 1, 2 \rangle) = 1 + 2 = 3$$

etc. we see  $F$  enumerates the Fibonacci sequence.

$$F = \langle 0, 1, 1, 2, 3, 5, 8, \dots \rangle$$

- in particular  $F(n) = F(n-2) + F(n-1)$  for all  $n \geq 2$ .

- General hint: to prove things about recursively defined functions, use induction

ex: For  $F: \omega \rightarrow \omega$  defined recursively above, prove that for every  $n \in \omega$  we have

$$\sum_{k=0}^n F(k) = F(n+2) - 1$$

PF: (BC): if  $n=0$ , then

$$\sum_{k=0}^0 F(k) = F(0) = 0 = 1 - 1 = F(0+2) - 1$$

and the statement holds

(IH) ~~Assume~~ Fix  $n \in \mathbb{N}$ .

Assume  $\sum_{k=0}^n F(k) = F(n+2) - 1$

Then

$$\sum_{k=0}^{n+1} F(k) = \sum_{k=0}^n F(k) + F(n+1)$$

$$\begin{aligned} &\stackrel{IH}{=} F(n+2) - 1 + F(n+1) \\ &= F(n+3) - 1 \\ &= F((n+1)+2) - 1 \end{aligned}$$

By induction, statement holds for all  $n \in \mathbb{N}$ .

## Cardinality

Def'n a set  $S$  is finite iff for some  $n \in \mathbb{N}$  there is a surjection  $f: n \rightarrow S$ .

ex.  $S = \{*, \heartsuit, \Delta\}$  is finite

Pf: define

$$f: S \rightarrow S \text{ by}$$

$$f(1) = *$$

$$f(2) = f(3) = \heartsuit$$

$$f(4) = f(5) = \Delta$$

then  $f$  is

a surjection ✓

Def'n A set  $S$  is infinite  
iff it is not finite

ex  $\mathbb{Z}$  is infinite

PF. If new and  $f: \mathbb{N} \rightarrow \mathbb{Z}$  then  
 $f$  is not a surjection. (Why?)

Def'n A set  $S$  is countable iff  
there is a surjection  $f: \omega \rightarrow S$ .

Ex's ①  $\omega$  is ctbl

PF.  $f: \omega \rightarrow \omega$  defined by  
 $f(n) = n$  is a bijection,  
hence surjection

② Fix new. Then  $n = \{0, 1, \dots, n-1\}$   
is ctbl.

PF. Define  $f: \omega \rightarrow n$  by  
 $f(k) = k$  if  $k < n$   
 $f(k) = n-1$  if  $k \geq n$

so  $f = \langle 0, 1, 2, \dots, n-1, n-1, \dots \rangle$ .  
Then  $f$  is a surjection ✓

③ Any finite set  $S$  is ctbl.

PF. - Fix new s.t. there is  
a surjection  $g: n \rightarrow S$

- Let  $f: \omega \rightarrow n$  be surjection



(25)

From ②. Then  $g \circ f: W \rightarrow S$  is a surjection.

④  $\mathbb{Z}$  is ctbl.

PF: define  $f: W \rightarrow \mathbb{Z}$  by

$$f(n) = \begin{cases} -n/2 & \text{if } n \text{ is even} \\ n+1/2 & \text{if } n \text{ is odd} \end{cases}$$

$$\begin{array}{lll} \text{so } f(0) = 0 & f(1) = 1 & f(2) = -1 \\ & f(3) = 2 & f(4) = -2 \\ & f(5) = 3 & f(6) = -3 \end{array}$$

$f$ , so defined, is a bijection (why?)

Fact: Sps  $A, B$  are nonempty sets.

There is a surjection from  $A$  to  $B$  if there is an injection from  $B$  to  $A$

PF ( $\Rightarrow$ ) - Sps  $f: A \rightarrow B$  is a surjection.

- For each  $b \in B$ , choose (AC) an  $a_b \in A$  s.t.  $f(a_b) = b$  (exists by surjectivity)

- Define  $g: B \rightarrow A$  by  $g(b) = a_b$

- then  $g$  is an injection:

if  $b \neq b'$  then cannot be that  $a_b = a_{b'}$

- since ~~both~~  $f(a_b) = b \neq b' = f(a_{b'})$   
 - hence  $a_b \neq a_{b'}$ , i.e.  $g(b) \neq g(b')$  ✓

( $\Leftarrow$ ) Sp's  $g: B \rightarrow A$  is an injection  
 Pick  $b_c \in B$ .

Define  $f: A \rightarrow B$  as follows:

given  $a \in A$ , if there is a  $b \in B$   
 s.t.  $g(b) = a$  let  $f(a) = b$ . If there  
 is no such  $b$  with  $f(a) = b_c$ .  
 Then  $f$  is a surjection. (Why?)

Hence:

Prop'n Sp's  $S$  is nonempty. If  
 there is an injection  $f: S \rightarrow \omega$  then  
 $S$  is ctbl.

Pf: use Fact. ✓

Def'n Let  $A$  be a set.  $A^{<\omega}$  denotes  
 set of all finite tuples of el's of  $A$   
 i.e.

$$A = A^0 \cup A^1 \cup \dots$$

$$= \bigcup_{n \in \omega} A^n$$

Prop'n  $\omega^{<\omega}$  is countable.

(27)

We will define an injection  
 $f: \omega^{\lt\omega} \rightarrow \omega$

- Let  $p_1, p_2, p_3, \dots$  be an increasing enumeration of the primes  
 - so  $p_1 = 2, p_2 = 3, p_3 = 5, \dots$

- for  $(n_1, \dots, n_k) \in \omega^{\lt\omega}$  define  
 $f((n_1, \dots, n_k)) = p_1^{n_1+1} p_2^{n_2+1} \dots p_k^{n_k+1}$

- so e.g.  $f((3, 1)) = p_1^{3+1} p_2^{1+1} = 2^4 \cdot 3^2$   
 $= 2^4 \cdot 3^2$   
 $= 432$

$f((0, 0, 1)) = p_1^{0+1} p_2^{0+1} p_3^{1+1}$   
 $= 2^1 \cdot 3^1 \cdot 5^2$   
 $= 150$

- Claim  $f$  is an injection.

why: if  $(n_1, \dots, n_k) \neq (l_1, \dots, l_m)$   
 are distinct tuples then  
 $p_1^{n_1+1} \dots p_k^{n_k+1} \neq p_1^{l_1+1} \dots p_m^{l_m+1}$   
 by fund. thm. of arithmetic  
 i.e.  $f((n_1, \dots, n_k)) \neq f((l_1, \dots, l_m))$  ✓

Prop'n  $\mathbb{R}^{\text{sp}}$   $A, B$  are nonempty sets with  $A \subseteq B$ . If  $B$  is ctbl, then  $A$  is ctbl.

PF: fix  $a_0 \in A$ .

Suppose  $f: \omega \rightarrow B$  is a surjection. Define  $g: \omega \rightarrow A$  by

$$g(n) = f(n) \quad (\text{if } f(n) \in A)$$
$$g(n) = a_0 \quad (\text{if } f(n) \notin A)$$

$g$  is a surjection  $\checkmark$  (why?)

ex. ①  $\omega$   $E = \{0, 2, 4, \dots\}$

then  $E \subseteq \omega$  so  $E$  is ctbl  $\checkmark$

② For any fixed  $n$ ,  $\omega^n \subseteq \omega^{<\omega}$ . Hence  $\omega^n$  is ctbl.

In particular  $\omega \times \omega = \omega^2$  is ctbl.

③  $\mathbb{Q}$  is ctbl.

PF: there is a surjection  $f: \omega \times \omega \rightarrow \mathbb{Q}$  (why?)

### Uncountable sets

Not all infinite sets are countable

Def'n: A set  $S$  is uncountable if  $S$  is not countable, i.e. if there is no surjection  $f: \omega \rightarrow S$ .



(2a)

- Recall:  $P(\omega) = \{x \mid x \subseteq \omega\}$

Claim  $P(\omega)$  is uncountable.

PF: Fix a function  $f: \omega \rightarrow P(\omega)$ .

We prove  $f$  is not a surjection.

Define a subset  $T \subseteq \omega$

$$T = \{n \in \omega \mid n \notin f(n)\}$$

We argue that for every  $n \in \omega$ ,  $f(n) \neq T$ .

~~Case 1~~ ~~Case 2~~

Case 1:  $n \in f(n)$ .

Then  $n \notin T$ . Hence  $f(n) \neq T$ .

Case 2:  $n \notin f(n)$ .

Then  $n \in T$ . Hence  $f(n) \neq T$ .

Hence, as claimed,  $f(n) \neq T$  for every  $n \in \omega$ .

(Hence  $f$  is not a surjection.)

$\hookrightarrow$  No surjection  $f: \omega \rightarrow P(\omega)$  exists.

Def'n  $2^\omega$  denotes  $\{f \mid f: \omega \rightarrow 2\}$   
 $\hookrightarrow$  think of elts of  $2^\omega$  as infinite  
0,1-sequences

e.g.  $\langle 0, 1, 1, 0, 0, 0, \dots \rangle \in 2^\omega$

Prop'n  $2^\omega$  is uncountable

PF. For every  $A \in P(\omega)$  define

$F_A: \omega \rightarrow 2$  by

$$F_A(n) = \begin{cases} 1 & \text{if } n \in A \\ 0 & \text{if } n \notin A \end{cases}$$

e.g. if  $A = \{0, 2, 4, \dots\}$

then  $F_A = \langle 1, 0, 1, 0, \dots \rangle$

- the map  $F: P(\omega) \rightarrow 2^\omega$  defined by  $F(A) = F_A$  is a bijection (why?)

- hence  $2^\omega$  is uncountable (why?) ✓

~~Fact~~ Fact  $\mathbb{R}$  is uncountable (why?)

# Equivalence Relations

(31)

Def'n Spc  $S$  is a set and  $E \subseteq S \times S$  is a binary relation on  $S$ .

-  $E$  is reflexive if for all  $x \in S$ ,  $(x, x) \in E$

-  $E$  is symmetric if for all  $x, y \in S$  if  $(x, y) \in E$  then  $(y, x) \in E$

-  $E$  is transitive if for all  $x, y, z \in S$  if  $(x, y)$  and  $(y, z) \in E$  then  $(x, z) \in E$

-  $E$  is an equivalence relation if  $E$  is refl., sym., and transitive.

ex. Define a relation  $E \subseteq \mathbb{Z} \times \mathbb{Z}$  by  $(x, y) \in E$  if  $x^2 = y^2$   
→ e.g.  $(-2, 2) \in E$ .

Claim This  $E$  is an equiv relation.

pf Fix  $x, y, z \in \mathbb{Z}$ .

① Observe  $x^2 = x^2$  hence  $(x, x) \in E$

② If  $(x, y) \in E$  then  $x^2 = y^2$ , hence  $y^2 = x^2$  hence  $(y, x) \in E$

③ If  $(x, y), (y, z) \in E$  then  $x^2 = y^2$  and  $y^2 = z^2$ , hence  $x^2 = z^2$ , hence  $(x, z) \in E$

Since  $x, y, z$  were arbitrary  $E$  is equiv relation.



(32)

Nonex.  $\leq$  is not an equiv. relation on  $\mathbb{R}$ .

Why:  $\leq$  is reflexive and transitive but not symmetric, e.g.  $3 \leq 5$  but  $5 \not\leq 3$ .

Def'n If  $E$  is an equivalence relation on  $S$  and  $x \in S$ , the equivalence class of  $x$  is

$$[x]_E = \{y \in S \mid (x, y) \in E\}$$

= "set of things equiv. to  $x$ "

e.g. in our example above

$$\begin{aligned} [3]_E &= \{y \in \mathbb{Z} \mid (3, y) \in E\} \\ &= \{y \in \mathbb{Z} \mid 3^2 = y^2\} \\ &= \{-3, 3\} \end{aligned}$$

similarly for any  $z \in \mathbb{Z}$

$$[z]_E = \{z, -z\}$$

↳ if  $E$  is an equiv. relation on  $S$ , the set of equivalence classes is denoted  $S/E$



i.e. ...

$$S/E = \{ [x]_E \mid x \in S \}$$

~~ex~~

ex: in above example we have

$$\begin{aligned} \mathbb{Z}/E &= \{ [x]_E \mid x \in \mathbb{Z} \} \\ &= \{ \dots, [ -1 ]_E, [ 0 ]_E, [ 1 ]_E, [ 2 ]_E, \dots \} \\ &= \{ \{ 0 \}, \{ -1, 1 \}, \{ -2, 2 \}, \dots \} \end{aligned}$$

Def'n If  $S$  is a set and  $P$  is a collection of subsets of  $S$  (i.e.  $P \subseteq \mathcal{P}(S)$ ) then  $P$  is a partition of  $S$  if

- ① for every  $x \in P$ ,  $x \neq \emptyset$
- ② for every  $x, y \in P$ , either  $x \cap y = \emptyset$  or  $x = y$  (non-overlap)
- ③  $\bigcup P = S$ .

ex: let  $P = \{ \{ 0 \}, \{ -1, 1 \}, \{ -2, 2 \}, \dots \}$

Then  $P$  is a partition of  $\mathbb{Z}$

Why: ① all sets in  $P$  nonempty ✓

② sets in  $P$  don't overlap

(are pairwise disjoint) ✓

③  $\cup P = \mathbb{Z}$  ✓

nonex: Let  $E = \{ \dots, -4, -2, 0, 2, 4, \dots \}$   
 $O = \{ \dots, -1, 1, 3, 5, \dots \}$   
 $\omega = \{ 0, 1, 2, \dots \}$

Then  $P = \{E, O, \omega\}$  is not a partition of  $\mathbb{Z}$ .

② fails:  $E \cap \omega \neq \emptyset$ .

ex: Let  $A = \{x \in \mathbb{R} \mid x \geq 0\}$

$B = \{x \in \mathbb{R} \mid x < 0\}$

then  $P = \{A, B\}$  is a partition of  $\mathbb{R}$ . (Why?)

Now: define a relation  $E \subseteq \mathbb{R}^2$  by  
 $(x, y) \in E$  if  $x, y$  lie in same part of partition  $P$  above

so e.g.  $(1, \pi) \in E$

$(-3, -7) \in E$

but  $(-3, \pi) \notin E$

Claim  $E$  is an equiv relation on  $\mathbb{R}$

PF ①  $x$  always has same sign as itself  $\Rightarrow (x,x) \in E$

② If  $x,y$  have same sign then  $y,x$  have same sign

i.e.  $(x,y) \in E \Rightarrow (y,x) \in E$

③ If  $x,y$  have same sign and  $y,z$  have same sign then  $x,z$  have same sign ~~then~~ i.e.

$(x,y) \in E$  and  $(y,z) \in E \Rightarrow (x,z) \in E$  ✓

Will prove on HW that this example not an accident.