

Recitation April 21

Surface Integrals of Scalar Functions

Recall: Let $\rho : \mathbb{R}^3 \rightarrow \mathbb{R}$ and $\Sigma \subset \mathbb{R}^3$ is a surface. To compute $\int_{\Sigma} \rho \, dS$, we find a parametrization $\varphi : U \rightarrow \Sigma$ where $U \subset \mathbb{R}^2$ is a nice (open) set, and then

$$\int_{\Sigma} \rho \, dS = \int_U \rho \circ \varphi |\partial_1 \varphi \times \partial_2 \varphi| \, dA$$

Example 1:

Compute $\int_{\Sigma} x^2 y + z \, dS$, where $\Sigma = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 = 1, z \in [0, 1]\}$ is the lateral surface of a cylinder.

Solution:

We first find a parametrization $\varphi : U \rightarrow \Sigma$, $\varphi : (\theta, h) \mapsto (\cos \theta, \sin \theta, h)$, where $U = \{(\theta, h) \in \mathbb{R}^2 : \theta \in [0, 2\pi), h \in [0, 1]\}$. Thus,

$$\begin{aligned} \int_{\Sigma} x^2 y + z \, dS &= \int_U (\cos^2 \theta \sin \theta + h) |\partial_1 \varphi \times \partial_2 \varphi| \, dA \\ &= \int_U (\cos^2 \theta \sin \theta + h) \left| \begin{pmatrix} -\sin \theta \\ \cos \theta \\ 0 \end{pmatrix} \times \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right| \, dA \\ &= \int_U (\cos^2 \theta \sin \theta + h) \left| \begin{pmatrix} \cos \theta \\ \sin \theta \\ 0 \end{pmatrix} \right| \, dA \\ &= \int_U (\cos^2 \theta \sin \theta + h) \, dA \\ &= \int_0^1 \int_0^{2\pi} \cos^2 \theta \sin \theta + h \, d\theta \, dh \quad (\text{by Fubini's theorem}) \\ &= \int_0^1 \left(-\frac{1}{3} \cos^3 \theta + h\theta \right)_{\theta=0}^{2\pi} \, dh \\ &= \int_0^1 2\pi h \, dh \\ &= 2\pi \left(\frac{1}{2} h^2 \right)_{h=0}^1 = \pi \end{aligned}$$

Example 2:

Compute the lateral surface area of a cone with height h and base radius r .

Solution:

To make our computation simpler, we can embed such a cone in \mathbb{R}^3 upside down, which is $\{(x, y, z) \in \mathbb{R}^3 : z \in [0, h], \sqrt{x^2 + y^2} \leq \frac{r}{h}z\}$. Its lateral surface is $\Sigma = \{(x, y, z) \in \mathbb{R}^3 : z \in [0, h], \sqrt{x^2 + y^2} = \frac{r}{h}z\}$.

We find a parametrization $\varphi : U \rightarrow \Sigma$, $\varphi : (\theta, t) \mapsto (\frac{rt}{h} \cos \theta, \frac{rt}{h} \sin \theta, t)$, where $U = \{(\theta, t) \in \mathbb{R}^2 : \theta \in [0, 2\pi), t \in [0, h]\}$. Thus, the lateral surface area of the cone is

$$\begin{aligned}
 \int_{\Sigma} 1 \, dS &= \int_U |\partial_1 \varphi \times \partial_2 \varphi| \, dA \\
 &= \int_U \left| \begin{pmatrix} -\frac{rt}{h} \sin \theta \\ \frac{rt}{h} \cos \theta \\ 0 \end{pmatrix} \times \begin{pmatrix} \frac{r}{t} \cos \theta \\ \frac{r}{t} \sin \theta \\ 1 \end{pmatrix} \right| \, dA \\
 &= \int_U \left| \begin{pmatrix} \frac{rt}{h} \cos \theta \\ \frac{rt}{h} \sin \theta \\ -\frac{r^2 t}{h^2} \end{pmatrix} \right| \, dA \\
 &= \int_U \frac{rt}{h} \sqrt{1 + \frac{r^2}{h^2}} \, dA \\
 &= \frac{r}{h} \sqrt{1 + \frac{r^2}{h^2}} \int_0^{2\pi} \int_0^h t \, dt \, d\theta \quad (\text{by Fubini's theorem}) \\
 &= \frac{r}{h} \sqrt{1 + \frac{r^2}{h^2}} \int_0^{2\pi} \frac{1}{2} h^2 \, d\theta \\
 &= \frac{r}{h} \sqrt{1 + \frac{r^2}{h^2}} \cdot \pi h^2 \\
 &= \pi r \sqrt{h^2 + r^2}
 \end{aligned}$$

A Formula for Surface Integral of Vector-valued Functions

Let $U \subset \mathbb{R}^2, \Sigma \subset \mathbb{R}^3$ be a surface, and $\varphi : U \rightarrow \Sigma$ be a parametrization of Σ . We know $\partial_1 \varphi(x), \partial_2 \varphi(x)$ span the tangent space of Σ at x , so $\partial_1 \varphi(x) \times \partial_2 \varphi(x)$ is a normal vector of Σ at x .

Now we want to derive a formula for

$$\int_{\Sigma} u \cdot \hat{n} \, dS$$

Since $\partial_1\varphi \times \partial_2\varphi$ is a normal vector, a unit normal vector is $\frac{\partial_1\varphi \times \partial_2\varphi}{|\partial_1\varphi \times \partial_2\varphi|}$. Now viewing it as a surface integral of scalar functions, we have

$$\begin{aligned} \int_{\Sigma} u \cdot \hat{n} \, dS &= \int_U (u \cdot \hat{n}) \circ \varphi |\partial_1\varphi \times \partial_2\varphi| \, dA \\ &= \int_U (u \circ \varphi) \cdot (\hat{n} \circ \varphi) |\partial_1\varphi \times \partial_2\varphi| \, dA \\ &= \int_U (u \circ \varphi) \cdot \frac{\partial_1\varphi \times \partial_2\varphi}{|\partial_1\varphi \times \partial_2\varphi|} |\partial_1\varphi \times \partial_2\varphi| \, dS \\ &= \int_U (u \circ \varphi) \cdot (\partial_1\varphi \times \partial_2\varphi) \, dS \end{aligned}$$

Of course it can also be the negative of this, depending on which orientation one chooses.

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Problem Calculate the flux of $F = (x, y, z^4)$ across the cone given by $z = \sqrt{x^2 + y^2}$, $0 \leq z \leq 1$, in the downward direction.

Solution A more mathematical way to say this is: Calculate

$$\int_{\Sigma} F \cdot \hat{n} \, dS$$

where $\Sigma = \{(x, y, \sqrt{x^2 + y^2}) : x, y \in \mathbb{R}, 0 \leq x^2 + y^2 \leq 1\}$

First we give a easy-to-calculate parametrization of Σ ,

$$\varphi : [0, 2\pi] \times [0, 1] \rightarrow \Sigma \quad \varphi(r, \theta) = (r \cos \theta, r \sin \theta, r)$$

and calculate $\partial_r\varphi \times \partial_\theta\varphi$.

$$\begin{aligned} \partial_r\varphi(r, \theta) &= (\cos \theta, \sin \theta, 1) \\ \partial_\theta\varphi(r, \theta) &= (-r \sin \theta, r \cos \theta, 0) \end{aligned}$$

So

$$\partial_r\varphi(r, \theta) \times \partial_\theta\varphi(r, \theta) = (-r \cos \theta, -r \sin \theta, r)$$

We want the downward direction, so third coordinate should be negative, so we can take $\hat{n} \circ \varphi$ to be $(r \cos \theta, r \sin \theta, -r)/|(r \cos \theta, r \sin \theta, -r)|$

Then

$$\begin{aligned}\int_{\Sigma} F \cdot \hat{n} \, dS &= \int_0^{2\pi} \int_0^1 F \circ \varphi \cdot (r \cos \theta, r \sin \theta, -r) \, dr \, d\theta \\ &= \int_0^{2\pi} \int_0^1 r^2 \cos^2 \theta + r^2 \sin^2 \theta - r^5 \, dr \, d\theta \\ &= 2\pi \left(\frac{r^3}{3} - \frac{r^6}{6} \right) \Big|_{r=0}^1 = \frac{\pi}{3}\end{aligned}$$