

# Countable Borel Equivalence Relations II

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# A quick recap

## Theorem (Feldman-Moore)

*If  $E$  is a countable Borel equivalence relation on the standard Borel space  $X$ , then there exists a countable group  $G$  and a Borel action of  $G$  on  $X$  such that  $E = E_G^X$ .*

## Warning

- The proof of the Feldman-Moore Theorem does not produce a “canonical group action”.
- It is sometimes difficult to express a countable Borel equivalence relation as the orbit equivalence relation arising from a “natural action.” **Cf. the Turing equivalence relation.**

# Comparing orbit equivalence relations

## Stating the obvious

*If  $G, H$  are countable groups and  $X, Y$  are a standard Borel  $G$ -space,  $H$ -space respectively, then the following are equivalent:*

- $E_G^X \leq_B E_H^Y$ .
- *There exist a Borel map  $f : X \rightarrow Y$  such that for all  $a, b \in X$ ,*

$$G \cdot a = G \cdot b \iff H \cdot f(a) = H \cdot f(b).$$

## The Fundamental Question

- *Does the complexity of  $E_G^X$  reflect the structural complexity of the group  $G$ ?*
- *To what extent does the data  $(X, E_G^X)$  “remember”  $G$  and its action on  $X$ ?*

# An easy counterexample ...

- For each countable group  $G$ , consider the Borel action of  $G$  on  $G \times [0, 1]$  defined by  $g \cdot (h, r) = (gh, r)$ .
- Then the Borel map  $(h, r) \mapsto (1_G, r)$  selects a point in each  $G$ -orbit, and so the corresponding orbit equivalence relation is smooth.

## Observation

If  $G$  acts *freely* on  $X$  and preserves a probability measure, then  $E_G^X$  isn't smooth.

## Definition

The Borel action of the countable group  $G$  on the standard Borel space  $X$  is *free* iff  $g \cdot x \neq x$  for all  $1 \neq g \in G$  and  $x \in X$ .

## Theorem (Dougherty-Jackson-Kechris)

Let  $G$  be a countable group and let  $X$  be a standard Borel  $G$ -space. If  $X$  does **not** admit a  $G$ -invariant probability measure, then for every countable group  $H \supseteq G$ , there exists a Borel action of  $H$  on  $X$  such that  $E_H^X = E_G^X$ .

## Theorem

If  $E$  is a countable aperiodic Borel equivalence relation, then  $E$  can be realised as the orbit equivalence relation of a **faithful** Borel action of uncountably many countable groups.

## Definition

A countable Borel equivalence relation  $E$  is **aperiodic** iff every  $E$ -class is infinite.

# The obvious question

## Question

Let  $E$  be a nonsmooth countable Borel equivalence relation. Does there necessarily exist a countable group  $G$  with a free measure-preserving Borel action on a standard probability space  $(X, \mu)$  such that  $E \sim_B E_G^X$ ?

## Easy Observation

Suppose that  $E$  is a countable Borel equivalence relation on an uncountable standard Borel space. Then there exists a countable group  $G$  and a standard Borel  $G$ -space  $X$  such that:

- $G$  preserves a nonatomic probability measure  $\mu$  on  $X$ .
- $E \sim_B E_G^X$ .

## Definition

- The Borel action of the countable group  $G$  on the standard Borel space  $X$  is **free** iff  $g \cdot x \neq x$  for all  $1 \neq g \in G$  and  $x \in X$ .  
In this case, we say that  $X$  is a **free standard Borel  $G$ -space**.
- The countable Borel equivalence relation  $E$  on  $X$  is **free** iff there exists a countable group  $G$  with a free Borel action on  $X$  such that  $E_G^X = E$ .
- The countable Borel equivalence relation  $E$  is **essentially free** iff there exists a free countable Borel equivalence relation  $F$  such that  $E \sim_B F$ .

## Question (Jackson-Kechris-Louveau)

*Is every countable Borel equivalence relation essentially free?*

# Some closure properties

## Theorem (Jackson-Kechris-Louveau)

*Let  $E, F$  be countable Borel equivalence relations on the standard Borel spaces  $X, Y$  respectively.*

- If  $E \leq_B F$  and  $F$  is essentially free, then so is  $E$ .*
- If  $E \subseteq F$  and  $F$  is essentially free, then so is  $E$ .*

## Corollary

*The following statements are equivalent:*

- Every countable Borel equivalence relation is essentially free.*
- $E_\infty$  is essentially free.*



# Essentially free countable Borel equivalence relations

## Theorem (S.T. 2006)

*The class of essentially free countable Borel equivalence relations does not admit a universal element. In particular,  $E_\infty$  is **not** essentially free.*

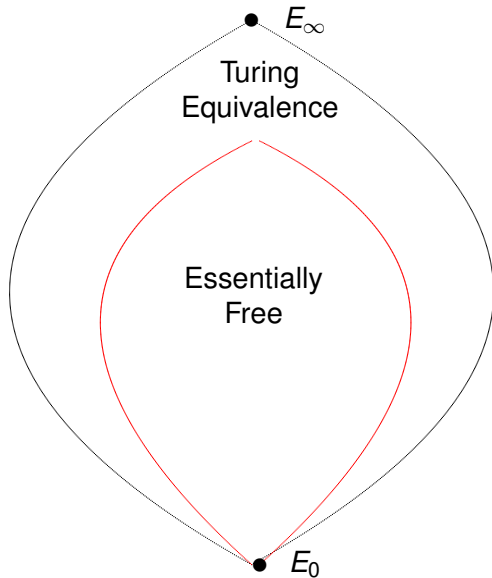
## Corollary

$\equiv_T$  is not essentially free.

## Proof.

Identifying the free group  $\mathbb{F}_2$  with a suitably chosen group of recursive permutations of  $\mathbb{N}$ , we have that  $E_\infty \subseteq \equiv_T$ . □

# A map of the world



# Bernoulli actions

- Let  $G$  be a countably infinite group and consider the shift action on  $\mathcal{P}(G) = 2^G$ .
- Then the usual product probability measure  $\mu$  on  $2^G$  is  $G$ -invariant and the **free part** of the action

$$\mathcal{P}^*(G) = (2)^G = \{x \in 2^G \mid g \cdot x \neq x \text{ for all } 1 \neq g \in G\}$$

has  $\mu$ -measure 1.

- Let  $E_G$  be the corresponding orbit equivalence relation on  $(2)^G$ .

## Observation

If  $G \leq H$ , then  $E_G \leq_B E_H$ .

## Proof.

The inclusion map  $\mathcal{P}^*(G) \hookrightarrow \mathcal{P}^*(H)$  is a Borel reduction from  $E_G$  to  $E_H$ . □

## Definition

- Let  $E$  be a countable Borel equivalence relation on the standard Borel space  $X$  with invariant probability measure  $\mu$ .
- Let  $F$  be a countable Borel equivalence relation on the standard Borel space  $Y$ .
- Then the Borel homomorphism  $f : X \rightarrow Y$  from  $E$  to  $F$  is said to be  $\mu$ -trivial iff there exists a Borel subset  $Z \subseteq X$  with  $\mu(Z) = 1$  such that  $f$  maps  $Z$  into a single  $F$ -class.

## Definition

If  $G, H$  are countable groups, then the group homomorphism  $\pi : G \rightarrow H$  is a *virtual embedding* iff  $|\ker \pi| < \infty$ .

# An easy consequence of Popa superrigidity

## Theorem

- Let  $G = SL_3(\mathbb{Z}) \times S$ , where  $S$  is **any** countable group.
- Let  $H$  be any countable group and let  $Y$  be a **free** standard Borel  $H$ -space.

*If there exists a  $\mu$ -nontrivial Borel homomorphism from  $E_G$  to  $E_H^Y$ , then there exists a virtual embedding  $\pi : G \rightarrow H$ .*

## Remark

In particular, the conclusion holds if there exists a Borel subset  $Z \subseteq (2)^G$  with  $\mu(Z) = 1$  such that  $E_G \upharpoonright Z \leq_B E_H^Y$ .

# Essentially free countable Borel equivalence relations

## Theorem

*If  $E$  is an essentially free countable Borel equivalence relation, then there exists a countable group  $G$  such that  $E_G \not\leq_B E$ .*

## Corollary

*The class of essentially free countable Borel equivalence relations does not admit a universal element. In particular,  $E_\infty$  is not essentially free.*

# Proof of Theorem

- We can suppose that  $E = E_H^X$  is realised by a free Borel action on  $X$  of the countable group  $H$ .
- Let  $L$  be a finitely generated group which does not embed into  $H$ .
- Let  $S = L * \mathbb{Z}$  and let  $G = SL_3(\mathbb{Z}) \times S$ .
- Then  $G$  has no finite normal subgroups and so there does not exist a virtual embedding  $\pi : G \rightarrow H$ .
- Hence  $E_G \not\leq_B E_H^X$ .

# Uncountably many free countable Borel equivalence relations

## Definition

- For each prime  $p \in \mathbb{P}$ , let  $A_p = \bigoplus_{i=0}^{\infty} C_p$ , where  $C_p$  is the cyclic group of order  $p$ .
- For each subset  $S \subseteq \mathbb{P}$ , let

$$G_S = SL_3(\mathbb{Z}) \times \bigoplus_{p \in S} A_p.$$

## Theorem

If  $S, T \subseteq \mathbb{P}$ , then  $E_{G_S} \leq_B E_{G_T}$  iff  $S \subseteq T$ .



# Ergodicity

## Definition

Let  $G$  be a countable group and let  $X$  be a standard Borel  $G$ -space. Then the  $G$ -invariant probability measure  $\mu$  is said to be **ergodic** iff  $\mu(A) = 0, 1$  for every  $G$ -invariant Borel subset  $A \subseteq X$ .

## Example

Every countable group  $G$  acts ergodically on  $(\{0, 1\}^G, \mu)$ .

## Theorem

If  $\mu$  is a  $G$ -invariant probability measure on the standard Borel  $G$ -space  $X$ , then the following statements are equivalent.

- The action of  $G$  on  $(X, \mu)$  is ergodic.
- If  $Y$  is a standard Borel space and  $f : X \rightarrow Y$  is a  $G$ -invariant Borel function, then there exists a  $G$ -invariant Borel subset  $M \subseteq X$  with  $\mu(M) = 1$  such that  $f \upharpoonright M$  is a constant function.

# Towards uncountably many non-essentially free countable Borel equivalence relations

## Definition

The countable groups  $G, H$  are **virtually isomorphic** iff there exist finite normal subgroups  $N \trianglelefteq G, M \trianglelefteq H$  such that  $G/N \cong H/M$ .

## Lemma

There exists a Borel family  $\{S_x \mid x \in 2^{\mathbb{N}}\}$  of f.g. groups such that if  $G_x = SL_3(\mathbb{Z}) \times S_x$ , then the following conditions hold:

- If  $x \neq y$ , then  $G_x$  and  $G_y$  are not virtually isomorphic.
- If  $x \neq y$ , then  $G_x$  doesn't virtually embed in  $G_y$ .

## Definition

For each Borel subset  $A \subseteq 2^{\mathbb{N}}$ , let  $E_A = \bigsqcup_{x \in A} E_{G_x}$ .

# Not essentially free

## Lemma

*If the Borel subset  $A \subseteq 2^{\mathbb{N}}$  is uncountable, then  $E_A$  is not essentially free.*

## Proof.

- Suppose that  $E_A \leq_B E_H^Y$ , where  $H$  is a countable group and  $Y$  is a free standard Borel  $H$ -space.
- Then for each  $x \in A$ , we have that  $E_{G_x} \leq_B E_H^Y$  and so there exists a virtual embedding  $\pi_x : G_x \rightarrow H$ .
- Since  $A$  is uncountable and each  $G_x$  is finitely generated, there exist  $x \neq y \in A$  such that  $\pi_x[G_x] = \pi_y[G_y]$ .
- But then  $G_x, G_y$  are virtually isomorphic, which is a contradiction.



# Uncountably many non-essentially free relations

## Lemma

$E_A \leq_B E_B$  iff  $A \subseteq B$ .

## Proof.

- Suppose that  $E_A \leq_B E_B$ .
- Suppose also that  $A \not\subseteq B$  and that  $x \in A \setminus B$ .
- Then there exists a Borel reduction from  $E_{G_x}$  to  $E_B$

$$f : (2)^{G_x} \rightarrow \bigsqcup_{y \in B} (2)^{G_y}.$$

- By ergodicity, there exists  $\mu_x$ -measure 1 subset of  $(2)^{G_x}$  which maps to a **fixed**  $(2)^{G_y}$ .
- This yields a  $\mu_x$ -nontrivial Borel homomorphism from  $E_{G_x}$  to  $E_{G_y}$  and so  $G_x$  virtually embeds into  $G_y$ , which is a contradiction.