

## Matroids and the Greedy Algorithm

Independence System:

Ground set  $E$ .

$$\mathcal{I} \subseteq 2^E$$

$$J \in \mathcal{I} \Rightarrow J \subseteq \mathcal{I}$$

$$p_1, p_2, \dots, p_n$$

Try and add elements  
one at a time.

- ⓪ Matchings
- Ⓜ Forest *Matroid*
- Ⓢ Stable Sets
- Ⓚ 0-1 Knapsack Problem
- Ⓜ Matrices *Matroid*

Maximize  $\sum p_i x_i$   $p_i$  = value the weight

greedy

$$\text{s.t. } \sum w_i x_i \leq W$$

$$x_i = 0 \text{ or } 1$$

## Optimization Problem

Given  $E, \mathcal{I}$  and  $w: E \rightarrow \mathbb{R}^+$

Problem: find  $I \in \mathcal{I}$  that maximizes  $w(I) = \sum_{e \in I} w(e)$ .

Greedy Algorithm [Myopic]

$E = \{e_1, e_2, \dots, e_m\}$  and assume that  $w(e_1) \geq w(e_2) \geq \dots$

$$S = \emptyset$$

for  $i = 1$  to  $m$  do

begin

if  $S \cup \{e_i\} \in \mathcal{I}$  then  $S \leftarrow S \cup \{e_i\}$

end

Problem  
 and  $w: E \rightarrow \mathbb{R}^+$   
 $I \in \mathcal{I}$  that maximizes  $w(I) = \sum_{e \in I} w(e)$ .  
 (Myopic)  
 and assume that  $w(e_i) \geq w(e_{i+1}), \forall i$   
 do  
 $\mathcal{I}$  then  $S \leftarrow \text{Sort } \mathcal{I}$



Maximize  $7x_1 + 5x_2 + 3x_3$   
 s.t.  $4x_1 + 2x_2 + 2x_3 \leq 4$   
 $x_1, x_2, x_3 \in \{0,1\}$   
 Greedy Algorithm:  $x_1=1, x_2=x_3=0$   
 Optimum:  $x_1=0, x_2=x_3=1$

Maximize  $2x_1 + x_2 + x_3 + \dots + x_n$   
 s.t.  $x_1, x_2, x_3, \dots, x_n \in \{0,1\}$   
 Greedy Algorithm:  $x_1=1, x_2=1, \dots, x_n=1$  Greedy optimum  
 Optimum:  $x_1=1, x_2=1, \dots, x_n=1$

When is greedy guaranteed to solve problem  
 $E, \mathcal{I}$  is a matroid if  $I, J \in \mathcal{I}$  and  $|I| > |J| \Rightarrow \exists e \in I \setminus J$  such that  $I \setminus \{e\} \in \mathcal{I}$   
 (i) Matchings of  $K_4$ :  $I=\{1,2\}, J=\{1,3\}$  — not a matroid  
 (ii)  $I=\{1,2\}, J=\{2,3\}$   
 (iii)  $I=\{1,2\}, J=\{2,3\}$   
 (iv)  $I=\{1,2\}, J=\{2,3\}$   
 (v)  $I=\{1,2\}, J=\{2,3\}$   
 (vi)  $I=\{1,2\}, J=\{2,3\}$   
 (vii)  $I=\{1,2\}, J=\{2,3\}$   
 (viii)  $I=\{1,2\}, J=\{2,3\}$   
 (ix)  $I=\{1,2\}, J=\{2,3\}$   
 (x)  $I=\{1,2\}, J=\{2,3\}$   
 (xi)  $I=\{1,2\}, J=\{2,3\}$   
 (xii)  $I=\{1,2\}, J=\{2,3\}$   
 (xiii)  $I=\{1,2\}, J=\{2,3\}$   
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 (xxx)  $I=\{1,2\}, J=\{2,3\}$

$$\mathbb{R}^+$$

$$\text{maximize } \omega(I) = \sum_{e \in I} \omega(e).$$

$$\omega(e_i) \geq \omega(e_{i+1}), \forall i$$



Thm Greedy algorithm always finds a maximum weight independent set iff  $E, I_0$  is a matroid.

Proof

(a) Suppose Greedy always works.  $I, J = \emptyset$  and  $|J| = |I| + 1$ .

Define

$$\omega(e) = \begin{cases} 1 + \frac{1}{2^{|I|}} & : e \in I \\ 1 & : e \in J \setminus I \\ 0 & : e \notin I \cup J \end{cases}$$

$$\omega(I) = |I| + \frac{1}{2} < \omega(J)$$

First Greedy chooses  $I$ .

But since  $I$  is not maximum, it must be able to choose some  $e \in J \setminus I$  to continue.



(b) Suppose  $E, \mathcal{I}$  is a matroid.

Assume  $w(e) > 0, \forall e \in E$ .

To maximize  $w(I)$ , we do not need to use elements  $e$ , with  $w(e) \leq 0$ .

Also removing elements of weight  $\leq 0$ , leaves a matroid.

Suppose Greedy chooses  $I_g = \{e_1, e_2, \dots, e_k\}$

and  $I = \{e_1, e_2, \dots, e_m\}$  is any other independent set

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Greedy Algorithm [Myopic]

$E = \{e_1, e_2, \dots, e_m\}$  and assume that  $w(e_i) \geq w(e_{i+1}), \forall i$

$S = \emptyset$

for  $i = 1$  to  $m$  do

begin

if  $S \cup \{e_i\} \in \mathcal{I}$  then  $S \leftarrow S \cup \{e_i\}$

end

We can assume  $k=1$ .

We show that  $l_1 \leq d_1, l_2 \leq d_2, \dots, l_n \leq d_n$ .

Suppose not and let  $t$  be the first index such that  $l_t > d_t$ .

$$I = \{e_{i_1}, e_{i_2}, \dots, e_{i_{t-1}}\} \text{ and } J = \{e_{j_1}, e_{j_2}, \dots, e_{j_t}\}$$

Imagine Greedy after  $t-1$  rounds. Greedy chooses  $l_t$   
but there exist  $e_{j_t} \in J \setminus I$  that could be added.  $d_{j_t} \leq d_t < l_t$  ??

