# **Network Coding**

**An Instant Primer** 

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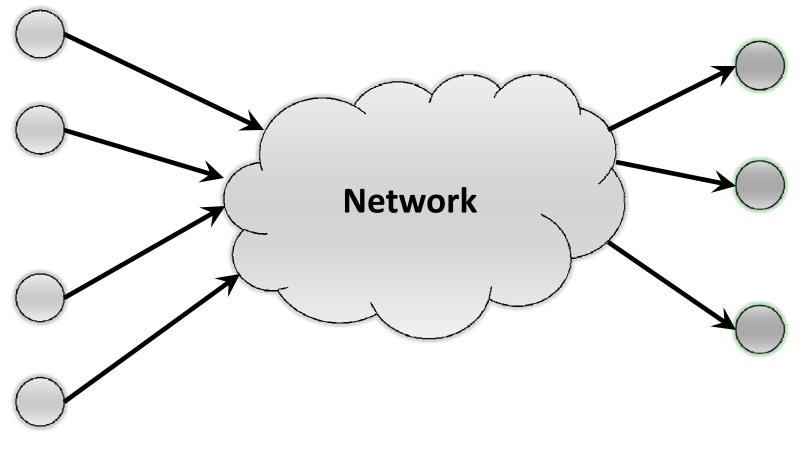
#### **Sources of Materials**

**Links from Course Web Page** 

- Network Coding: An Instant Primer
  - Fragouli, Boudec, and Widmer.
- Network Coding an Introduction
  - Koetter and Medard
- On Randomized Network Coding
  - Ho, Medard, Shi, Effros, and Karger
- An Algebraic Approach to Network Coding
  - Ralf Koetter and Medard

### **Basic Goal:**

# Throughput + Robustness



Source

**Terminal** 

# Today's Network: Data as rigid objects





# Network Coding: Nodes can recombine packets



Example: A sends 'a' to B, B sends 'b' to A

# Why is Network Coding interesting?

(1) Enormous Throughput Improvement

Thm [Ahlswede, Cai, Li, and Yeung, 2000]

There exist multicast problems such that the gap between routing and network coded strategies is arbitrarily large.

# Why is Network Coding interesting?

(2) Robustness for free

Thm [Deb and Medard, 2004]

Rumor propagation on n nodes and O(n) messages take O(n) rounds.

# Are we too optimistic?

<u>Thm</u> [Li and Li, 2004]

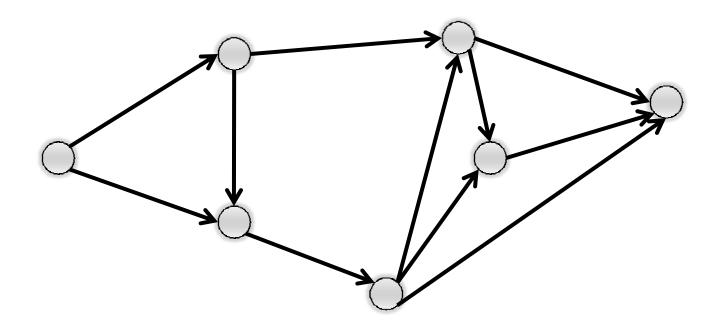
The throughput gain in undirected settings is at most 2.

### Roadmap

- Motivations, High-level Picture, Goofing Around, ...
- Algebraic Foundations of Network Coding
- Decentralized/Randomized Construction
- Practical Considerations

# Part II Algebraic Foundations of Network Coding

### **Problem Formulation**



**Setting:** Directed Graph with edge capacity C(e)

# **Problem Formulation (cont.)**

Input random processes:

$$\chi(v) = \{X(v,1), X(v,2), \dots, X(v,\mu(v))\}$$

- A connection from  $\nu$  to  $\nu'$ :
  - Replicate a subset of random processes of v.

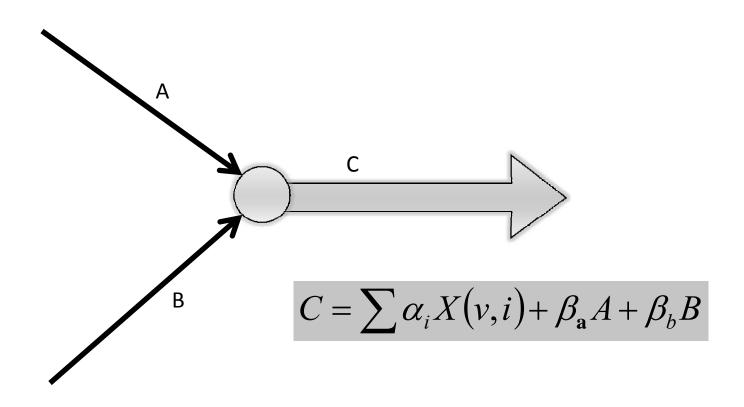
$$c = (v, v', \chi(v, v'))$$

 A pair of graph and set of connections defines a network coding problem.

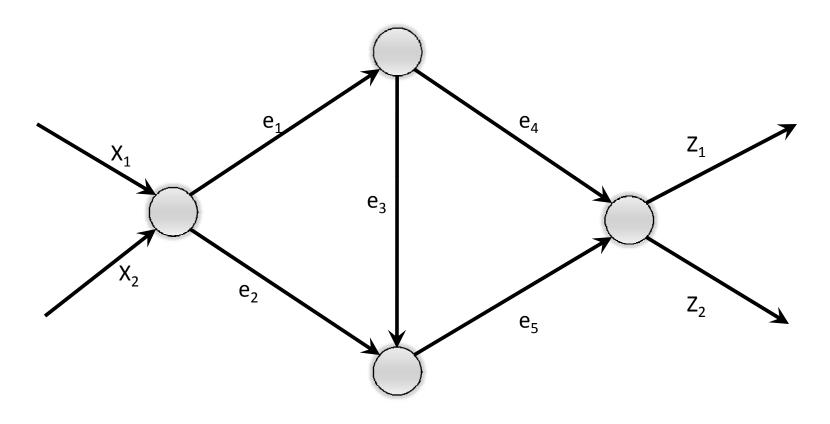
#### **Basic Model:** Linear Network Codes

- Links have the same capacity. I.e., C(e) = 1
- Sources have the same rate. I.e., H(X(v, i)) = 1
- The "data" X(v, i) are mutually independent (across v and i).
- All operations at network nodes are linear :)

### **Linear Network Codes**



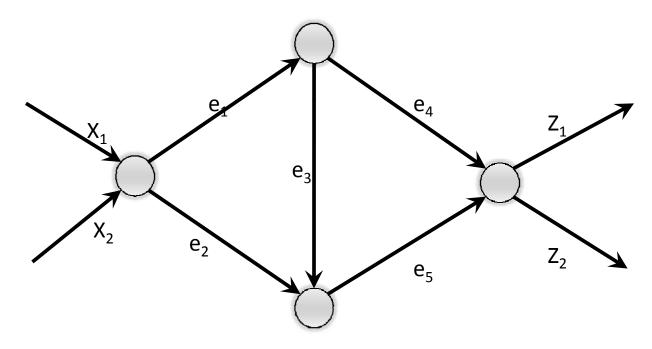
# Linear Network: A Simple Example



$$\begin{pmatrix} Z_1 \\ Z_2 \end{pmatrix} = \begin{pmatrix} \mathcal{E}_{e_4,1} & \mathcal{E}_{e_5,1} \\ \mathcal{E}_{e_4,2} & \mathcal{E}_{e_5,2} \end{pmatrix} \begin{pmatrix} \beta_{e_1,e_4} & 0 \\ \beta_{e_1,e_3}\beta_{e_3,e_5} & \beta_{e_2,e_5} \end{pmatrix} \begin{pmatrix} \alpha_{1,e_1} & \alpha_{1,e_2} \\ \alpha_{2,e_1} & \alpha_{2,e_2} \end{pmatrix} \begin{pmatrix} X_1 \\ X_2 \end{pmatrix}$$

#### The Transfer Matrix

Let F be an |E|x|E| matrix, where  $f_{i,j} = \beta_{i,j}$ .

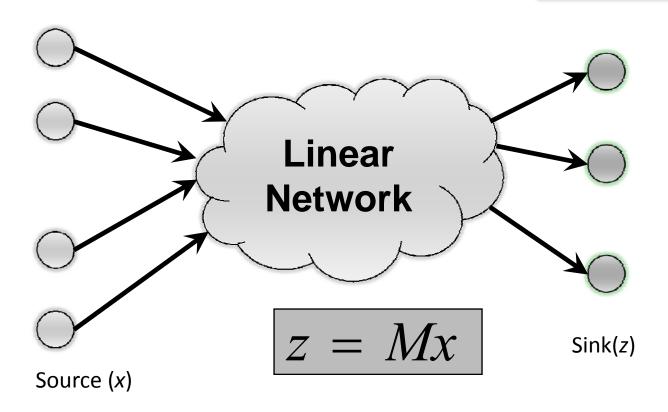


Let  $G = I + F + F^2 + ... = (I - F)^{-1}$ , and define M = BGA

### **A Linear System**

**Transfer Matrix** 

Let F be an |E|x|E| matrix, where  $f_{i,j} = \beta_{i,j}$ . Let  $G = I + F + F^2 + ... = (I - F)^{-1}$ , and define M = BGA



#### **Connections to Max-Flow Min-Cut**

- Theorem (Max-Flow Min-Cut)
  - In a network where the only desired connection is *c*, the network problem is solvable if and only if the rate of the connection *R*(*c*) is less than or equal to the minimum value of all cuts between the source and the sink.

### What about Multicast?

#### Theorem

- There exists a linear network coding solution for a network problem over a finite field of 2<sup>m</sup> elements for some large enough *m* if and only if there exists a flow of sufficient capacity between the source and each sink individually.
- Together with Max-Flow Min-Cut theorem, gives a criterion for when a network problem is solvable.

### So far...

- Networks have no cycles, and no delays.
  - Easy to add delays, cycles:  $G = (I DF)^{-1}$
- We don't know how to construct the matrices A, B, and M yet.
  - That's the topic of our next section.
- How big is the field size (2<sup>m</sup>)?
  - Typically not too bad.
  - Multicast: *O*(*T*)

### Roadmap

- Motivations, High-level Picture, Goofing Around, ...
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- Decentralized/Randomized Construction
- Practical Considerations

# How do we construct these magic matrices?

#### Many centralized methods:

- Direct algebraic solution [KM01]
- Subgraph/flow solutions [SET03, JCJ03]
- etc.

# Part III Distributed Randomized Coding

# Randomized Coding: Idea [Ho, Medard, Shi, Effros and Karger, 2003]

- Interior network nodes independently choose random linear mappings from inputs to outputs.
- Coefficients of aggregate effect communicated to receivers.
- = > Receivers can decode if they receive as many independent linear combinations as the number of source processes.

# Randomized Coding: Main Theorem [Ho, Medard, Shi, Effros and Karger, 2003]

- For a feasible (multicast) connection problem on a (possibly cyclic) network, a network code constructed by the previously mentioned scheme has a success probability at least 1 – (1 – d/q)<sup>n</sup> for q > d, where d is the number of receivers and n is the number of links carrying random source processes.
- Proof Idea: Pretty much follows from the two lemmas we are about to see.

#### **Lemma 1:** Network as Edmonds matrix

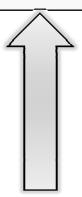
■ For an arbitrary (possibly cyclic) network, the transfer matrix  $A(I - F)^{-1}B$  is non-singular if and only if the corresponding Edmonds matrix E is non-singular, where

$$E = \begin{bmatrix} A & 0 \\ I - F & B \end{bmatrix}$$

#### **Proof of Lemma 1**

#### Note that

$$\begin{bmatrix} I & -A(I-F)^{-1} \\ 0 & I \end{bmatrix} \begin{bmatrix} A & 0 \\ I-F & B \end{bmatrix} = \begin{bmatrix} 0 & -A(I-F)^{-1}B \\ I-F & B \end{bmatrix}$$



The matrix has determinant 1.

By a simple expansion, we know that

$$\det\begin{bmatrix} A & 0 \\ I - F & B \end{bmatrix} = c \det(A(I - F)^{-1}B)\det(I - F)$$

Since det (I - F) is non-zero, the lemma follows.

#### Lemma 2

- Let P be a polynomial in F [ $Y_1$ ,  $Y_2$ ,...] of degree at most dn, in which the largest exponent of any variable is at most d. If values for Y's are chosen independently and uniformly at random from  $F_q \subseteq F$ , then the probability that P equals to zero is at most  $1 (1 d/q)^n$  for d < q.
- **Proof Idea**: Recursive applications of Schwartz-Zippel.

#### Thm [Schwartz-Zippel]

Let P be a polynomial of degree d over a field F. Let S be a finite subset of F. The probability that P is 0 when evaluated at randomly selected points from S is <=d/|S|.

#### **Proof Sketch of Lemma 2**

By Schwartz-Zippel, we know that

$$\Pr[P=0] \le \Pr[P_1 \ne 0] \frac{d_1}{q} + \Pr[P_1 = 0]$$

where  $d_1$  is the largest exponent of  $Y_1$  in  $P_1$ , and  $P_2$  is such that  $P = Y_1^{d_1} P_1 + R_1$ 

Inductively, we find that

$$\Pr[P_{k'} = 0] \le \left(1 - \frac{d_{k'+1}}{q}\right) \Pr[P_{k'+1} \ne 0] + \frac{d_{k'+1}}{q}$$

### **Proof Sketch of Lemma 2 (cont.)**

Combining the results:

$$\Pr[P=0] \leq \frac{\sum_{i=1}^{k} d_i}{q} - \frac{\sum_{i \neq j} d_i d_j}{q^2} + \dots + (-1)^{k-1} \frac{\prod_{i=1}^{k} d_i}{q^k}$$

where 
$$0 \le dn - \sum_{i=1}^k d_i$$

- Seek d<sub>i</sub>'s that maximize the probability.
  - Idea: Relax an integer optimization program (straightforward but tedious)
  - Result: we know  $d_i^* \in \{0, d\}$  and  $\sum_{i=1}^{dn} d_i^* = dn$
- Q.E.D.

# Part IV Practical Considerations

# Okay, I want to implement network coding today...

- What do you say?
  - Good luck (with an evil smile)
  - It is actually pretty simple...

### **Linear Coding Summary**

- Original Packets:  $M^{(1)}, M^{(2)}, ..., M^{(n)}$
- Each round, randomly pick  $g_1, g_2, ..., g_n$  and send out

$$(\vec{g}, X) = \langle \langle g_1, ..., g_n \rangle, \sum_{i=1}^n g_i M^{(i)} \rangle$$

■ Intermediate nodes dream up  $h_1, h_2, ..., h_m$  used for combining packets.

$$X' = \sum_{i=1}^{m} h_j X^j$$

# **Linear Coding Summary (cont.)**

- Decoding
  - Assume you receive  $[(g^i, X^i)]_{i=1}^m$
  - Basically solve the linear system

$$X^{j} = \sum_{i=1}^{n} g_{i}^{j} M^{(i)}$$

 Experiments show that Gaussian elimination seem to suffice.

# **Real-World Use of Network Coding**

**P2P Content Distribution** 

**Many-to-Many Broadcast** 

Data Gathering in Ad-hoc Sensor Networks

#### **Avalanche:** A P2P Distribution

- Microsoft Research
- Goal: Efficient content distribution network
  - Get large files (e.g., Windows update) to everyone
- Network Coding:
  - Minimizes download times (optimal packet scheduling is almost impossible; complex network)
  - Outperforms traditional forwarding
  - More robust when servers leave early (DoS/bombarding)
  - Works okay when incentive mechanisms are implemented.

