

21-301 Combinatorics  
Homework 4  
Due: Friday, October 5

1. A permutation  $\pi(1), \pi(2), \dots, \pi(n)$  is said to be 132 avoiding if there do **not** exist  $i < j < k$  such that  $\pi(j) > \pi(k) > \pi(i)$ . Let  $u_n$  be the number of 132 avoiding permutations. Let  $u_0 = 1, u_1 = 1, u_2 = 2$  and show that for  $n \geq 3$ ,

$$u_n = \sum_{i=1}^n u_{i-1} u_{n-i}.$$

(Hint: Count 132 avoiding permutations with  $\pi(i) = n$ .)

Compare this with the recurrence for the number of ways of triangulating a polygon and get an expression for  $u_n$ .

**Solution:** Suppose that  $\pi(i) = n$ . Then to be 132 avoiding we must have  $\pi(s) > \pi(t)$  for  $s < i < t$ . Then  $\pi(1), \dots, \pi(i-1)$  must be a 132 avoiding permutation of  $[n-i+1, n-1]$  and can be any such. Similarly  $\pi(i+1), \dots, \pi(n)$  must be a 132 avoiding permutation of  $[1, n-i]$  and can be any such. This explains the recurrence.

The recurrence for triangulations is  $v_n = \sum_{i=0}^n v_i v_{n-i}$  where  $v_0 = 0, v_1 = 1$ . Putting  $u_{-1} = 0$  we see that now  $u_n = \sum_{i=0}^{n+1} u_{i-1} u_{n-i}$  and that  $w_n = u_{n-1}$  satisfies  $w_{n+1} = \sum_{i=0}^{n+1} w_i w_{n+1-i}$ . Also,  $w_0 = v_0$  and  $w_1 = v_1$  and so  $w_n = v_n$  for all  $n \geq 0$ . So,  $u_n = v_{n+1} = \frac{1}{n+1} \binom{2n}{n}$ .

2.  $n$  distinguishable balls are independently and randomly numbered with  $m$  colors, each color being equally likely. What is the probability that the number of balls with color 1 is equal to the number of balls with color 2. (The answer is a sum).

**Solution:** Fix  $0 \leq k \leq n$ . The probability that there are exactly  $k$  balls with color 1 and exactly  $k$  balls with color 2 is

$$\binom{n}{k, k, n-2k} \frac{1}{m^{2k}} \left(1 - \frac{2}{m}\right)^{n-2k}.$$

So the probability in question is

$$\sum_{k=0}^n \binom{n}{k, k, n-2k} \frac{1}{m^{2k}} \left(1 - \frac{2}{m}\right)^{n-2k}.$$

Note that if  $k > n/2$  then the summand above is zero.

3.  $n$  indistinguishable balls are independently and randomly numbered with  $m$  colors, each coloring being equally likely. What is the probability that the number of balls with color 1 is equal to the number of balls with color 2. (The answer is a sum).

**Solution:** Fix  $k$  as in question 2. If  $x_i$  is the number of balls with color  $i$  then (i) there are  $\binom{m+n-1}{m-1}$  ways of coloring the balls and (ii)  $\binom{m+n-2k-3}{m-3}$  ways in coloring so that  $x_1 = x_2 = k$ . So the probability in question is

$$\sum_{k=0}^n \frac{\binom{m+n-2k-3}{m-3}}{\binom{m+n-1}{m-1}}.$$