## Functional analysis II, Revision lecture $_{\rm Term \ 3 \ 2014/2015}$

## **Problems**

- 1. Let  $(X, \|\cdot\|)$  be a normed vector space. Prove that if  $\|x + y\| = \|x\| + \|y\|$ for some  $x, y \in X$ , then for every nonnegative real numbers  $\alpha, \beta$  we have  $\|\alpha x + \beta y\| = \alpha \|x\| + \beta \|y\|$ .
- 2. Let f and  $f_1, f_2, \ldots, f_n$  be linear functionals defined on the same vector space. Prove that

$$\bigcap_{j=1}^n \ker f_j \subset \ker f$$

if and only if f is a linear combination of  $f_1,\ldots,f_n.$ 

- Let Y be a closed subspace of a normed vector space X. Prove that if Y and X/Y are separable, then so is X.
- 4. Is the quotient space  $\ell_{\infty}/c_0$  separable?
- 5. Let Y be a closed subspace of a normed vector space X. Prove that if Y and X/Y are complete, then so is X.
- 6. Suppose X, Y are closed subspaces of a normed vector space. Need X + Y be closed?
- 7. Let  $1 \le p < q$ . Show that the set

$$A=\left\{f\in L_p[0,1],\ \int_0^1|f|^q\leq 1\right\}$$

is closed with empty interior in  $(L_p[0,1], \|\cdot\|_p)$ . Conclude that  $L_q[0,1]$  is a countable union of nowhere dense sets in  $(L_p[0,1], \|\cdot\|_p)$ . Why does this not contradict Baire's theorem and  $L_p$  spaces being Banach?

8. Let f be a nonzero functional on a normed vector space. Prove that the following conditions are equivalent

f is continuous,	(♣)
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ker f is closed,  $(\spadesuit)$ 

ker f is nowhere dense. 
$$(\diamondsuit)$$

9. Given a vector space X, is it always possible to define a norm || · || on X such that (X, || · ||) becomes a Banach space? (In other words, is every vector space Banach-normable?)

- **10.** Let X be a Banach space in which every subspace is closed. Show that X is finite dimensional.
- 11. Give an example of a vector space X for which there are two norms  $\|\cdot\|$  and  $\|\cdot\|'$  such that  $(X, \|\cdot\|)$  is separable but  $(X, \|\cdot\|')$  is not.
- 12. Define the Rademacher functions

$$r_n(t) = sgn(sin(2^n \pi t)), \quad n = 0, 1, 2, \dots$$

Show that  $\{r_n, n \ge 0\}$  is an incomplete orthogonal system in  $L_2[0, 1]$ .

- 13. Show that every orthogonal subset of a separable Hilbert space is countable.
- 14. Let C be a nonempty closed and convex subset of a Hilbert space H. We know that for every x ∈ H there is a unique best approximation x\* of x in C, that is ||x\*-x|| = inf<sub>a∈C</sub> ||a-x||. Show that for every x, y ∈ H we have

$$||x^* - y^*|| \le ||x - y||.$$

- 15. Let P, Q be orthogonal projections in Hilbert space. Prove that  $||P Q|| \le 1$ .
- 16. Let T be an  $n \times n$  matrix with row vectors  $a_1, \ldots, a_n \in \mathbb{R}^n$  and column vectors  $b_1, \ldots, b_n \in \mathbb{R}^n$ ,

$$\mathsf{T} = \left[ \begin{array}{ccc} - & a_1 & - \\ - & a_2 & - \\ & & \ddots & \\ - & a_n & - \end{array} \right] = \left[ \begin{array}{ccc} | & | & & | \\ b_1 & b_2 & \dots & b_n \\ | & | & & | \end{array} \right].$$

Show that T, as a linear operator acting on certain  $\ell_{\rm p}$  spaces, has the following norms

$$\begin{split} \|\mathsf{T}\|_{\ell_p^n \to \ell_\infty^n} &= \max_{j \le n} \|\mathfrak{a}_j\|_q, \\ \|\mathsf{T}\|_{\ell_1^n \to \ell_p^n} &= \max_{j \le n} \|b_j\|_p, \end{split}$$

where  $p \in [1, \infty]$  and 1/p + 1/q = 1.

- 17. Find all  $\alpha \in \mathbb{R}$  for which the linear map  $T: \ell_3 \longrightarrow \ell_1$ ,  $Tx = (n^{\alpha}x_n)_{n \geq 1}$  is bounded.
- 18. Give an example of a bounded linear map  $S: c_0 \longrightarrow c_0$  for which there is no linear extension  $\tilde{S}: c \longrightarrow c_0$  preserving the norm, that is  $\tilde{S}|c_0 = S$  and  $\|\tilde{S}\| = \|S\|$ .

- 19\* Let (X, || · ||) be an n dimensional normed vector space. Show that there are linearly independent unit vectors x<sub>1</sub>,..., x<sub>n</sub> ∈ X and functionals φ<sub>1</sub>,..., φ<sub>n</sub> ∈ X\* of norm one satisfying φ<sub>j</sub>(x<sub>i</sub>) = δ<sub>ij</sub> for every i, j ≤ n. (Auerbach's lemma.)
- 20. Let  $(X, \|\cdot\|)$  be an n dimensional normed vector space. Show that there is a basis  $x_1, \ldots, x_n$  of X such that for every scalars  $\lambda_1, \ldots, \lambda_n$  we have

$$\max_{j\leq n} |\lambda_j| \leq \left\| \sum_{j=1}^n \lambda_n x_n \right\| \leq \sum_{j=1}^n |\lambda_j|.$$

- 21. Let  $(X, \|\cdot\|)$  be a normed vector space which is reflexive. Prove that for every bounded functional  $\phi \in X^*$  there is a unit vector  $x \in X$  such that  $\phi(x) = \|\phi\|$ .
- 22. Prove that the spaces:  $c_0, c, \ell_1, C[0, 1], L_1[0, 1]$  are *not* reflexive.
- Let X be a normed vector space. Show that every weakly convergent sequence in X is bounded.
- Let X be a Banach space. Show that every weakly\* convergent sequence in X\* is bounded.
- 25. Let  $\{v_n, n \ge 1\}$  be an orthogonal bounded set in a Hilbert space. Show that the sequence  $(v_n)$  converges weakly to 0.
- 26. Let T: X  $\longrightarrow$  Y be a linear map between Banach spaces X, Y. Show that T is bounded if and only if for every weakly convergent sequence  $(x_n)$  in X, the sequence  $(Tx_n)$  is weakly convergent in Y.
- 27. Let p ∈ (1,∞). Show that a sequence (x<sub>n</sub>) is weakly convergent to x in l<sub>p</sub> if and only if it is bounded and each coordinate of x<sub>n</sub> converges to the corresponding coordinate of x.
- 28<sup>†</sup> Show that if a sequence  $(x_n)$  converges weakly in  $\ell_1$  to x then  $||x_n x||_1 \xrightarrow[n \to \infty]{} 0$ . (Schur's property.)
- **29.** Let X be a normed vector space. Show that if the dual space  $X^*$  is separable, then so is X.
- **30**<sup>\*</sup> Let  $(X, \mathcal{F}, \mu)$  and  $(Y, \mathcal{G}, \nu)$  be measurable spaces. Let  $p \in [1, \infty)$  and suppose  $f: X \times Y \longrightarrow \mathbb{R}$  is  $\mathcal{F} \otimes \mathcal{G}$  measurable. Then

$$\left\| y \mapsto \int_X f(x,y) d\mu(x) \right\|_{L_p(\nu)} \le \int_X \| y \mapsto f(x,y) \|_{L_p(\nu)} d\mu(x)$$

(Minkowski's integral inequality).

## Solutions

1. Suppose that  $\alpha \geq \beta$ . By the triangle inequality,

$$\|\alpha x + \beta y\| = \|\alpha(x + y) - (\alpha - \beta)y\| \ge \alpha \|x + y\| - (\alpha - \beta)\|y\|$$

which combined with the assumption gives

$$\|\alpha x + \beta y\| \ge \alpha \|x\| + \beta \|y\|.$$

By the triangle inequality, the opposite inequality holds as well.

2. If  $f = \alpha_1 f_1 + \ldots + \alpha_n f_n$  for some scalars  $\alpha_i$  then plainly

$$\bigcap_{j=1}^n \ker f_j \subset \ker f.$$

We show the converse inductively on n. Let n = 1. If  $f_1 = 0$ , then by  $\ker f_1 \subset \ker f$  also f = 0, so there is nothing to prove. Take then a nonzero vector v such that  $f_1(v) \neq 0$ . For every vector x we have

$$x - rac{f_1(x)}{f_1(v)} v \in \ker f_1 \subset \ker f,$$

hence

$$f\left(x - \frac{f_1(x)}{f_1(v)}v\right) = 0$$

which yields  $f = \frac{f(v)}{f_1(v)}f_1$ . Suppose we have n + 1 functionals  $f_1, \ldots, f_{n+1}$  and  $\bigcap_{j=1}^{n+1} \ker f_j \subset \ker f$ . Consider the subspace  $Z = \ker f_{n+1}$  and the restricted functionals  $g_i = f_i | Z$ ,  $i \leq n$ , g = f | Z on Z. By the inductive assumption,  $g = \alpha_1 g_1 + \ldots + \alpha_n g_n$  (on Z) for some scalars  $\alpha_i$ . This particularly implies that

$$\ker f_{n+1} = Z \subset \ker(f - \alpha_1 f_1 - \ldots - \alpha_n f_n),$$

so by the case n = 1 we get

$$f - \alpha_1 f_1 - \ldots - \alpha_n f_n = \alpha_{n+1} f_{n+1}$$

for some scalar  $\alpha_{n+1}$ , which completes the proof.

3. Let  $\{y_n, n \ge 1\}$  be a dense subset in Y and let  $\{x_n + Y, n \ge 1\}$  be a dense subset in X/Y. For any  $\epsilon > 0$  and  $x \in X$  we can find n such that

$$||(x - x_n) + Y|| = ||(x + Y) - (x_n + Y)|| < \epsilon.$$

By the definition of a quotient norm and the fact that the  $y_n$  are dense in Y we can find m such that

$$\|\mathbf{x}-\mathbf{x}_{n}-\mathbf{y}_{m}\|<2\epsilon.$$

This shows that the set  $\{x_n + y_m, n, m \ge 1\}$  is dense in X.

- 4. We know that the space  $c_0$  is separable, whereas  $\ell_{\infty}$  is not. If the quotient space  $\ell_{\infty}/c_0$  was separable, then, by Problem 3,  $\ell_{\infty}$  would be separable.  $\Box$
- 5. Suppose  $(x_n)$  is a Cauchy sequence in X. Then clearly  $(x_n + Y)$  is a Cauchy sequence in X/Y. By the assumption it converges, say to x + Y,

$$\|(\mathbf{x} - \mathbf{x}_n) + \mathbf{Y}\| \xrightarrow[n \to \infty]{} \mathbf{0}$$

This means that there are  $y_n \in Y$  such that

$$\|\mathbf{x} - \mathbf{x}_n - \mathbf{y}_n\| < \|(\mathbf{x} - \mathbf{x}_n) + \mathbf{Y}\| + 1/n \underset{n \to \infty}{\longrightarrow} \mathbf{0}.$$

In particular,  $x_n + y_n$  converges to x. It remains to show that  $y_n$  converges as well. We have

$$\begin{split} \|y_n - y_m\| &\leq \|y_n + x_n - x\| + \|x - x_m - y_m\| + \|x_m - x_n\| \\ &\leq \|(x - x_n) + Y\| + \|(x - x_m) + Y\| + 1/n + 1/m + \|x_m - x_n\| \end{split}$$

which shows that  $(y_n)$  is a Cauchy sequence in Y.

**6**. The subspace X + Y need not be closed. Consider for instance

$$\begin{split} X &= \operatorname{span}\{e_{2n}, \ n \geq 1\}, \\ Y &= \operatorname{span}\left\{e_{2n} + \frac{1}{\sqrt{n}}e_{2n+1}, \ n \geq 1\right\}, \end{split}$$

in  $\ell_2$ . These are closed subspaces (why?). Moreover, span $\{e_n, n \ge 1\} \subset X + Y$ . Therefore, if X + Y was closed, we would have  $X + Y = \ell_2$ . However,

$$\sum_{n=1}^{\infty} \frac{1}{n} e_{2n+1} \in \ell_2 = X + Y$$

would imply that

$$\sum_{n=1}^{\infty} \frac{1}{\sqrt{n}} e_{2n} \in X$$

but this vector does not belong to  $\ell_2$ . This contradiction shows that X + Y is not closed.

7. Suppose that  $f_n \in A$  and  $f_n \to f$  in  $L_p[0, 1]$ . Convergence in  $L_p$  implies convergence in law, hence there is a subsequence  $n_k$  such that  $f_{n_k}$  converges to f a.s. By Fatou's lemma we get

$$\int_0^1 |f|^q = \int_0^1 \varliminf_{k \to \infty} |f_{n_k}|^q \leq \varliminf_{k \to \infty} \int_0^1 |f_{n_k}|^q \leq 1,$$

so  $f \in A$  which shows that A is closed.

Suppose the interior of A in  $L_p$  is not empty, that is A contains a ball. Since p < q,  $L_p[0, 1] \subsetneq L_q[0, 1]$ , such a ball contains functions with infinite  $L_q$  norms. This contradicts the fact that A is bounded in the  $L_q$  norm.

Thus A is nowhere dense and so is any its dilation nA. We have

$$L_q[0,1] = \bigcup_{n \ge 1} nA$$

which shows that  $L_q[0, 1]$  is a countable union of nowhere dense sets in  $L_p[0, 1]$ . This does not contradict that  $(L_q[0, 1], \|\cdot\|_q)$  is a Banach space because the sets nA are nowhere dense in the metric given by the norm  $\|\cdot\|_p$ , not  $\|\cdot\|_q$ .  $\Box$ 

## 8. $(\clubsuit) \Longrightarrow (\diamondsuit)$ Obvious.

 $(\spadesuit) \implies (\diamondsuit)$  The only subspace with nonempty interior is the whole space; since f is nonzero, its kernel is a proper subspace, so it has empty interior and as being closed, it is nowhere dense.

 $(\diamondsuit) \implies (\clubsuit)$  Suppose f is not bounded. Then there are unit vectors  $x_n$  for which  $|f(x_n)| \ge n$ . For any vector x and n we have

$$y_n = x - \frac{f(x)}{f(x_n)} x_n \in \ker f$$

Moreover,

$$\|y_n-x\|\leq \frac{|f(x)|}{n},$$

so  $y_n \to x$ . Therefore  $x \in cl \ker f$ . Since x is arbitrary,  $cl \ker f$  is the whole space, but this contradicts its interior being empty.

In the next several questions we will use the following nice consequence of Baire's theorem proved in class:

If a Banach space is infinite dimensional, then its Hamel basis is  $(\star)$  uncountable.

Recall also the following fact concerning separability:

If a normed vector space contains an uncoutable set of points  $(\star\star)$  any two of which are distance 1 apart, then it is not separable.

- 9. Consider the vector space c<sub>00</sub> of all sequences eventually zero. For instance the set {e<sub>n</sub>, n ≥ 1} is a Hamel basis for this space, which is countable. In view of (\*), the space c<sub>00</sub> is not Banach-normable.
- 10. Suppose dim X = ∞. Then there are countably many linearly independent vectors x<sub>1</sub>, x<sub>2</sub>,.... Consider the subspace Y = span{x<sub>n</sub>, n ≥ 1}. As a closed subspace of a Banach space, Y is a Banach space, but this contradicts (\*).
- 11. Take  $X = \ell_2$  and set  $\|\cdot\|$  to be the standard  $\ell_2$  norm. Fix a Hamel basis  $\{b_t, t \in T\}$  in  $\ell_2$  and define for every vector  $x = \sum_{t \in T} \beta_t b_t$  (almost all  $\beta_t$  are zero)

$$\|x\|' = \sum_{t \in T} |\beta_t|.$$

It is readily checked that this defines a norm on  $\ell_2$ . For every pair of distinct  $s, t \in T$  the vectors  $b_s$ ,  $b_t$  are distance 2-apart,  $||b_s - b_t||' = 2$ , and T is uncountable. By  $(\star\star)$  the space  $(\ell_2, \|\cdot\|')$  is not separable.  $\Box$ 

- 12. Checking that  $\langle r_k, r_l \rangle = 0$  for  $k \neq l$  is straightforward. The system  $\{r_n\}$  is incomplete as it is readily verified that  $\langle r_k, \mathbf{1}_{[0,1/4]} \mathbf{1}_{[1/4,3/4]} + \mathbf{1}_{[3/4,1]} \rangle = 0$  for every k.
- 13. Any orthogonal set can be made orthonormal. If u, v are orthogonal unit vectors in a Hilbert space, then  $||u v||^2 = 2$ . If an orthonormal set was uncountable, we would have uncountably many pairs of points which are distance  $\sqrt{2}$ -apart, which would contradict separability by  $(\star\star)$ .
- 14. Fix  $x \in H$ . First we show that for every  $a \in C$  we have



 $\mathfrak{Re}\langle \mathbf{x}-\mathbf{x}^*, \mathfrak{a}-\mathbf{x}^*\rangle \leq \mathbf{0}.$ 

Fix  $a \in C$  and set  $a_{\lambda} = (1 - \lambda)x^* + \lambda a$ ,  $\lambda \in [0, 1]$ . By convexity,  $a_{\lambda} \in C$ . In view of the fact that  $x^*$  is the best approximation of x in C we have

$$\begin{split} \|x - x^*\|^2 &\leq \|x - a_{\lambda}\|^2 = \|(x - x^*) + (x^* - a_{\lambda})\|^2 \\ &= \|x - x^*\|^2 + 2\mathfrak{Re}\langle x - x^*, x^* - a_{\lambda} \rangle + \|x^* - a_{\lambda}\|^2, \end{split}$$

hence

$$-2\mathfrak{Re}\langle \mathbf{x}-\mathbf{x}^*,\mathbf{x}^*-\mathbf{a}_\lambda
angle\leq \|\mathbf{x}^*-\mathbf{a}_\lambda\|^2.$$

Note that  $x^* - a_{\lambda} = \lambda(x - a)$ . Plugging this back, dividing by  $\lambda$  and then letting  $\lambda \to 0$  yield the result.

Fix  $x, y \in H$ . Using what we just showed gives

$$\mathfrak{Re}\langle \mathbf{x} - \mathbf{x}^*, \mathbf{y}^* - \mathbf{x}^* \rangle \leq \mathbf{0},$$
  
 $\mathfrak{Re}\langle \mathbf{y} - \mathbf{y}^*, \mathbf{x}^* - \mathbf{y}^* \rangle \leq \mathbf{0}.$ 

Adding these we obtain

$$0 \geq \mathfrak{Re}\langle \mathbf{y} - \mathbf{y}^* - \mathbf{x} + \mathbf{x}^*, \mathbf{x}^* - \mathbf{y}^* \rangle = \|\mathbf{x}^* - \mathbf{y}^*\|^2 + \mathfrak{Re}\langle \mathbf{y} - \mathbf{x}, \mathbf{x}^* - \mathbf{y}^* \rangle.$$

To finish, move the inner product over and apply the Cauchy-Schwarz inequality,

$$\|x^* - y^*\|^2 \le \Re e \langle x - y, x^* - y^* \rangle \le \|x - y\| \cdot \|x^* - y^*\|.$$

15. Observe that for every vector x by orthogonality of x - Px and Px we have

$$\|x - 2Px\|^2 = \|(x - Px) - Px\|^2 = \|x - Px\|^2 + \|Px\|^2 = \|x\|^2$$

The same holds for Q as well. Therefore

$$2\|Px - Qx\| \le \|2Px - x\| + \|x - 2Qx\| = 2\|x\|. \quad \Box$$

16. By  $x \cdot y = \sum_{j \leq n} x_j y_j$  we denote the standard inner product on  $\mathbb{R}^n$ . Fix a vector x in  $\mathbb{R}^n$  with  $\|x\|_p = 1$ . Then by Hölder's inequality

$$\|\mathsf{T} x\|_{\infty} = \max_{j \leq n} |a_j \cdot x| \leq \max_{j \leq n} \|a_j\|_{\mathfrak{q}} \cdot \|x\|_{\mathfrak{p}} = \max_{j \leq n} \|a_j\|_{\mathfrak{q}}$$

and if  $\|a_{j_0}\|_q = \max_{j \le n} \|a_j\|_q$ , in order to to get equality we choose x for which  $|a_{j_0} \cdot x| = \|a_{j_0}\|_q$ . This establishes that

$$\|\mathsf{T}\|_{\ell_p^n \to \ell_\infty^n} = \max_{j \le n} \|a_j\|_q.$$

Now fix a vector  $\mathbf{x} = (x_1, \dots, x_n)$  in  $\mathbb{R}^n$  with  $\|\mathbf{x}\|_1 = 1$ . We get

$$\|\mathsf{T}\mathbf{x}\|_{\mathfrak{p}} = \left\|\sum_{j\leq n} x_j \mathbf{b}_j\right\|_{\mathfrak{p}} \leq \sum_{j\leq n} |x_j| \cdot \|\mathbf{b}_j\|_{\mathfrak{p}} \leq \max_{j\leq n} \|\mathbf{b}_j\|_{\mathfrak{p}}.$$

If  $\|b_{j_0}\|_p = \max_{j \le n} \|b_j\|_p$ , then to get equality we choose simply  $x = e_{j_0}$ . This establishes that

$$\|\mathsf{T}\|_{\ell_1^n \to \ell_p^n} = \max_{j \le n} \|b_j\|_p. \quad \Box$$

17. Using Hölder's inequality,

$$\|Tx\|_{1} = \sum_{n=1}^{\infty} |n^{\alpha}x_{n}| \le \left(\sum_{n=1}^{\infty} n^{\frac{3}{2}\alpha}\right)^{2/3} \left(\sum_{n=1}^{\infty} |x_{n}|^{3}\right)^{1/3} = \left(\sum_{n=1}^{\infty} n^{\frac{3}{2}\alpha}\right)^{2/3} \cdot \|x\|_{3},$$

so if  $\alpha < -2/3,$  the series  $\sum n^{3\alpha/2}$  converges and T is bounded.

Suppose now that T is bounded. Then for every  $x \in \ell_3$  the series  $\sum n^{\alpha} x_n$  is absolutely convergent and bounded by  $\|T\| \cdot \|x\|_3$ . This means that

$$\left(x\mapsto\sum_{n=1}^{\infty}n^{\alpha}x_{n}
ight)\in\ell_{3}^{*},$$

so by the duality  $\ell_3^* \simeq \ell_{3/2}$  we get  $(n^{\alpha}) \in \ell_{3/2}$  which holds if and only if  $\alpha < -2/3$ .

18. Take simply S = Id: c<sub>0</sub> → c<sub>0</sub> and suppose that it can be extended to Š: c → c<sub>0</sub> without increasing the norm. Denote the constant sequence (1, 1, ...) by e. Let y = Še. We have ||e - 2e<sub>n</sub>||<sub>∞</sub> = 1 and Še<sub>n</sub> = e<sub>n</sub>, so

$$|y_n - 2| \le ||y - 2e_n||_{\infty} = ||\tilde{S}e - 2\tilde{S}e_n||_{\infty} \le ||\tilde{S}|| \cdot ||e - 2e_n||_{\infty} = 1.$$

Since y is in  $c_0$  (as the image of e under  $\tilde{S}$ ), the left-hand-side converges to 2, which gives a contradiction.

19. Take any basis in X of unit vectors  $(y_j)$  and its dual  $(y_j^*)$ , meaning  $y_j^*(y_i) = \delta_{ij}$  for all i, j. The problem is that the  $y_j^*$  may not have norm one. To fix it we define the function

$$V(z_1,\ldots,z_n) = \det \left[ y_j^*(z_i) \right]_{i,j=1,\ldots,r}$$

on  $X \times \ldots \times X$ . It is continuous, hence it attains its supremum on the compact set  $S_X \times \ldots \times S_X$  at, say  $(x_1, \ldots, x_n)$  (the set  $S_X$  denotes the unit sphere in X). For a fixed index j let us define the functional

$$\varphi_j(x) = \frac{V(x_1,\ldots,x_{j-1},x,x_{j+1},\ldots,x_n)}{V(x_1,\ldots,x_j)}, \qquad x \in X.$$

Then  $\phi_j(x_i) = 0$ , if  $i \neq j$ , as the determinant of a matrix with two identical columns equals 0. Clearly  $\phi_i(x_i) = 1$ . Moreover, since V on the set  $S_X \times \ldots \times S_X$ attains its maximum at  $(x_1, \ldots, x_n)$ , we have  $\sup_{x \in S_X} \varphi_j(x) = 1$ , so  $\|\varphi\| = 1$ .  $\Box$ 

**20**. Let  $x_1, \ldots, x_n$  be a basis in X provided by Auerbach's lemma and let  $\phi_1, \ldots, \phi_n$ be the corresponding functionals of norm one such that  $\phi_j(x_i) = \delta_{ij}$  for all i, j(see Question 19). Since the vectors  $x_i$  are unit the right inequality follows simply by the triangle inequality. Notice that

$$\begin{aligned} |\lambda_j| &= \left| \Phi_j \left( \sum_{i=1}^n \lambda_i x_i \right) \right| \le \|\Phi_j\| \cdot \left\| \sum_{i=1}^n \lambda_i x_i \right\|. \end{aligned}$$
  
eff inequality as  $\|\Phi_j\| = 1.$ 

This shows the le

**21.** Application of the Hahn-Banach theorem to the vector  $\phi \in X^*$  yields a unit functional  $p \in X^{**}$  on  $X^*$  for which  $p(\phi) = \|\phi\|$ . By reflexivity, the canonical isometric embedding  $X \stackrel{\iota}{\hookrightarrow} X^{**}$  is onto, hence there is  $x \in X$  such that  $p = \iota(x)$ and 1 = ||p|| = ||x||. Then

$$\|\phi\| = p(\phi) = \iota(x)(\phi) = \phi(x),$$

so x is the unit vector we want to find.

- **22.** By Question 21, to show that the spaces  $c_0, c, \ell_1, C[0, 1], L_1[0, 1]$  are not reflexive, for each of them it is enough to find a bounded functional  $\phi$  which does not attain its norm. It can be readily checked that we can take
  - $\phi(x) = \sum_{n=1}^{\infty} \frac{1}{2^n} x_n$  on  $c_0$ ,
  - $\phi(\mathbf{x}) = \sum_{n=1}^{\infty} \frac{1}{2^n} \mathbf{x}_n$  on  $\mathbf{c}$ ,
  - $\phi(x) = \sum_{n=1}^{\infty} \left(1 \frac{1}{n}\right) x_n$  on  $\ell_1$ ,
  - $\phi(f) = \int_0^{1/2} f \int_{1/2}^1 f$  on C[0, 1],
  - $\phi(f) = \int_0^1 x f(x) dx$  on  $L_1[0, 1]$ .
- 23. Suppose  $x_n \rightharpoonup x$  (weakly in X). The sequence  $x_n$  is bounded if and only if its image under the canonical embedding  $\iota$  of X into X<sup>\*\*</sup> is bounded. Let  $x_n^{**} = \iota(x_n).$  For a fixed  $\varphi \in X^*$  we have

$$\sup_{n} |x_n^{**}(\varphi)| = \sup_{n} |\varphi(x_n)| < \infty$$

as the sequence  $\phi(x_n)$  is convergent. Therefore by the Banach-Steinhaus theorem, the family of functionals  $x_n^{**}$  (acting on  $X^*$  which is a Banach space) is norm-bounded, that is

$$\sup_{n} \|x_{n}\| = \sup_{n} \|x_{n}^{**}\| < \infty. \quad \Box$$

- 24. Follows directly by applying the Banach-Steinhaus theorem as in Question23.
- 25. Let  $u_n = v_n/||v_n||$  be the normalised sequence and set  $M = \sup_n ||v_n||^2$ . Fix a vector v. By Bessel's inequality

$$\sum_{n=1}^{\infty} |\langle \nu, \nu_n \rangle|^2 = \sum_{n=1}^{\infty} |\langle \nu, u_n \rangle|^2 \cdot \|\nu_n\|^2 \leq M \sum_{n=1}^{\infty} |\langle \nu, u_n \rangle|^2 \leq M \|\nu\|^2$$

so the series  $\sum |\langle \nu, \nu_n \rangle|^2$  converges and particularly  $\langle \nu, \nu_n \rangle \to 0$ . This shows that the sequence  $(\nu_n)$  converges weakly to 0.

- 26. If T is bounded, then clearly every weakly convergent sequence gets mapped to a weakly convergent sequence. Conversely, suppose a sequence  $(x_n)$  converges (in norm) to 0. We want to show that  $Tx_n \to 0$  (continuity at 0 implies by linearity the boundedness of T). Since  $x_n \to 0$ , also  $x_n \to 0$ , so  $Ax_n$  converges weakly. By Question 23, the sequence  $(Ax_n)$  is bounded. Fix  $\epsilon > 0$ . We want to show that eventually  $||Ax_n|| \leq \epsilon$ . If  $||Ax_n|| > \epsilon$  for infinitely many n, then considering the sequence  $y_n = x_n/\sqrt{||x_n||}$  which converges to 0 as  $||y_n|| = \sqrt{||x_n||}$ , we get similarly that the sequence  $Ay_n$  is bounded, but for infinitely many n,  $||Ay_n|| > \frac{\epsilon}{\sqrt{||x_n||}} \to \infty$ . This contradiction finishes the proof.
- 27. Let  $e_n$  be the standard unit vectors in  $\ell_p$  and by  $e_n^* \in \ell_p^*$  we denote their duals,  $e_n^*(x) = x_n$ . Since  $\ell_p^* \simeq \ell_q$  and  $q = p/(p-1) \in (1,\infty)$ , the sequence  $(e_n^*)$  is dense in  $\ell_p^*$ .

If a sequence  $(x_n)$  converges weakly in  $\ell_p$  to x, then it is bounded by Question 23 and the convergence of coordinates follows by testing with  $e_n^*$ . Conversely, suppose a sequence  $(x_n)$  is bounded by, say a > 0 in  $\ell_p$  and for some sequence x we have that for every n,  $e_n^*(x_m) \xrightarrow[m \to \infty]{} e_n^*(x)$ . Since

$$\sum_{n=1}^{N} |e_n^*(x)|^p = \varlimsup_{m \to \infty} \sum_{n=1}^{N} |e_n^*(x_m)|^p \le \varlimsup_{m \to \infty} \|x_m\|_p^p \le a^p,$$

the sequence x is in  $\ell_p$  and  $||x||_p \leq a$ . Fix  $\varphi \in \ell_p^*$ . We want to show that  $\varphi(x_m) \xrightarrow[m \to \infty]{} \varphi(x)$ . Fix  $\varepsilon > 0$ . By density, there is a finite linear combination  $\psi$  of the  $e_n^*$  such that  $||\varphi - \psi|| < \varepsilon/(4a)$ . By the assumption,  $\psi(x_m) \xrightarrow[m \to \infty]{} \psi(x)$ , so there is M such that  $||\psi(x_m) - \psi(x)| < \varepsilon/2$  for all m > M. Then for those

m we obtain

$$\begin{aligned} |\varphi(x_m) - \varphi(x)| &\leq |\psi(x_m) - \psi(x)| + |\psi(x) - \varphi(x)| + |\psi(x_m) - \varphi(x_m)| \\ &\leq \frac{\varepsilon}{2} + \|\psi - \varphi\| \cdot (\|x\|_p + \|x_m\|_p) \leq \frac{\varepsilon}{2} + \frac{\varepsilon}{4a} \cdot 2a = \varepsilon. \quad \Box \end{aligned}$$

- 28. Left for the dedicated student.
- 29. Let  $\{\phi_n\} \subset S_{X^*}$  be a countable dense subset in the unit sphere of the dual space. For every n choose a unit vector  $x_n \in X$  such that  $|\phi_n(x_n)| > \frac{1}{2} ||\phi_n|| = \frac{1}{2}$ . We want to show that  $Y = \text{cl span}\{x_n, n \ge 1\}$  is X. Suppose that  $Y \subsetneq X$ . Then by the Hahn-Banach theorem there is a functional  $\phi$  of norm one such that  $\phi|Y = 0$ . Choose k so that  $\|\phi - \phi_k\| < 1/3$ . We have

$$\frac{1}{2} < |\varphi_k(x_k)| = |\varphi_k(x_k) - \varphi(x_k)| \le \|\varphi_k - \varphi\| \cdot \|x_k\| < \frac{1}{3}. \quad \Box$$

**30.** Left for the dedicated student.