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Memory Reduction in Iterated Function Systems Closing off (kind of) an avenue of measuring fractal complexity

Brendan W. Sullivan

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- 1 Background
  - Fractals
  - Applications
  - Iterated Function Systems
- **2** IFS with Memory
  - **1-IFS**
  - Transition Graphs/Matrices
  - Classification
  - 2-IFS and beyond
- 3 Memory Reduction
  - Theory
  - Demonstration
  - Results
  - Conclusions and Future Work

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#### Fractals

### Definitions

A fractal is ...

- ... a set exhibiting self-similarity.
- ... a set that "looks irregular; but more importantly, after it is magnified it still looks irregular." [1]
- ... "by definition a set for which the Hausdorff-Besicovitch dimension strictly exceeps the topological dimension." [2]

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Fractals

### Canonical examples

Figure: Simple, iteratively generated fractals

(a) Van Koch curve

(b) Sierpinski triangle (deterministic) (c) Sierpinski triangle (probabilistic)

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### Dimensional analysis

Let X be a metric space. If  $S \subseteq X$  and  $d \in [0, \infty)$ , the *d*-dimensional Hausdorff measure of S is

 $C_{H}^{d}(S) = \inf\left\{\sum_{i} r_{i}^{d} \mid \exists \text{ cover of } S \text{ by balls with radii } r_{i} > 0\right\}$ 

and the **Hausdorff dimension** of S is

$$\dim_H(S) = \inf\{d \ge 0 \mid C_H^d(S) = 0$$

The **Lebesgue covering dimension** of a topological space X is the minimum n such that every finite open cover  $\mathcal{A}$  of X admits a finite open cover which refines  $\mathcal{A}$  in which no point is included in more than n + 1 elements.

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Fractals

### Dimensional analysis

#### Example

Cantor set: Hausdorff dim.  $\frac{\ln 2}{\ln 3}$ ; topological dim. 0

Sierpinski  $\triangle$ : Hausdorff dim.  $\frac{\ln 3}{\ln 2}$ ; topological dim. 1

Van Koch curve: Hausdorff dim.  $\frac{\ln 3}{\ln 4}$ ; topological dim. 1

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Fractals

### Canonical examples

#### Figure: More complex, iteratively generated fractals



(b) Mandelbrot set growth (c) Julia set

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### Figure: Fractals generated by iterated function systems

(a) Shrinking box border

(b) Pointy leaf boxes

(c) Sierpinskitty trifelinegle

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Applications

### Nature

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Applications





(b) Painting [5]



(c) Music [6] "Wind and Metal" [7]

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Applications

### Science and Computing



(a) Anatomy [9]



(b) Graphics [17]



(c) DNA [18]

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### Formal definition

Let  $(X, \rho)$  be a metric space and  $T: X \to X$  a function.



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Let  $(X, \rho)$  be a metric space and  $T: X \to X$  a function.

#### Definition

We say T is a contraction map iff

 $\exists k \in [0,1). \ \forall a,b \in X. \ \rho(T(x),T(y)) \leq k\rho(x,y)$ 

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Iterated Function Systems

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### Theorem (Banach Fixed Point)

If  $(X, \rho)$  is a complete metric space and  $T : X \to X$  a contraction map, then T has exactly one fixed point; i.e.

 $\exists ! \, \hat{x} \in X. \, T(\hat{x}) = \hat{x}$ 

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### Formal definition

#### Definition

An **IFS** is a finite set of contraction maps  $\mathcal{T} = \{T_i \mid i = 1, ..., N\}$  on a complete metric space  $(X, \rho)$ .

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An **IFS** is a finite set of contraction maps  $\mathcal{T} = \{T_i \mid i = 1, ..., N\}$  on a complete metric space  $(X, \rho)$ .

The Hutchinson Operator H applies an IFS to any subset  $S \in \mathcal{P}(X)$  via

$$H(S) = \bigcup_{i=1}^{N} T_i(S)$$

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**Iterated Function Systems** 

### Formal definition

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Question: Does H have any "fixed points"?

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Iterated Function Systems

### Formal definition

### Partial Answer:

Theorem (Hutchinson, 1981)

For  $X = \mathbb{R}^d$  with the standard metric, every IFS admits a unique compact set  $A \subset \mathbb{R}^d$  satisfying H(A) = A.

### A is the **attractor** of the IFS and is a fractal.

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Iterated Function Systems

### Formal definition

### Partial Answer:

Theorem (Hutchinson, 1981)

For  $X = \mathbb{R}^d$  with the standard metric, every IFS admits a unique compact set  $A \subset \mathbb{R}^d$  satisfying H(A) = A.

#### Proof.

Show that H is a contraction map on K(X), the set of compact subsets of X. Apply Banach Fixed Point.

A is the **attractor** of the IFS and is a fractal.

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Background

### Formal definition

### Constructive approach:

• Choose an initial compact set  $S_0 \in K(X)$ 

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Background

### Formal definition

### Constructive approach:

- Choose an initial compact set  $S_0 \in K(X)$
- Iteratively apply H:

$$S_{i+1} = H(S_0) = T_1(S_i) \cup \cdots \cup T_N(S_i)$$

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Background

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■ Take limit:

$$A = \lim_{i \to \infty} H^i(S_0)$$

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Background

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■ Take limit:

$$A = \lim_{i \to \infty} H^i(S_0)$$

#### Proof.

Corollary to Banach Fixed Point: this limit converges to A for any choice of  $S_0$ .

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# Examples

Background

# Standard contraction maps in $\mathbb{R}^d$ are scalings (with factor r < 1), rotations, reflections, translations.



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# Examples

#### Example

Cantor Set, C:

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### Examples

### Example

Cantor Set, C:


$$T_1(x) = \frac{x}{3} \qquad T_2(x) = \frac{x}{3} + \frac{2}{3}$$
$$\mathcal{C} = T_1(\mathcal{C}) \cup T_2(\mathcal{C})$$

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# Examples

#### Example





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# Examples

### Example

#### Cantor-style dust:



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# Standard context

 $X = [0, 1]^2$  with standard metric and  $\mathcal{T} = \{T_1, T_2, T_3, T_4\}$ , where

$$T_1(x,y) = \left(\frac{x}{2}, \frac{y}{2}\right) + \left(0,0\right)$$
$$T_2(x,y) = \left(\frac{x}{2}, \frac{y}{2}\right) + \left(\frac{1}{2},0\right)$$
$$T_3(x,y) = \left(\frac{x}{2}, \frac{y}{2}\right) + \left(0,\frac{1}{2}\right)$$
$$T_4(x,y) = \left(\frac{x}{2}, \frac{y}{2}\right) + \left(\frac{1}{2},\frac{1}{2}\right)$$

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#### 1-IFS

### Standard context

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$$T_{3}(x,y) = \left(\frac{x}{2}, \frac{y}{2}\right) + \left(0, \frac{1}{2}\right)$$

$$T_{4}(x,y) = \left(\frac{x}{2}, \frac{y}{2}\right) + \left(\frac{1}{2}, \frac{1}{2}\right)$$

$$1$$

$$2$$

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 $X = [0, 1]^2$  with standard metric and  $\mathcal{T} = \{T_1, T_2, T_3, T_4\}$ , where

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$T_4(x,y) = \left(\frac{x}{2}, \frac{y}{2}\right) + \left(\frac{1}{2}, \frac{1}{2}\right)$	11	12	21	22

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# Standard context

# Being in state *i* means applying $T_i$ Addresses indicate the *reverse* order of the transformations required to land in that box.

#### Example

- Map composition:  $(T_2 \circ T_1 \circ T_4)(X)$
- Address: 214
- State transformation:  $4 \rightarrow 1 \rightarrow 2$

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214

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### 1-IFS

## Forbidden pairs: 1-IFS

**Main idea**: Restrict the constructive approach by disallowing certain pairs of transformations from occurring consecutively.

The system has "1 level of memory" because it looks at the currently-applied transformation in the construction to determine which transformations can be applied next.

Where you are (but not how you got there) affects where you are allowed to go.

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# Forbidden pairs: 1-IFS

## Questions:

- What types of attractors does this yield?
- How does forbidding multiple pairs affect the attractors?
- Can we look at an attractor and determine which pairs were forbidden?
- Which attractors can be realized as a *standard* IFS (with "0 levels of memory") by redefining the set of contraction maps?
- Which attractors can be realized as a standard 0-IFS but require *infinitely* many contraction maps?
- Which attractors cannot be realized as a standard 0-IFS?

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## Forbidden pairs: 1-IFS

### Example

### Cannot go from state 1 to state 4

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## Forbidden pairs: 1-IFS

### Example

Cannot go from state 1 to state 4  $\iff T_4$  cannot follow  $T_1$ 

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## Forbidden pairs: 1-IFS

### Example

Cannot go from state 1 to state 4  $\iff T_4$  cannot follow  $T_1$  $\iff (T_4 \circ T_1)(A) = \emptyset$ 

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## Forbidden pairs: 1-IFS

### Example

Cannot go from state 1 to state 4

 $\iff T_4 \text{ cannot follow } T_1$ 

$$\iff (T_4 \circ T_1)(A) = \emptyset$$

 $\iff$  Any address with 41 as a substring is empty

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## Forbidden pairs: 1-IFS

### Example

### Any address with 41 as a substring is empty

3	4	
1	2	



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## Forbidden pairs: 1-IFS

### Example

Any address with 41 as a substring is empty

33	34	43	44
31	32		42
13	14	23	24
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# Forbidden pairs: 1-IFS

### Example

Any address with 41 as a substring is empty

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## Forbidden pairs: 1-IFS

Example

Forbid  $1 \rightarrow 4, 4 \rightarrow 1, 2 \rightarrow 3, 3 \rightarrow 2$ 

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# Forbidden pairs: 1-IFS

Example

Addresses with 14, 41, 23, 32 are empty

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## Forbidden pairs: 1-IFS

### Example

### Addresses with 14, 41, 23, 32 are empty

33	34	43	44
31			42
13			24
11	12	21	22



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# Forbidden pairs: 1-IFS

### Example

Addresses with 14, 41, 23, 32 are empty

333	334	343	344	433	434	443	444
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# Forbidden pairs: 1-IFS

### Example

Addresses with 22, 23, 33 are empty

		343	344		434	443	444
		341	342	431	432	441	442
313	314		324	413	414		424
311	312	321		411	412	421	
	134	143	144			243	244
131	132	141	142			241	242
113	114		124	213	214		
111	112	121		211	212		



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# Forbidden pairs: 1-IFS

### Example

Addresses with 22, 23, 33 are empty

		343	344		434	443	444
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#### 1-IFS

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## Representing allowed transitions

Vertex set is  $\mathcal{T}$ . Directed edge from  $T_i$  to  $T_j$  if  $i \to j$  is allowed.



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Transition Graphs/Matrices

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## Transition matrices

Represent directed edges by a 0-1 matrix. Rows/columns indexed by *states*.  $M_{ij} = 1 \iff j \rightarrow i$  is allowed.

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Memory Reduction in Iterated Function Systems

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## Dimensional analysis

Can use transition matrix M to compute Hausdorff dimension of the attractor A.

Let  $r_j$  be the contraction factor of  $T_j$ .



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Transition Graphs/Matrices

Background

## Dimensional analysis

Can use transition matrix M to compute Hausdorff dimension of the attractor A.

Let  $r_j$  be the contraction factor of  $T_j$ .

#### Theorem

The Hausdorff dimension of A is the unique d for which the spectral radius of

$$M(d) = \left[m_{ij}r_j^d\right]_{ij}$$

is exactly 1.

Recall: the **spectral radius** of a matrix M is

 $\rho(M) = \max\{|\lambda_i| \mid \lambda_i \text{ is an eigenvalue of } M\}$ 

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Classification

## Terminology

#### Definition

The attractor of a 1-IFS is ...

- **IFS-able** *if it can be realized by a 0-IFS.*
- ∞-IFS-able if it can be realized by a 0-IFS with countably-many transformations.
- non-IFS-able if it cannot be realized by any 0-IFS, regardless of how many transformations are used.

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## Terminology

#### Definition

A transformation  $T_i$  is called a **full state** if it can immediately follow any other transformation;

i.e.  $1 \rightarrow i, 2 \rightarrow i, 3 \rightarrow i, 4 \rightarrow i$  are all allowed.

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Classification

## Terminology

#### Definition

A transformation  $T_i$  is called a **full state** if it can immediately follow any other transformation;

i.e.  $1 \rightarrow i, 2 \rightarrow i, 3 \rightarrow i, 4 \rightarrow i$  are all allowed.

Also known as a **Rome**, because all roads in the transition graph lead to it. (Not to be confused with a **roam**.)

Corresponds to a row of 1s in transition matrix.

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## Main result: 1-IFS to 0-IFS

- **1** There exists a Rome.
- **2** Every transformation has a path to it starting at a Rome.
- **3** There are infinite sequences of non-Romes.

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## Main result: 1-IFS to 0-IFS

- **1** There exists a Rome.
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#### Theorem

The attractor of a 1-IFS is ...

- IFS-able  $\iff$  (1) and (2) hold.
- $\infty$ -IFS-able  $\iff$  (1) and (2) and (3) hold.
- non-IFS-able  $\iff$  (1) or (2) fails.

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## Main result: 1-IFS to 0-IFS

- **1** There exists a Rome.
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#### Theorem

The attractor of a 1-IFS is ...

- IFS-able  $\iff$  (1) and (2) hold.
- $\infty$ -IFS-able  $\iff$  (1) and (2) and (3) hold.
- non-IFS-able  $\iff$  (1) or (2) fails.

#### Proof.

Manipulating strings and addresses ... [13]

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## Classifying examples

Main idea: look for scaled copies of the attractor within itself.



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# Classifying examples

Main idea: look for scaled copies of the attractor within itself.



## IFS-able with 8 transformations

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## Classifying examples

Main idea: look for scaled copies of the attractor within itself.



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## Classifying examples

Main idea: look for scaled copies of the attractor within itself.



## $\infty$ -IFS-able

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Classification

## Classifying examples

Main idea: look for scaled copies of the attractor within itself.



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## Classifying examples

Main idea: look for scaled copies of the attractor within itself.



non-IFS-able

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Statistical Statis

2-IFS and beyond

## Forbidden pairs and triples: 2-IFS

Define IFS by set  $\mathcal{F}$  of forbidden strings.

2-IFS means  $\mathcal{F}$  contains triples and pairs.

In general, *n*-IFS means  $\mathcal{F}$  contains strings with length at most n + 1, and contains at least one with exactly that length.

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2-IFS and beyond

## Forbidden pairs and triples: 2-IFS

Working example: 
$$\mathcal{F} = \{14, 23, 32, 441\}$$



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## Subshifts of finite type

Let A be a finite alphabet. Let X be the set of bi-infinite strings from A, called the **full shift**:

$$X = A^{\mathbb{Z}} = \{(\dots x_{-1} \cdot x_0 x_1 \dots) \mid x_i \in A\}$$

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Theory

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$$X = A^{\mathbb{Z}} = \{(\dots x_{-1} \cdot x_0 x_1 \dots) \mid x_i \in A\}$$

Given set of words  $\mathcal{F}$  from A, the **shift space determined by**  $\mathcal{F}$  is the set of strings from X that contain no element of  $\mathcal{F}$  as a substring, written as  $X_{\mathcal{F}}$ .

If  $\mathcal{F}$  is finite,  $X_{\mathcal{F}}$  is a subshift of finite type.

If the longest string in  $\mathcal{F}$  has length N + 1, say  $X_{\mathcal{F}}$  is an N-step shift.

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## Graph vertex shifts

Let G be a directed graph. The **vertex shift** of G has alphabet A = V (vertices of G) and is

$$\hat{X}_G = \{ \dots v_1 . v_0 v_1 \dots \mid \forall i. \ (v_i, v_{i+1}) \in E \}$$

the set of all infinite paths in G.

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the set of all infinite paths in G.

Lemma

Graph vertex shifts are 1-step shifts of finite type.

Goal: Exploit graph shifts to reduce *n*-IFS to 1-IFS.

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## Higher block shifts

Given  $A, \mathcal{F}$ , and  $X_{\mathcal{F}}$  (an N-step shift). Let  $B_N(X_{\mathcal{F}})$  be the set of allowed strings of length N in  $X_{\mathcal{F}}$ .

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Theory

## Higher block shifts

Given  $A, \mathcal{F}$ , and  $X_{\mathcal{F}}$  (an *N*-step shift). Let  $B_N(X_{\mathcal{F}})$  be the set of allowed strings of length *N* in  $X_{\mathcal{F}}$ . Let  $\beta_N : X_{\mathcal{F}} \to (B_N(X_{\mathcal{F}}))^{\mathbb{Z}}$  be defined by  $(\beta_{-}(x)) = \alpha x = -\alpha$ 

$$(\beta_N(\underline{\mathbf{x}}))_i = x_i x_{i+1} \dots x_{i+N-1}$$

where  $\underline{\mathbf{x}} = \dots x_{-1} \cdot x_0 x_1 \dots$ 

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## Higher block shifts

#### Example

Let 
$$A = \{1, 2, 3, 4\}, \mathcal{F} = \{14, 23, 32, 441\}$$
 (i.e.  $N = 2$ ).

Consider  $\underline{\mathbf{x}} = \dots 12443\dots$ 

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## Higher block shifts

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$$\beta_2(\underline{\mathbf{x}}) = \dots 12$$

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$$A = \{1, 2, 3, 4\}, \mathcal{F} = \{14, 23, 32, 441\}$$
 (i.e.  $N = 2$ ).

Consider  $\underline{\mathbf{x}} = \dots 12443\dots$ 

$$\beta_2(\underline{\mathbf{x}}) = \dots 1224$$

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## Higher block shifts

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 $\beta_2(\underline{\mathbf{x}}) = \dots 122444$ 

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# Higher block shifts

#### Definition

The N-th higher block shift is the image

$$X_{\mathcal{F}}^{[N]} = \beta_N(X_{\mathcal{F}})$$

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Theory

# Higher block shifts

#### Definition

The N-th higher block shift is the image

$$X_{\mathcal{F}}^{[N]} = \beta_N(X_{\mathcal{F}})$$

#### Theorem

If  $X_{\mathcal{F}}$  is an N-step shift, then there exists a directed graph G such that

$$X_{\mathcal{F}}^{[N]} = \hat{X}_G$$

i.e. N-th higher block shift can be realized as a 1-step shift!

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#### Theory

## Higher block shifts as graph shifts

Proof is in [14]. Vertices of G are  $B_N(X_{\mathcal{F}})$ , the allowed N-length strings. Edge from  $a_1 \ldots a_N$  to  $b_1 \ldots b_N$  iff

**1** 
$$a_2 \dots a_N = b_1 \dots b_{N-1}$$

**2**  $a_1 a_2 \ldots a_N b_N$  is an allowed string in  $X_{\mathcal{F}}$ 

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#### Theory

## Higher block shifts as graph shifts

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**1** 
$$a_2 \dots a_N = b_1 \dots b_{N-1}$$

**2**  $a_1 a_2 \ldots a_N b_N$  is an allowed string in  $X_{\mathcal{F}}$ 

Condition (1) ensures correct overlap. Condition (2) ensures overlap is allowed.

Directed graph encodes which N-length strings of  $X_{\mathcal{F}}$  can follow one another; i.e. it encodes which sequences of transformations are allowed by considering longer strings as the most basic elements. Demonstration

# Reducing 2-IFS to 1-IFS: $\mathcal{F} = \{14, 23, 32, 441\}$

 $X_{\mathcal{F}}$  is all strings from  $I = \{1, 2, 3, 4\}$  without a substring in  $\mathcal{F}$ .



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Demonstration

# Reducing 2-IFS to 1-IFS: $\mathcal{F} = \{14, 23, 32, 441\}$

 $X_{\mathcal{F}}$  is all strings from  $I = \{1, 2, 3, 4\}$  without a substring in  $\mathcal{F}$ .  $X_{\mathcal{F}}^{[2]}$  has alphabet J consisting of 13 allowed pairs:

 $J = \{11, 12, 13, 21, 22, 24, 31, 33, 34, 41, 42, 43, 44\}$ 

Construct directed graph with J as vertex set.

Encode edges via transition matrix.

Ensure conditions (1) and (2) from Theorem in [14] are satisfied, i.e. *correct* and *allowed* overlaps.

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Demonstration

## Reducing 2-IFS to 1-IFS: $\mathcal{F} = \{14, 23, 32, 441\}$

 $J = \{11, 12, 13, 21, 22, 24, 31, 33, 34, 41, 42, 43, 44\}$ Column is source of edge, row is target.

 $M_{ij,km} = 1 \iff i = m$  and kij is allowed.

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Demonstration

# Reducing 2-IFS to 1-IFS: $\mathcal{F} = \{14, 23, 32, 441\}$

 $J = \{11, 12, 13, 21, 22, 24, 31, 33, 34, 41, 42, 43, 44\}$ Column is source of edge, row is target.

 $M_{ij,km} = 1 \iff i = m$  and kij is allowed.

Overlap conditions yield many 0 entries. (Only 41/169 nonzero entries in this example.) Helpful in computational applications, such as computing Hausdorff dimension, powers of transition matrix, etc.

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### Background

Memory Reduction

### Demonstration

 $M_{ij,km} = 1 \iff m = i \text{ and } kij \text{ is allowed. Recall } \mathcal{F} = \{14, 23, 32, 441\}$ 

		11	12	13	21	22	24	31	33	34	41	42	43	44
	11	1	1	1	0	0	0	0	0	0	0	0	0	0 ]
	12	0	0	0	1	1	1	0	0	0	0	0	0	0
	13	0	0	0	0	0	0	1	1	1	0	0	0	0
	21	1	1	1	0	0	0	0	0	0	0	0	0	0
	22	0	0	0	1	1	1	0	0	0	0	0	0	0
	24	0	0	0	0	0	0	0	0	0	1	1	1	1
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	33	0	0	0	0	0	0	1	1	1	0	0	0	0
	34	0	0	0	0	0	0	0	0	0	1	1	1	1
	41	1	1	1	0	0	0	0	0	0	0	0	0	0
	42	0	0	0	1	1	1	0	0	0	0	0	0	0
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IFS with Memory

Memory Reduction

Demonstration

 $M_{ij,km} = 1 \iff m = i \text{ and } kij \text{ is allowed. Recall } \mathcal{F} = \{14, 23, 32, 441\}$ 

		11	12	13	21	22	94	91	22	34	41	42	43	44	
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	11	1	1	1	0	0	0	0	0	0	0	0	0	0	]
	12	0	0	0	1	1	1	0	0	0	0	0	0	0	
	13	0	0	0	0	0	0	1	1	1	0	0	0	0	
	21	1	1	1	0	0	0	0	0	0	0	0	0	0	
	22	0	0	0	1	1	1	0	0	0	0	0	0	0	
	24	0	0	0	0	0	0	0	0	0	1	1	1	1	
M =	31	1	1	1	0	0	0	0	0	0	0	0	0	0	
	33	0	0	0	0	0	0	1	1	1	0	0	0	0	
	34	0	0	0	0	0	0	0	0	0	1	1	1	1	
	41	1	1	1	0	0	0	0	0	0	0	0	0	0	
	42	0	0	0	1	1	1	0	0	0	0	0	0	0	
	43	0	0	0	0	0	0	1	1	1	0	0	0	0	
	44	0	0	0	0	0	0	0	0	0	0	1	1	1	
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### Background

IFS with Memory

Memory Reduction

### Demonstration

 $M_{ij,km} = 1 \iff m = i \text{ and } kij \text{ is allowed. Recall } \mathcal{F} = \{14, 23, 32, 441\}$ 

		11	12	13	21	22	24	31	33	34	41	42	43	44
	11	1	1	1	0	0	0	0	0	0	0	0	0	0 ]
	12	0	0	0	1	1	1	0	0	0	0	0	0	0
	13	0	0	0	0	0	0	1	1	1	0	0	0	0
	21	1	1	1	0	0	0	0	0	0	0	0	0	0
	22	0	0	0	1	1	1	0	0	0	0	0	0	0
	24	0	0	0	0	0	0	0	0	0	1	1	1	1
M =	31	1	1	1	0	0	0	0	0	0	0	0	0	0
	33	0	0	0	0	0	0	1	1	1	0	0	0	0
	34	0	0	0	0	0	0	0	0	0	1	1	1	1
	41	1	1	1	0	0	0	0	0	0	0	0	0	0
	42	0	0	0	1	1	1	0	0	0	0	0	0	0
	43	0	0	0	0	0	0	1	1	1	0	0	0	0
	44	0	0	0	0	0	0	0	0	0	0	1	1	1
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Demonstration

## Reducing 2-IFS to 1-IFS: $\mathcal{F} = \{14, 23, 32, 441\}$

Recall  $J = \{11, 12, 13, 21, 22, 24, 31, 33, 34, 41, 42, 43, 44\}.$ 

Define  $\mathcal{F}'$  to be the forbidden pairs from alphabet J:

$$\mathcal{F}' = \{ij, km \in J \mid M_{ij,km} = 0\}$$

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Demonstration

## Reducing 2-IFS to 1-IFS: $\mathcal{F} = \{14, 23, 32, 441\}$

Recall  $J = \{11, 12, 13, 21, 22, 24, 31, 33, 34, 41, 42, 43, 44\}.$ 

Define  $\mathcal{F}'$  to be the forbidden pairs from alphabet J:

$$\mathcal{F}' = \{ij, km \in J \mid M_{ij,km} = 0\}$$

The same attractor is realized from this 1-IFS,  $J(\mathcal{F}')$ !



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## Reducing n-IFS to 1-IFS

Generalizing this procedure, any *n*-IFS (forbidden strings of length  $\leq n + 1$ ) can be reduced to a 1-IFS (forbidden pairs only):

- **1** List all allowed strings of length n
- 2 Populate transition matrix by following overlap conditions
- **3** Apply constructive procedure to this new IFS

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### Results

### Reducing n-IFS to 1-IFS

Generalizing this procedure, any *n*-IFS (forbidden strings of length  $\leq n + 1$ ) can be reduced to a 1-IFS (forbidden pairs only):

- **1** List all allowed strings of length n
- **2** Populate transition matrix by following overlap conditions
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## Theorem The n-IFS $I(\mathcal{F})$ and the 1-IFS $J(\mathcal{F}')$ have the same attractor.

### Proof.

Compare addresses of attractors, rewrite as *I*-strings. [11]

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## Reducing n-IFS to 1-IFS

### **Observations**:

- Procedure doesn't reduce 1-IFS to 0-IFS.
   Overlap conditions are vacuous.
- Previous results in [13] still helpful.
- $\blacksquare$  Can now characterize all  $n\text{-}\mathrm{IFS}$  as IFS-able /  $\infty\text{-}\mathrm{IFS}\text{-}\mathrm{able}$  / non-IFS-able

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Results

## Reducing n-IFS to 1-IFS

### **Observations**:

- Procedure doesn't reduce 1-IFS to 0-IFS.
   Overlap conditions are vacuous.
- Previous results in [13] still helpful.
- $\blacksquare$  Can now characterize all  $n\text{-}\mathrm{IFS}$  as IFS-able /  $\infty\text{-}\mathrm{IFS}\text{-}\mathrm{able}$  / non-IFS-able
- If  $\mathcal{F}$  contains strings of length n + 1, alphabet J may have up to  $4^n$  elements!

**Question**: What is the most *efficient* memory reduction procedure, yielding the least number of transformations?

## Efficient memory reduction: $\mathcal{F} = \{14, 23, 32, 441\}$

Notice 441 is a *primary string*, i.e. it does not contain a forbidden substring.

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## Efficient memory reduction: $\mathcal{F} = \{14, 23, 32, 441\}$

Subdivide  $T_4$  into four transformations. Define new set S by

$$\begin{split} S_1 &= T_1 \\ S_2 &= T_2 \\ S_3 &= T_3 \\ S_4 &= T_4 \circ T_1 \\ S_5 &= T_4 \circ T_2 \\ S_6 &= T_4 \circ T_3 \\ S_7 &= T_4 \circ T_4 \\ \end{split}$$

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## Efficient memory reduction: $\mathcal{F} = \{14, 23, 32, 441\}$

Subdivide  $T_4$  into four transformations. Define new set S by

$$S_{1} = T_{1}$$

$$S_{2} = T_{2}$$

$$S_{3} = T_{3}$$

$$S_{4} = T_{4} \circ T_{1}$$

$$S_{5} = T_{4} \circ T_{2}$$

$$S_{6} = T_{4} \circ T_{3}$$

$$S_{7} = T_{4} \circ T_{4}$$

$$\mathcal{F}_{S} = \{14, 15, 16, 17, 23, 32, 44, 45, 46, 47, 53, 62, 71, 74\}$$

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#### Results

### Efficient memory reduction: $\mathcal{F} = \{14, 23, 32, 441\}$

Subdivide  $T_4$  into four transformations. Define new set S by

$S_1 = T_1$			1	2	3	4	5	6	7	
$S_2 = T_2$		1	1	1	1	0	0	0	0 ]	
$S_3 = T_3$				1	0	1	1	1	1	
$S_4 = T_4 \circ T_1$		3	1	0	1	1	1	1	1	
	M =	4	1	1	1	0	0	0	0	
$S_5 = T_4 \circ T_2$		5	1	1	0	1	1	1	1	
$S_6 = T_4 \circ T_3$		6	1	0	1	1	1	1	1	
$S_7 = T_4 \circ T_4$	M =	7	0	1	1	0	1	1	1	
$\mathcal{F}_{\mathcal{S}} = \{14, 15, 16, 17, 23, 32, 44, 45, 46, 47, 53, 62, 71, 74\}$										

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#### Results

## Efficient memory reduction: 2-IFS to 1-IFS

### Conjecture

Given 2-IFS,  $I(\mathcal{F})$ , efficiently equivalent 1-IFS is generated by

- **1** Remove non-primary strings from  $\mathcal{F}$
- **2**  $\forall ijk \in \mathcal{F}, subdivide \ i \ and \ j$
- **3** Reduce forbidden ijk; 2 cases on whether k subdivided
- **4** Reduce forbidden ij; 4 cases on whether i, j subdivided
- **5** Reduce forbidden i; remove compositions

Cases determine how many transformations needed in total.

To be proved and investigated for n-IFS in [15].

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### Results

- Can reduce any *n*-IFS to 1-IFS, perhaps efficiently.
- Can classify any *n*-IFS as IFS-able or not.
- Can apply method of [16] to calculate Hausdorff dimension.

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### Results

- Can reduce any *n*-IFS to 1-IFS, perhaps efficiently.
- Can classify any *n*-IFS as IFS-able or not.
- Can apply method of [16] to calculate Hausdorff dimension.
- Know that memory length is *not* a measure of fractal complexity.

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## Lingering questions

- Is the efficient procedure correct?
- Is the efficient procedure actually helpful in applications?

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## Lingering questions

- Is the efficient procedure correct?
- Is the efficient procedure actually helpful in applications?
- What exactly is the trade-off between memory length and # of transformations? Are certain formulations best for different applications?
- How many memory reductions are there with a fixed # of transformations?

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Conclusions and Future Work

Background

## Lingering questions

- Is the efficient procedure correct?
- Is the efficient procedure actually helpful in applications?
- What exactly is the trade-off between memory length and # of transformations? Are certain formulations best for different applications?
- How many memory reductions are there with a fixed # of transformations?
- What is the relationship between *m*-IFS and *n*-IFS? Are there embeddings? Is calculting Hausdorff dimension easier in certain settings? (Partially investigated in [12])

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### THANK YOU

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