

# The Regularity Lemma and Applications

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# Arithmetic progressions

An **arithmetic sequence** is a set of integers of the form

$$\{a_0 + di : i = 0, 1, 2, 3 \dots\}$$

where  $a_0$  and  $d$  are positive integers.

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An **arithmetic progression of length  $k$**  is a set of integers of the form

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An argument using a probabilistic technique (the Lovász Local Lemma) gives that

$$n(k, r) > \left( \frac{r^k}{erk} \right) (1 + o(1)).$$

# Plain language

Our version of van der Waerden says:

If we color the first  $n_0$  positive integers with  $r$  colors, we get a monochromatic arithmetic progression of length  $k$ , as long as  $n_0$  is large enough.



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If we color a set with  $r$  colors, then one of those colors will contain  $1/r$  of the set.

That set is a very likely candidate. Is that the one?

# Dense subsets

More generally, we can ask the following:

If we have a subset of  $\{1, 2, \dots, n\}$  with positive density, does it have an arithmetic progression of length  $k$ ?

# Dense subsets

Let  $A_n \subset \{1, 2, \dots, n\}$ . The family  $A_n$  is said to have **positive upper density** if there exists an  $\epsilon$  such that  $|A_n| > \epsilon n$ .

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## **THEOREM [Szemerédi, 1975]**

For every integer  $k > 2$  and every  $\epsilon > 0$ , there exists a threshold  $n_0 = n_0(k, \epsilon)$  such that if, for some  $n \geq n_0$ ,  $A \subset \{1, 2, \dots, n\}$  and  $|A| > \epsilon n$ , then  $A$  must contain an arithmetic progression of  $k$  terms.

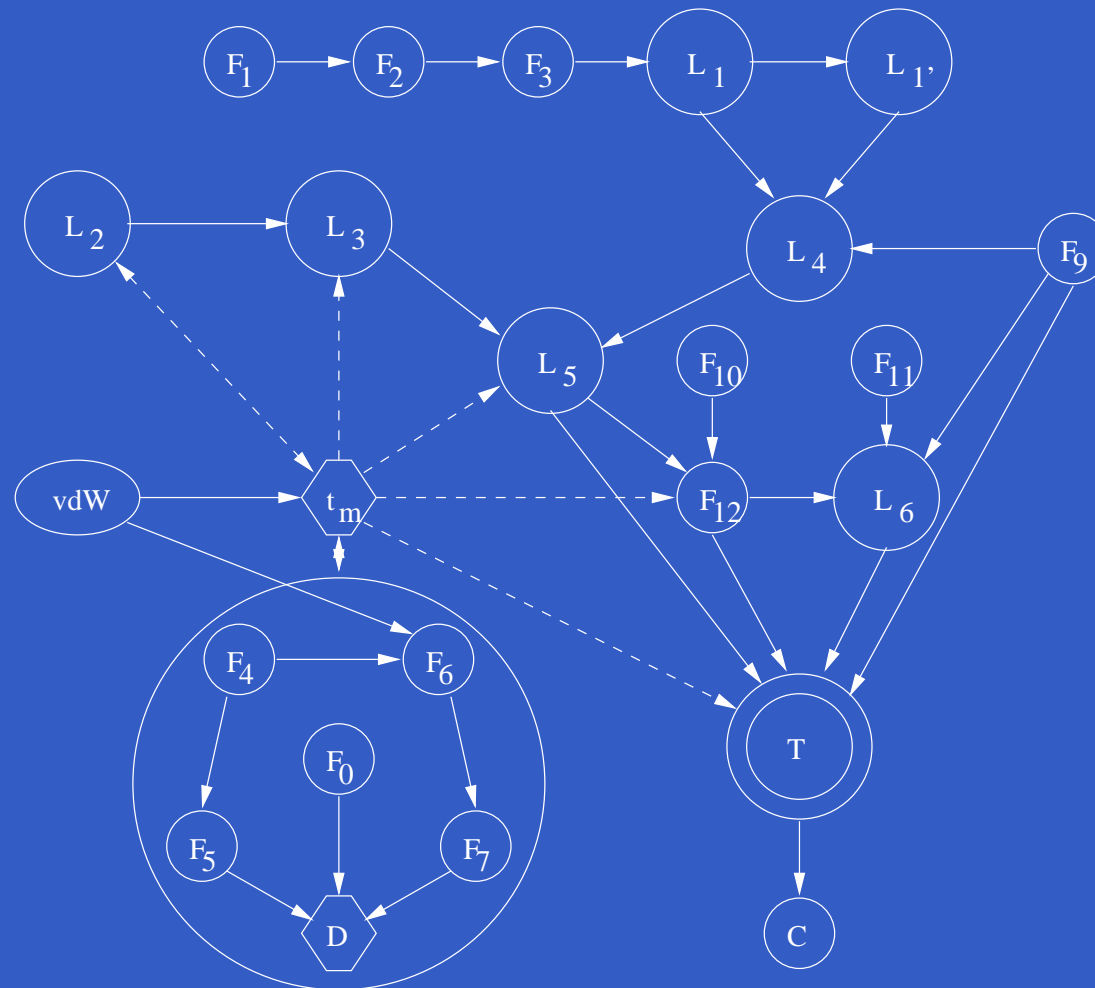
# The proof of Szemerédi's theorem

The proof itself is quite long, complicated and ingenious.

For example, consider a diagram of the structure of the proof.



# The proof of Szemerédi's theorem



# The Regularity Lemma

Buried in the proof of Szemerédi's theorem is a primitive version of the Regularity Lemma.

But the Regularity Lemma is not a statement about number theory, it's a statement about graphs.

# Graph definitions

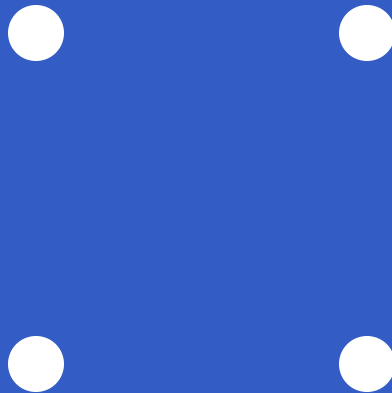
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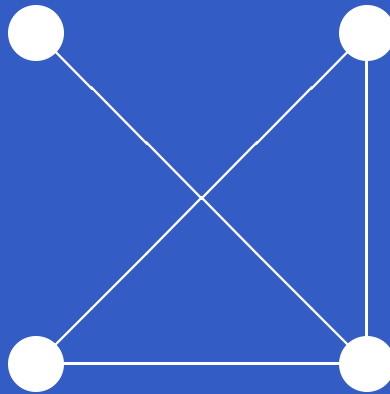
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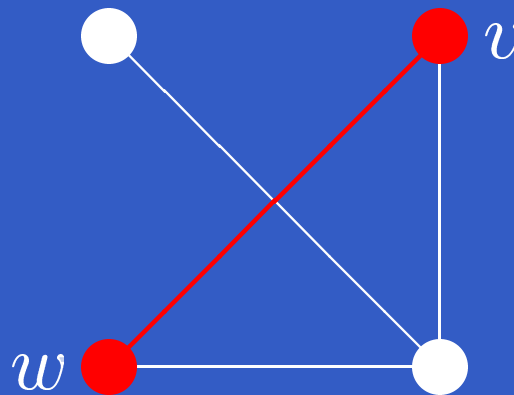
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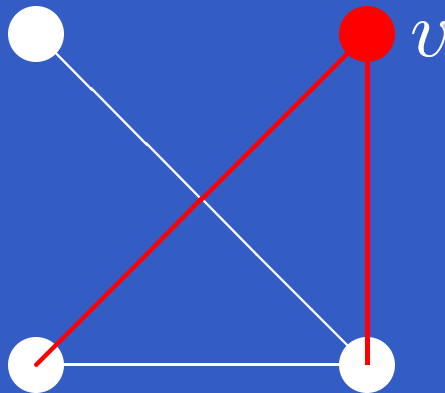


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$v$  is said to be **adjacent to**  $w$  if there is an edge between them. The **degree of**  $v$ ,  $\deg(v)$ , is the number of edges touching  $v$ .

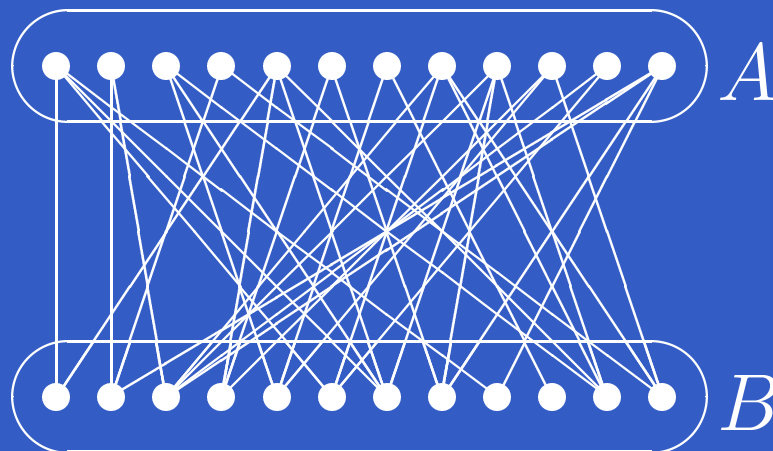
# Bipartite

A graph  $G$  is **bipartite** if we can partition the vertex set into  $A$  and  $B$  so that all edges are between  $A$  and  $B$ :



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We denote such a graph as  $G = (A, B; E)$ .

# Random bipartite graphs

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I.e., take an  $a \in A$  and  $b \in B$  and flip a biased coin to see whether or not  $ab$  is an edge.



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The average density is

$$E[d(A, B)] = \frac{dn^2}{n^2} = d.$$

# Subgraphs

Choose  $A' \subseteq A$  and  $B' \subseteq B$ .  
What is the probability that

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I.e., it is the average of coin flips.

# Chernoff bound

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$$\Pr \{ |d(A', B') - d| \geq \epsilon \} \leq 2 \exp(-2\epsilon^2 |A'| |B'|)$$

# Chernoff bound

In fact, if  $|A'| > \epsilon n$  and  $|B'| > \epsilon n$ , then we have:

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This is ... tiny ...

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# Applies simultaneously to all such sets

In fact, the probability that ALL pairs of sets, each with size  $> \epsilon n$ , have density in

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approaches 1  
as  $n \rightarrow \infty$ .

# Defining regularity

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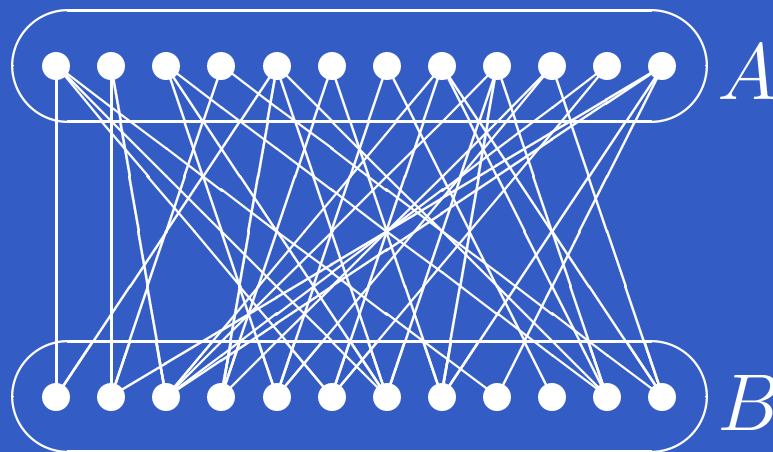
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- For all  $A' \subseteq A$  and  $B' \subseteq B$  with
  - $|A'| > \epsilon|A|$  and
  - $|B'| > \epsilon|B|$ ,we have  $|d(A', B') - d| < \epsilon$ .

So,  $\epsilon$ -regular pairs mimic random pairs.



# Random graphs

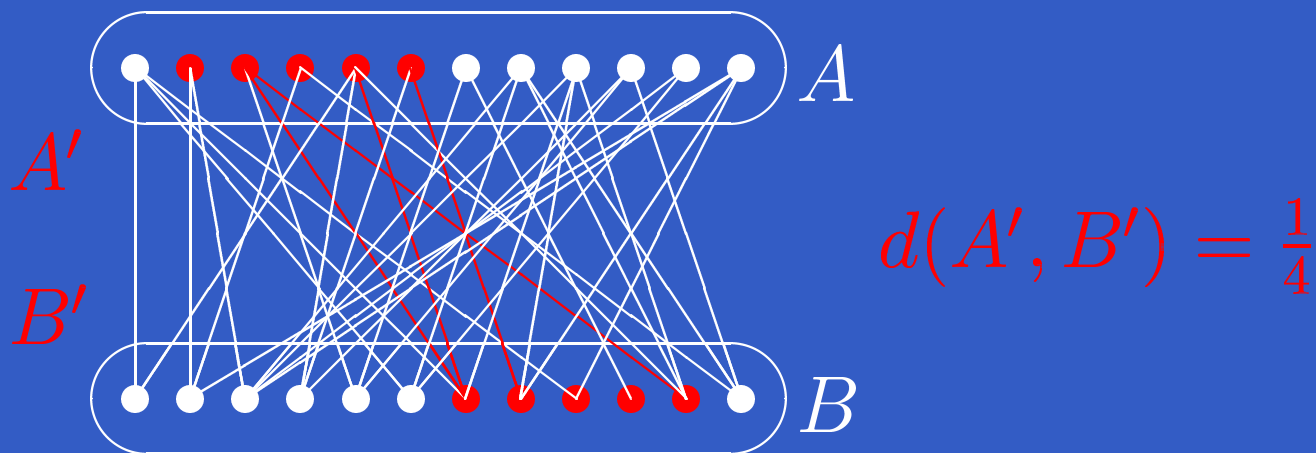
$\epsilon$ -regular pairs are tied inexorably to random pairs.



$$d(A, B) = \frac{1}{4}$$

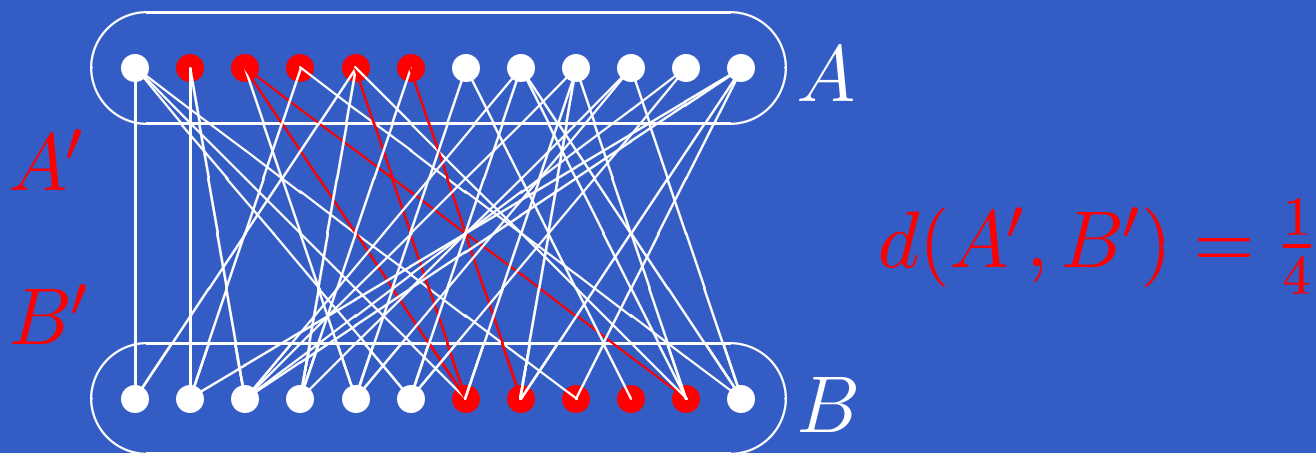
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In fact, an  $\epsilon$ -regular pair has a surprising number of properties that random pairs have.

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It's easier to see in a picture.

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- 

# What this does

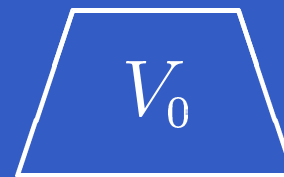
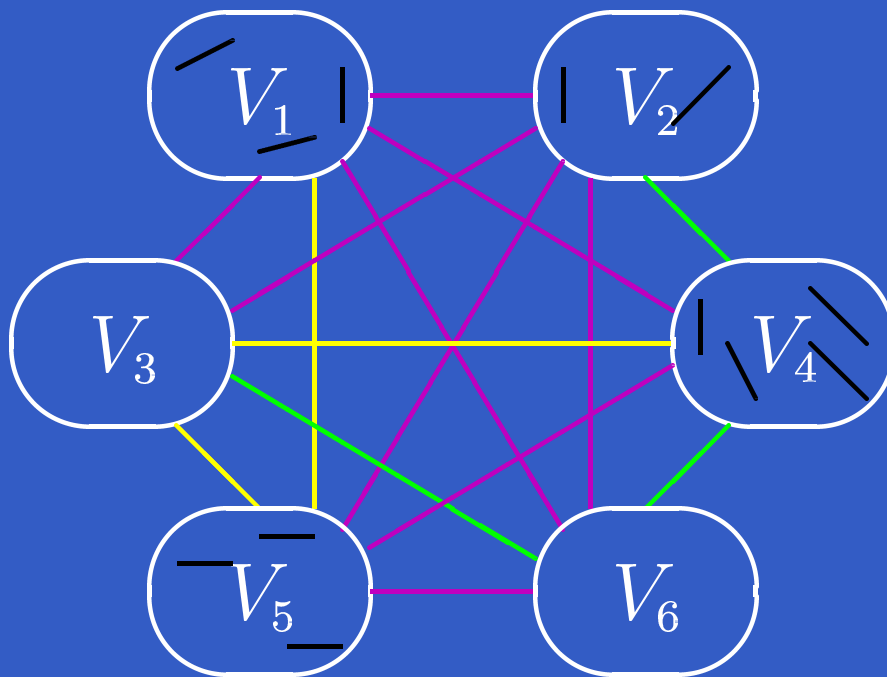
Before Regularity:



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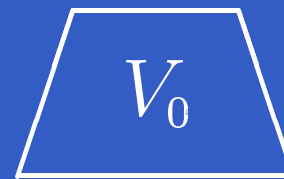
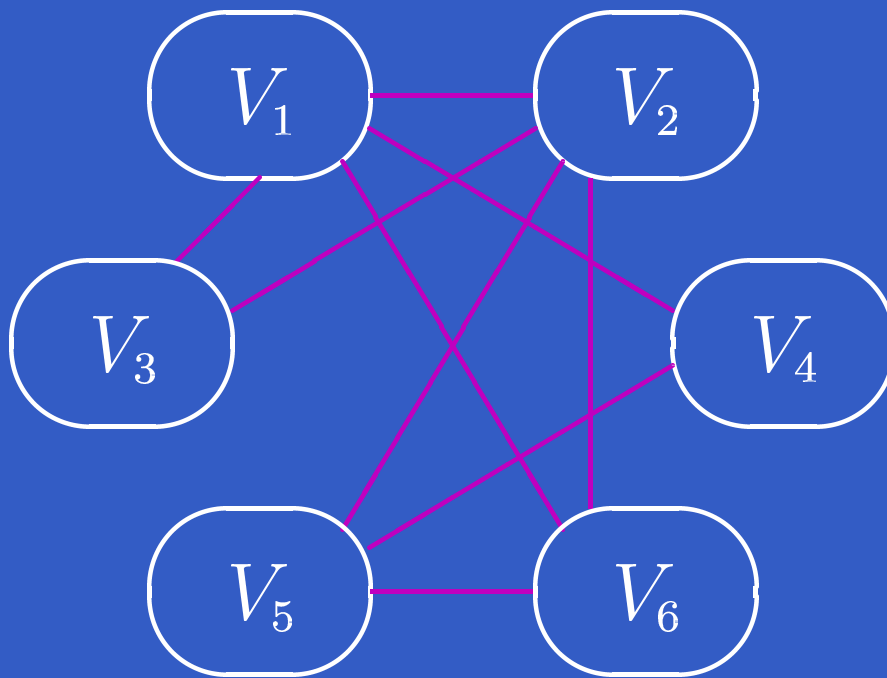
After Regularity, the graph  $G$ :



- $\text{pink line} = \epsilon\text{-regular, density} > d$
- $\text{yellow line} = \epsilon\text{-regular, density} \leq d$
- $\text{green line} = \text{not } \epsilon\text{-regular}$
- $\text{black line} = \text{real edge}$

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$G'$  is very applicable.

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- no  $G'$  edges inside a  $V_i, i = 1, \dots, k$
- all pairs  $G'(V_i, V_j), (i, j \geq 1)$  are  $\epsilon$ -regular, each with a density either 0 or  $> d$ .

# Geometry applications

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Let us be given  $n$  points in the plane.

There are

$$\binom{n}{2} = \frac{n(n-1)}{2} \approx \frac{n^2}{2}$$

pairs of points.

# Getting large distances

Let  $n$  be a large number divisible by 2.  
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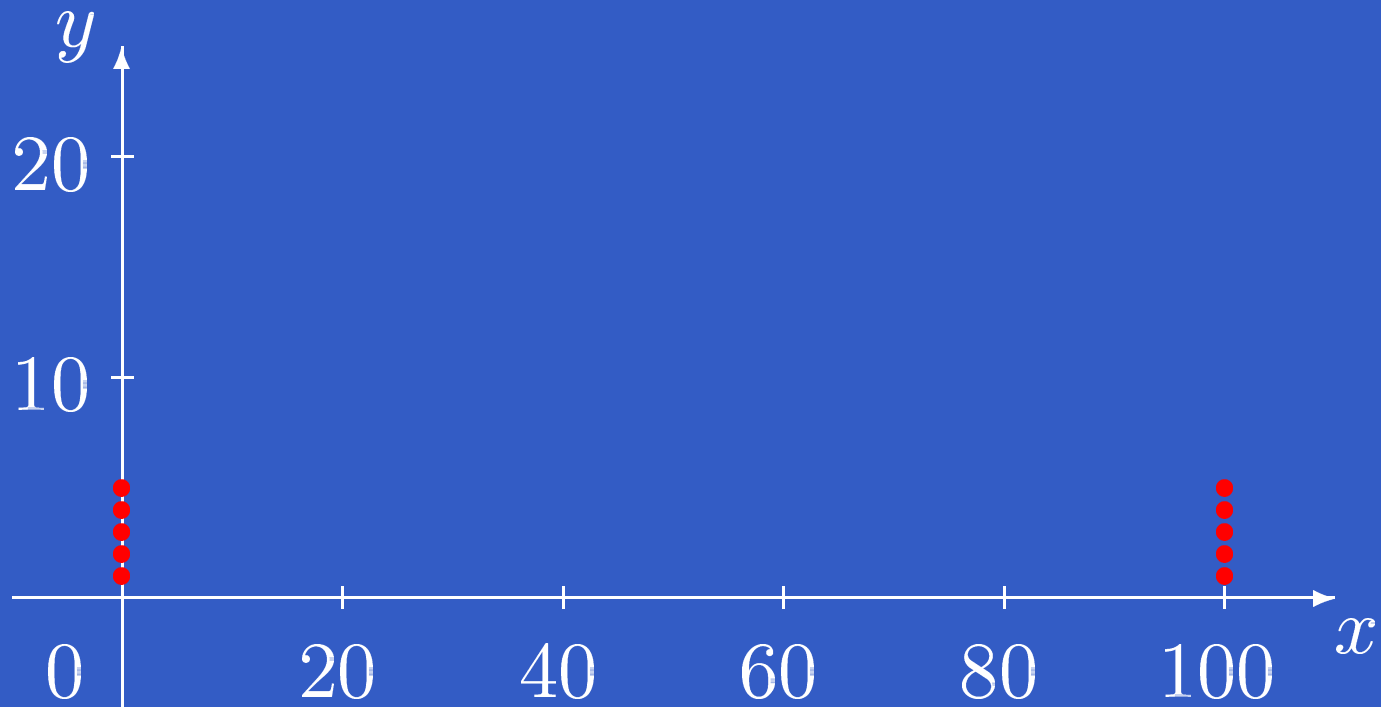
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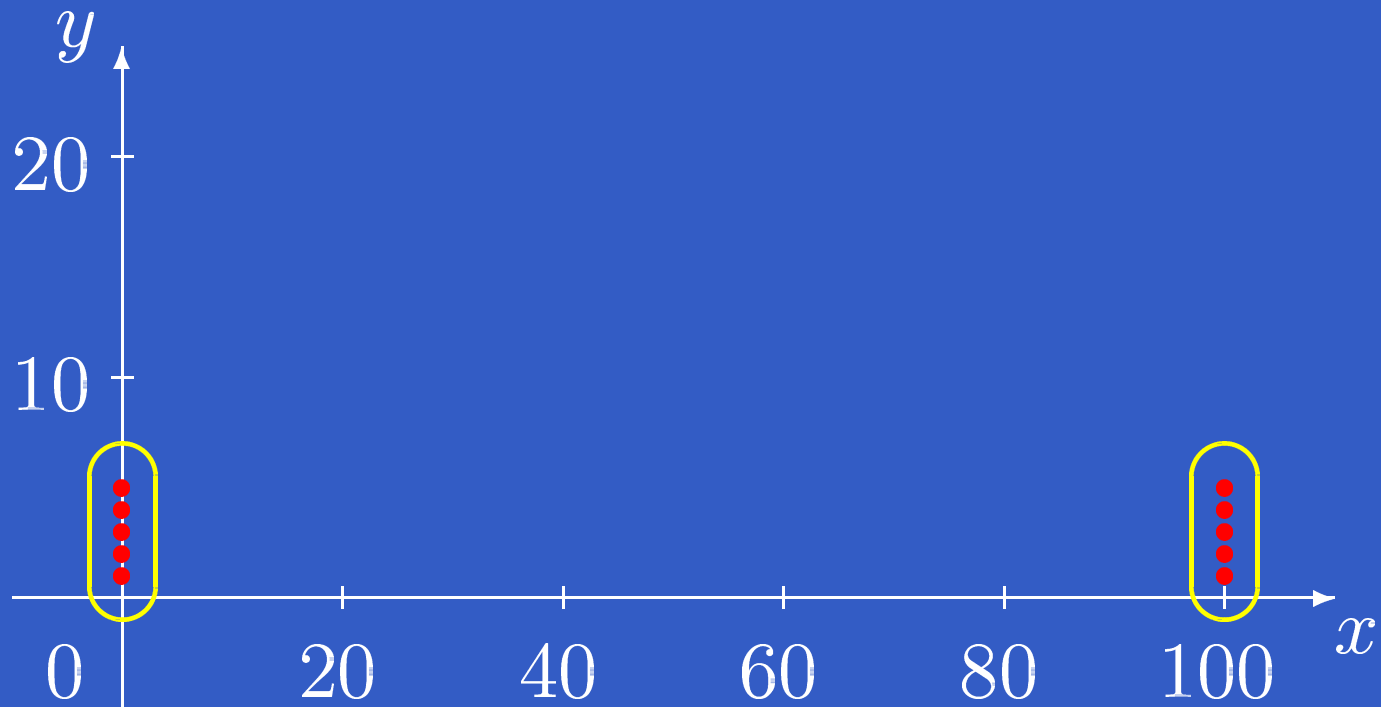
as long as  $i \neq i'$ .

Even if  $i = i'$ , the distance is at least 1.

# A picture of this family



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# Distances are restricted

Thus,  $P$  has at least

$$\left(1 - \frac{1}{2}\right) \frac{n^2}{2} = \frac{n^2}{4}$$

pairs with distances in

$$[n^2, n^2 + 1].$$

# Best possible, asymptotically

## **THEOREM [Erdős-Makai-Pach-Spencer, 1991]**

Given  $\epsilon > 0$ , there is a  $c$  and a positive integer  $n_0$  satisfying the following condition:



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Given  $\epsilon > 0$ , there is a  $c$  and a positive integer  $n_0$  satisfying the following condition:

For any set  $\{p_1, p_2, \dots, p_n\} \subseteq \mathbb{R}^2$  ( $n \geq n_0$ ) with minimal distance at least 1 and for any real  $t$ , the number of pairs  $\{p_i, p_j\}$  whose distance is

$$\|p_i - p_j\| \in [t, t + c\sqrt{n}]$$

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Given  $\epsilon > 0$ , there is a  $c$  and a positive integer  $n_0$  satisfying the following condition:

For any set  $\{p_1, p_2, \dots, p_n\} \subseteq \mathbb{R}^2$  ( $n \geq n_0$ ) with minimal distance at least 1 and for any real  $t$ , the number of pairs  $\{p_i, p_j\}$  whose distance is

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There is a generalization.

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$$\|p_i - p_j\| \in \bigcup_{\ell=1}^k [t_\ell, t_\ell + c\sqrt{n}]$$

is at most  $(1 - 1/(k + 1) + \epsilon) (n^2/2)$

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# Graph theory applications

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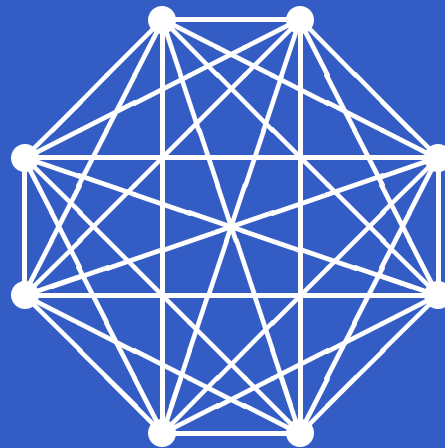
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Define a **complete graph on  $p$  vertices**,  $K_p$ , to be the graph with  $p$  vertices where there is an edge between each pair of vertices.

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$K_8$



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$$|E(G_n)| \leq \frac{n^2}{4}$$

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then  $G_n$  contains at least  $\left(\frac{\epsilon}{M(\epsilon)} n\right)^3$  copies of  $K_3$ .

# Zero to many

There are graphs  $G_n$  such that

$$|E(G_n)| = \left(\frac{1}{2}\right) \frac{n^2}{2} - o(n^2)$$

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Note that  $M(\epsilon)$  is a constant.

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# Does it need to be so big?

Some kind of tower function is necessary.

**THEOREM [Gowers, 1997]** For any  $\epsilon > 0$ , there is a graph so that any application of the Regularity Lemma requires that the number of clusters is at least a number which is a tower of twos of height proportional to  $\log(1/\epsilon)$ .

# Results are still satisfying

Despite the size requirement, there are still pretty results.

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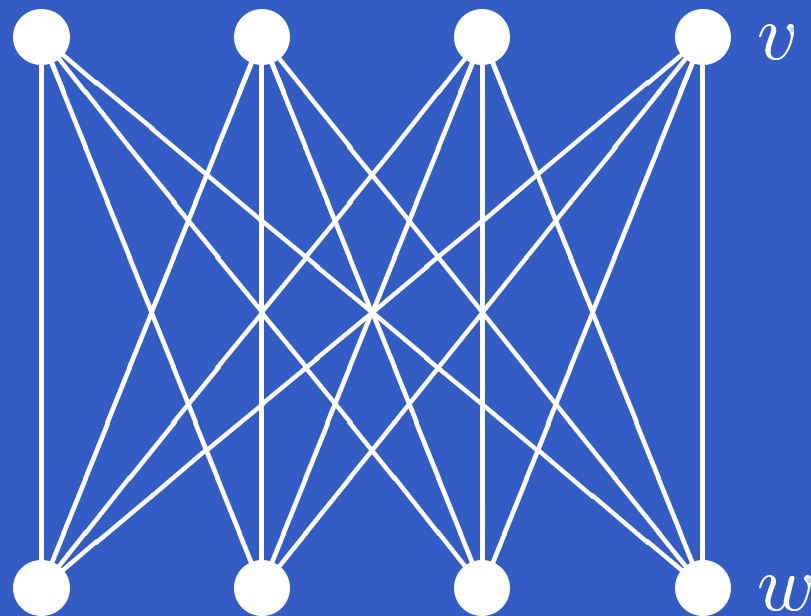
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- Any complete bipartite graph is minimal diameter 2.

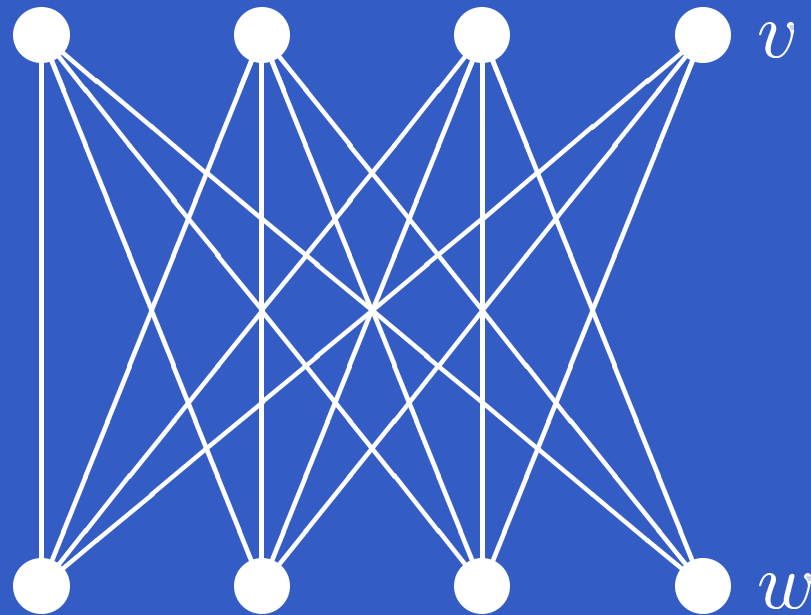
# Complete bipartite graphs

Consider a complete bipartite graph  $K_{4,4}$ :



# Complete bipartite graphs

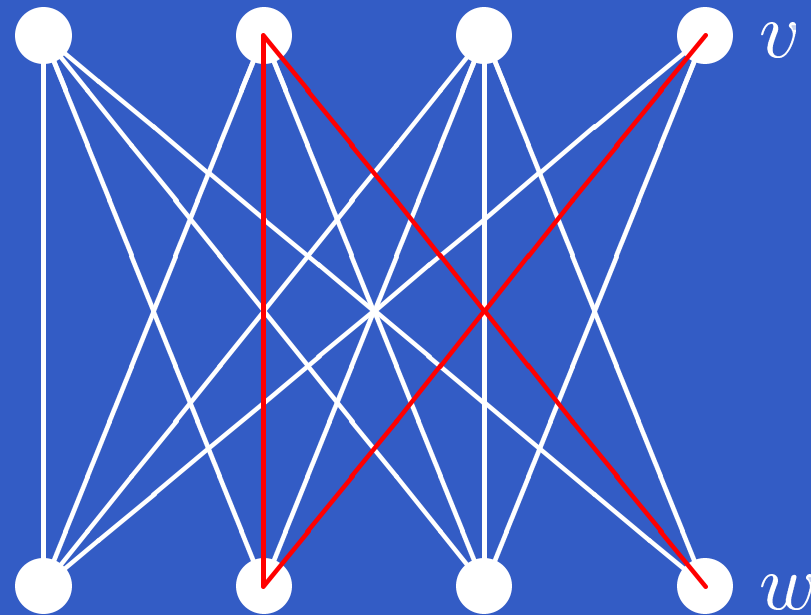
Delete an edge  $vw$ .





# Complete bipartite graphs

Distance between  $v$  and  $w$  is 3.



# Minimal diameter 2 theorem

## THEOREM [Füredi, 1992]

There is a  $n_0$  such that if  $n \geq n_0$  and  $G_n$  is a minimal graph of diameter 2, then

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$$|E(G_n)| \leq \left\lfloor \frac{n^2}{4} \right\rfloor$$

Furthermore, equality occurs if and only if

$$G = K_{\lfloor n/2 \rfloor, \lceil n/2 \rceil}.$$

# Loebl's Conjecture

## CONJECTURE [Loebl]

If  $G_n$  is a graph on  $n$  vertices, and at least  $n/2$  vertices have degrees at least  $n/2$ , then  $G$  contains, as subgraphs, all trees with at most  $n/2$  edges.

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## THEOREM [Ajtai-Komlós-Szemerédi, 1994]

For every  $\epsilon > 0$ , there is a  $n_0$  such that if  $G_n$  has at least  $(1 + \epsilon)n/2$  vertices of degree at least  $(1 + \epsilon)n/2$ , then  $G_n$  contains, as subgraphs, all trees with at most  $n/2$  edges.

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## THEOREM [Zhao, 2003?]

There is a constant  $n_0$  so that Loebl's Conjecture holds for  $n \geq n_0$ .

# Hajnal-Szemerédi

There is a classical theorem, not proven by regularity, that gives a condition for which a graph can be covered by copies of  $K_p$ .

Define  $\delta(G) = \min\{\deg(v) : v \in V(G)\}$ .

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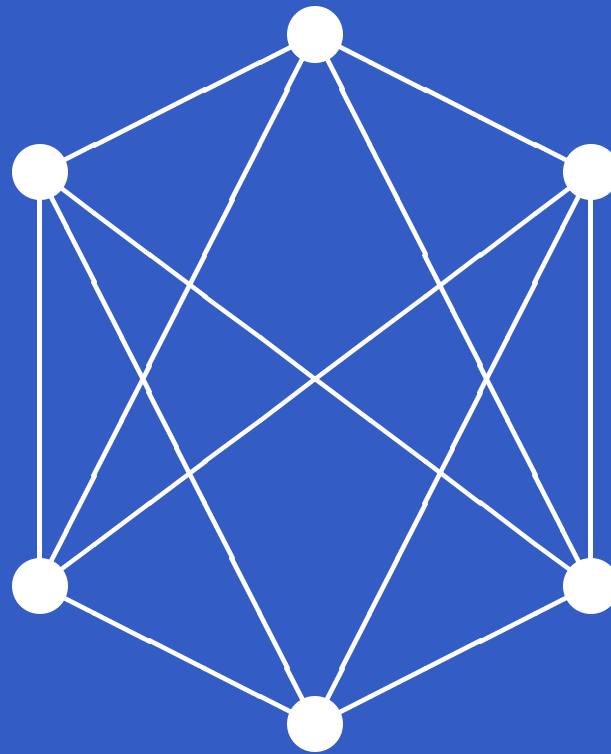
## **THEOREM [Corrádi-Hajnal, 1963]**

If  $\delta(G_n) \geq (2/3)n$ , then  $G_n$  contains  $\lfloor n/3 \rfloor$  vertex-disjoint copies of  $K_3$ .

Let's just deal with  $p = 3$ .

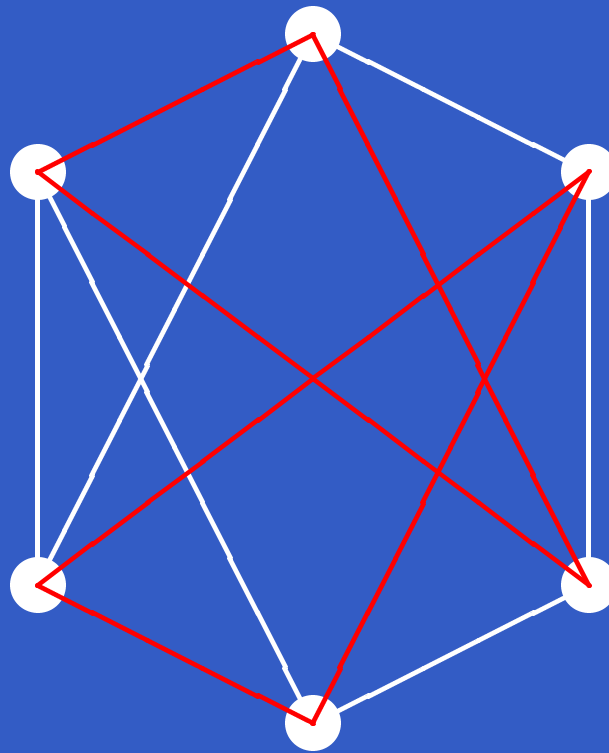
# A small example

Here the minimum degree is  
 $4 = (2/3) \times 6.$



# A small example

Hajnal-Szemerédi says that it can be covered by triangles ( $K_3$ 's).



# Tripartite version

The following conjecture is a natural extension of Corrádi-Hajnal, but not a consequence:

## PROBLEM

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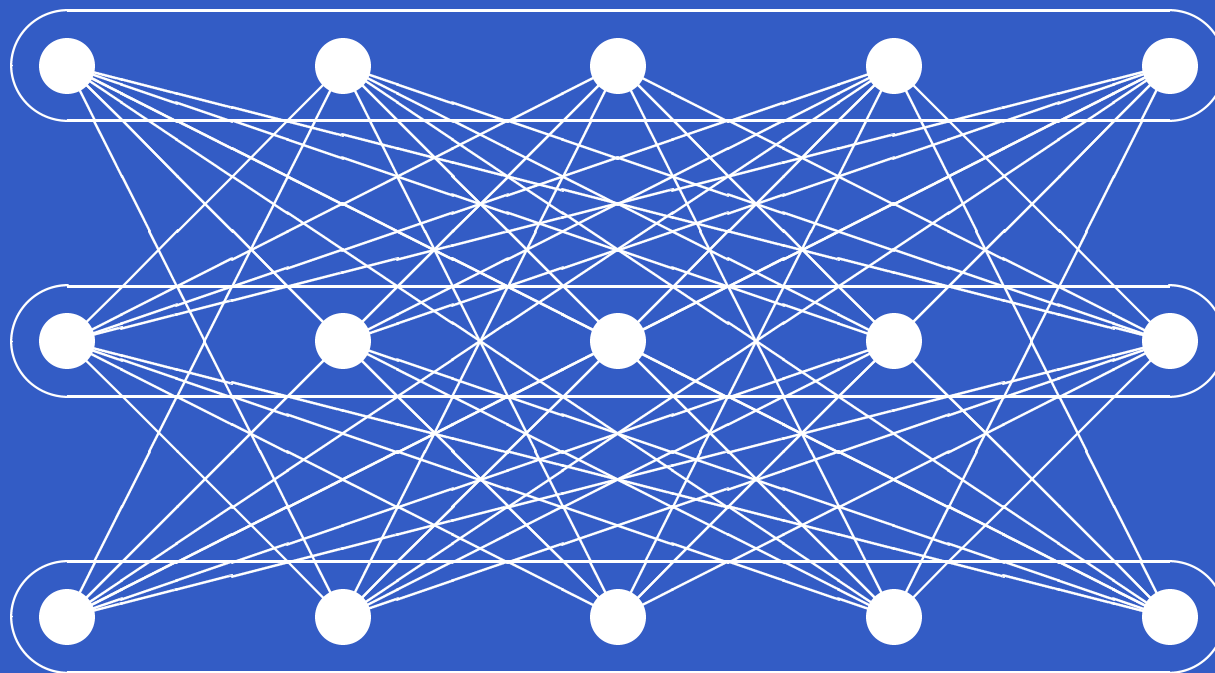
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Then  $G$  contains  $N$  vertex-disjoint copies of  $K_3$ .

In fact, “ $>$ ” can be replaced by “ $\geq$ ”, but there is one exceptional case.

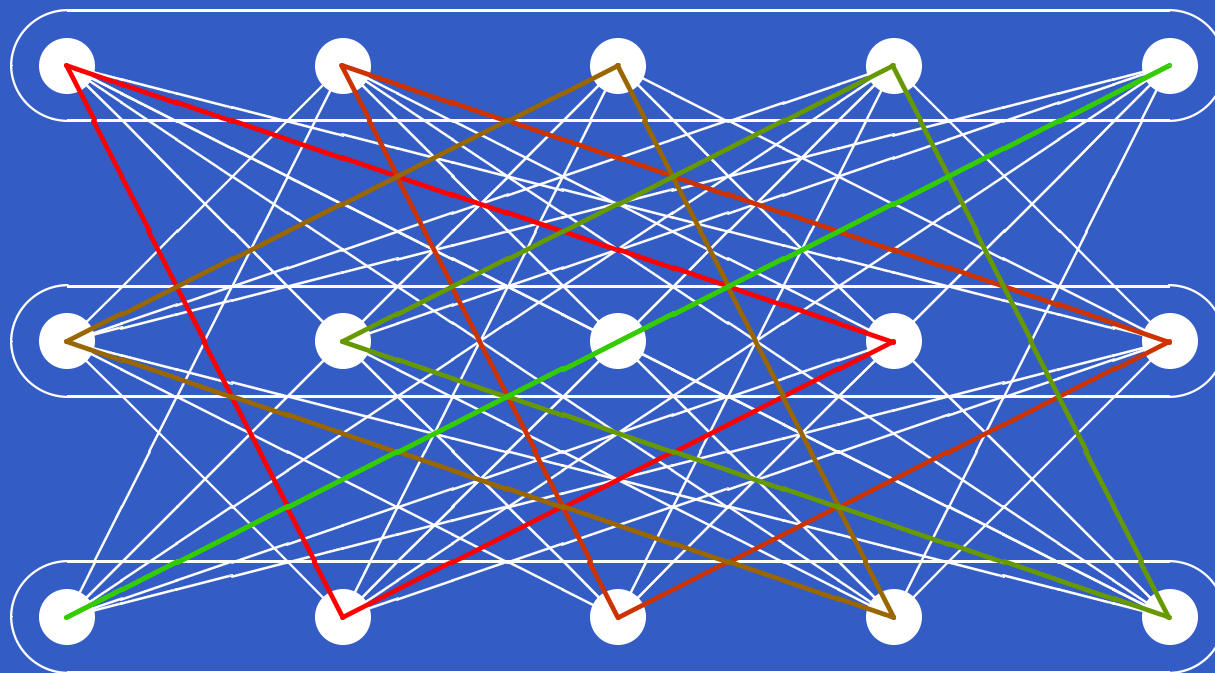
# An example

Each vertex is adjacent to at least  $4 > (2/3) \times 5$  vertices in each of the other classes.



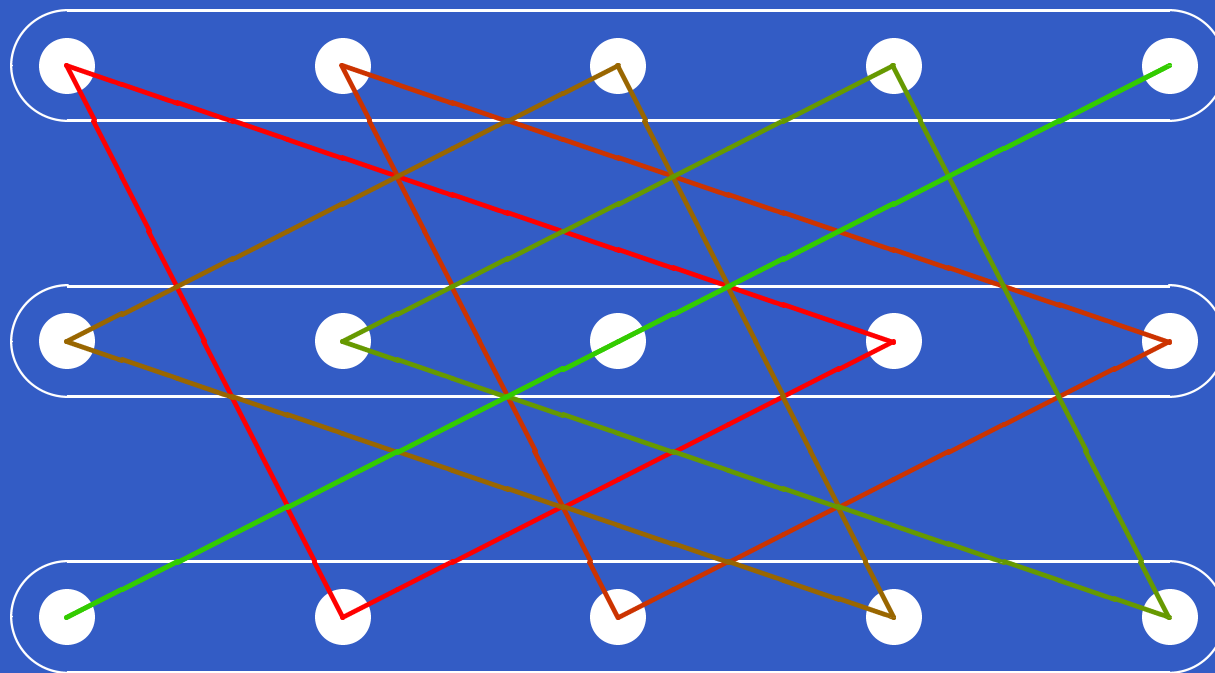
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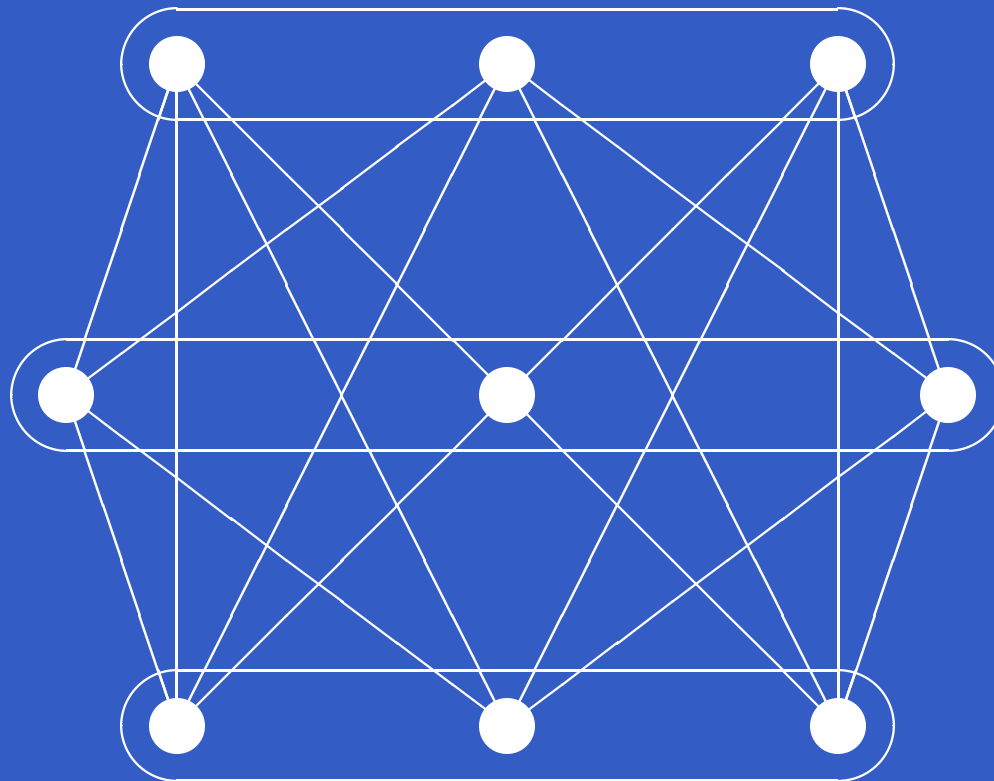
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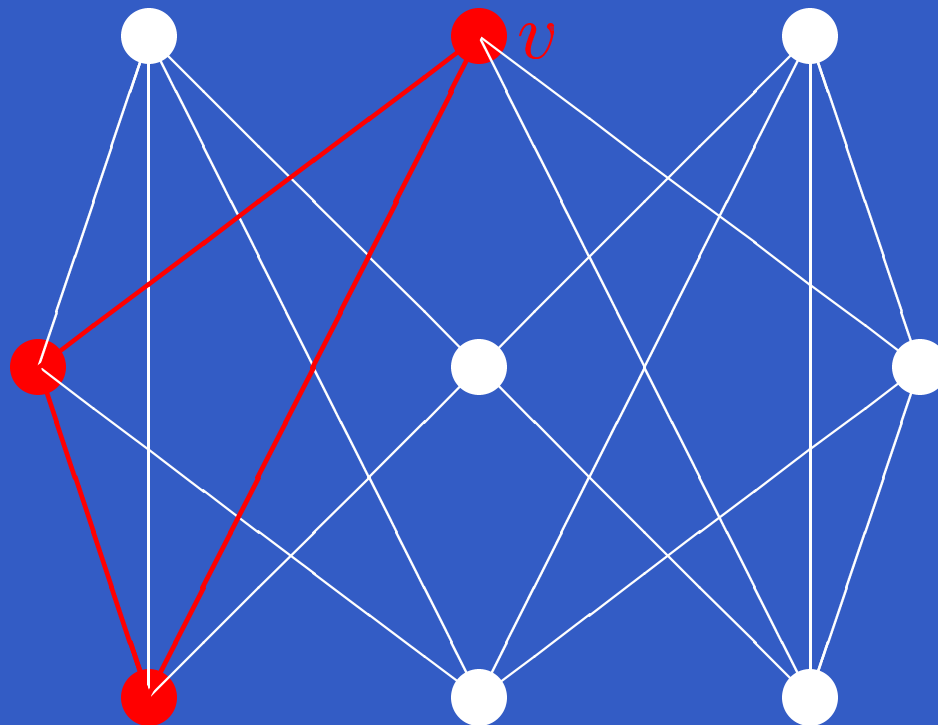
# The result is tight

Consider the following example. Each vertex is adjacent to 2 in each other piece.



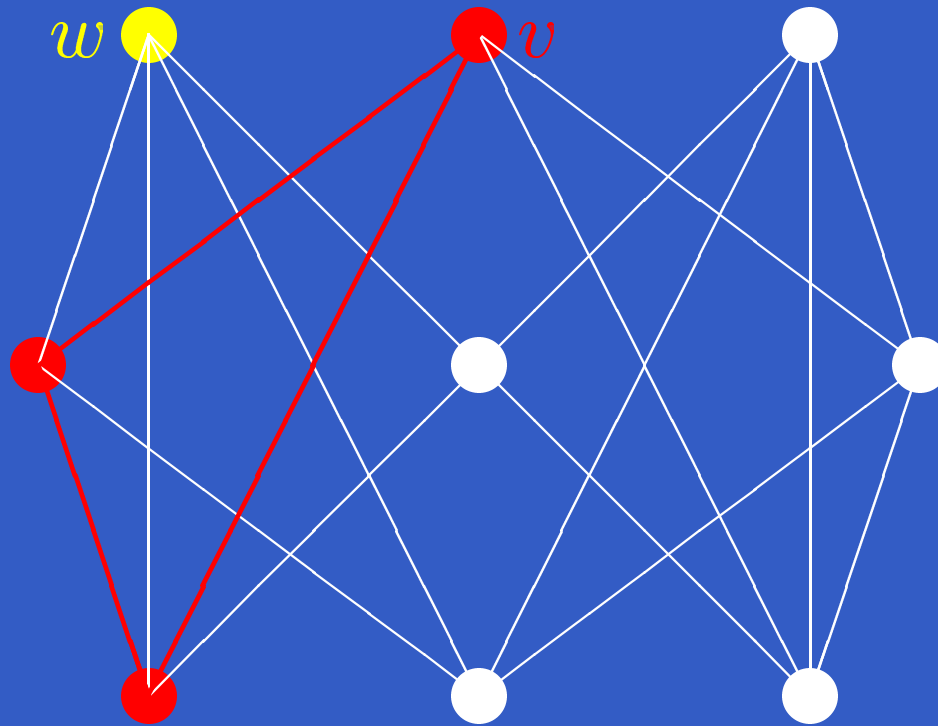
# The result is tight

Without loss of generality,  $v$  must be in the red triangle.



# The result is tight

But then,  $w$  cannot be in any triangle.



# Example generalizes

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For  $N$ , an odd multiple of 3, there is a graph with

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There is only one such graph (up to isomorphism) and we call it  $\Gamma_3(N/3)$ .

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Then either  $G$  has a subgraph which is  $N$  vertex-disjoint triangles, or  $G = \Gamma_3(N/3)$  for  $N/3$  an odd integer.



# What's with $N_0$ ?

Yep, that  $N_0$  is the  $M(\epsilon)$  from the Regularity Lemma.

# Quadripartite?

A partial result in the quadripartite case:

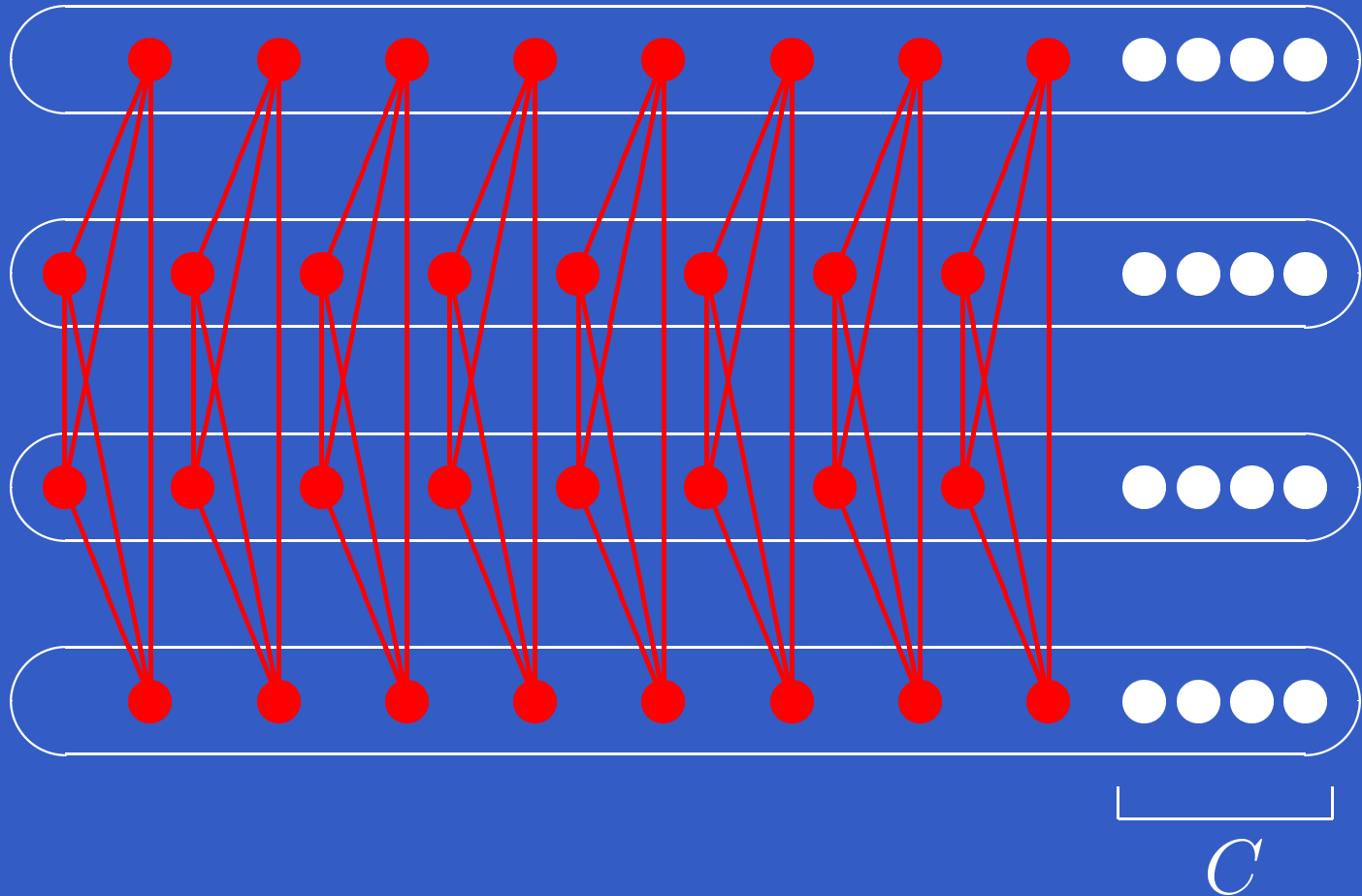
## **THEOREM [Fischer, 1999]**

Let  $G$  be a quadripartite graph with

- $N$  vertices in each part,
- each vertex adjacent to at least  $(3/4)N$  vertices in each of the other three parts,

Then there is an absolute constant  $C$  such that  $G$  has a subgraph which is a family of  $N - C$  vertex-disjoint  $K_4$ 's.

# Diagram of Fischer's result



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This case has no exceptional graph.



# A final application

We will begin with a family of graphs:

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What is the diameter of the “random” graph  $G$ ?

# Many possibilities

Let's assume  $d$  is a small constant.

$H$  could be a variety of possibilities, including

- a traditional random graph,
- an  $\epsilon$ -regular pair,
- $\lfloor 1/d \rfloor$  disjoint cliques

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- Let  $m \rightarrow \infty$  as  $n \rightarrow \infty$ .

Then,  $\text{diam}(G) \leq 7$ .

# The algorithm

Construct  $v_1, v_2, \dots$  greedily such that

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Since  $|N(v_i)| \geq dn$ , we stop in  $\lfloor 1/d \rfloor$  steps.

# Quick facts

- By the partition, every vertex is in the first or second neighborhood of some  $v_i$ .

# Quick facts

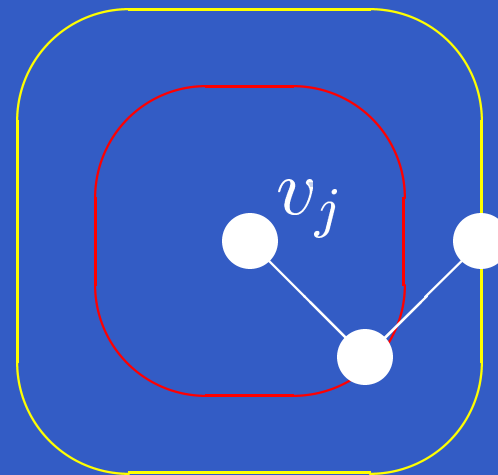
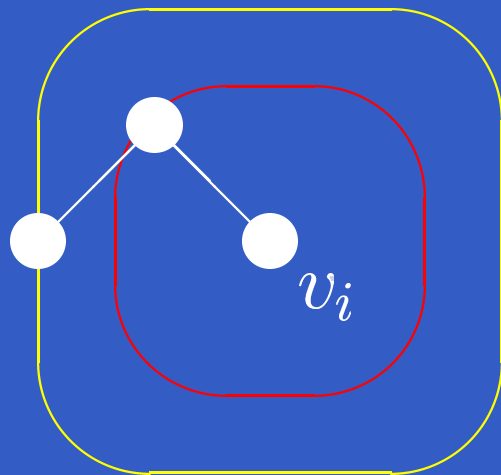
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- There is an edge in any pair  $(N(v_i), N(v_j))$  because  $m(n) \rightarrow \infty$ .

# Quick facts

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- So, for vertices  $u$  and  $w$ , the worst case is if they are in second neighborhoods of different  $v_i$ .

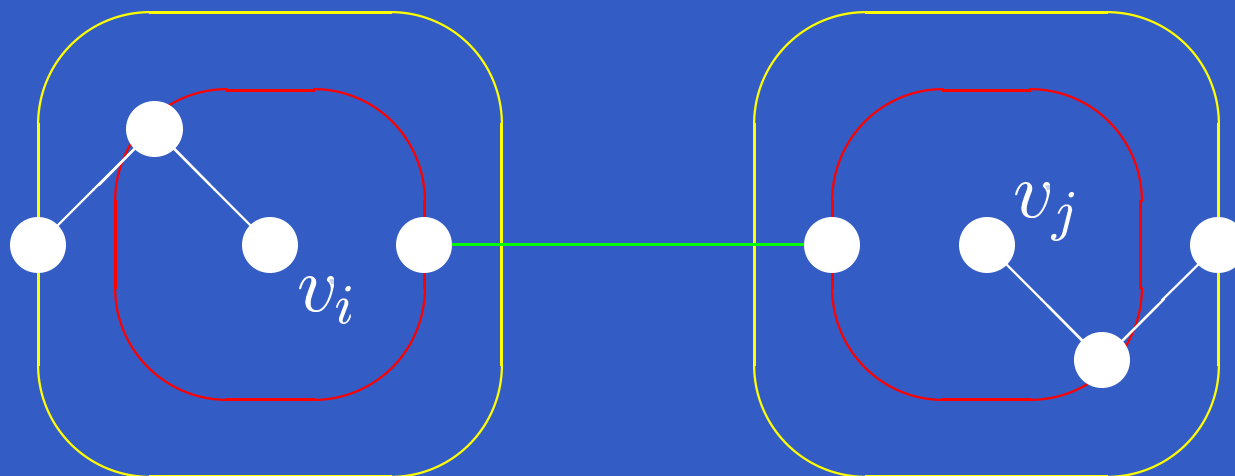
# Diagram

First neighborhoods are **red** ovals.  
Second neighborhoods are **yellow** ovals.



# Diagram

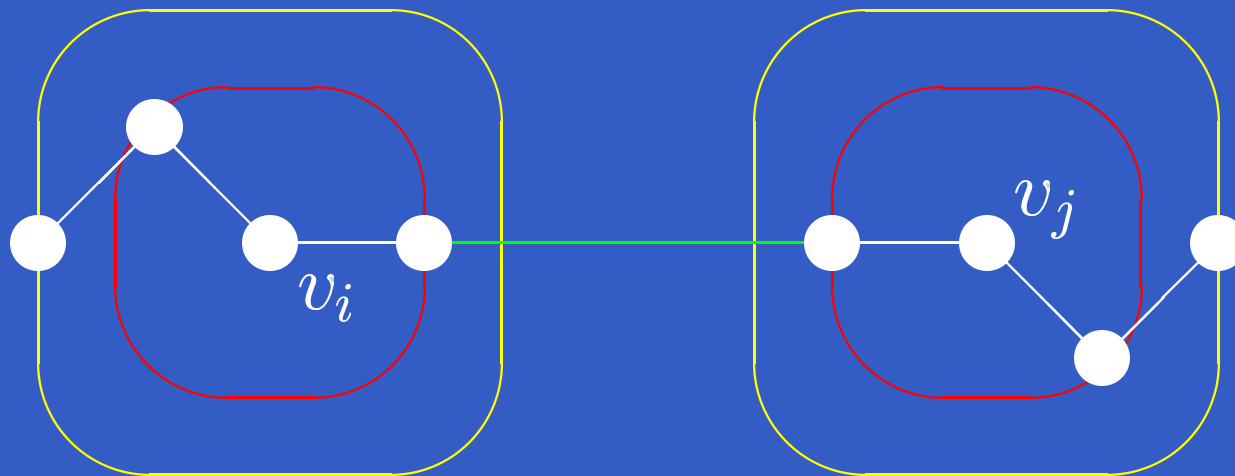
A random edge is in  $(N(v_i), N(v_j))$ .





# Diagram

A **random edge** is in  $(N(v_i), N(v_j))$ .  
Voilà! A path of length 7.



# Infinite edges

We can do better with Regularity:

**THEOREM [Bohman-Frieze-Krivelevich-M., 200?]**

Fix a constant  $d$ . Let  $H$  be an arbitrary member of  $\mathcal{H}(n, d)$ . Add  $m$  edges at random to  $H$ , forming  $G$ . If  $m \rightarrow \infty$  as  $n \rightarrow \infty$ , then

$$\Pr(\text{diam}(G) \leq ?) \rightarrow 1$$

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$$\Pr(\text{diam}(G) \leq 5) \rightarrow 1$$

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# Small world problem

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In 1967, Stanley Milgram conducted a famous experiment.

He asked 160 families in Omaha, NE, to get a package to a stockbroker in Boston who had a home in Sharon, MA.

It had to be mailed or carried by hand from one acquaintance to the next, until it was delivered in person to the broker. Before the experiment began, Milgram asked his colleagues how many steps they thought it would take for the packages to make the trip.

# Small world problem

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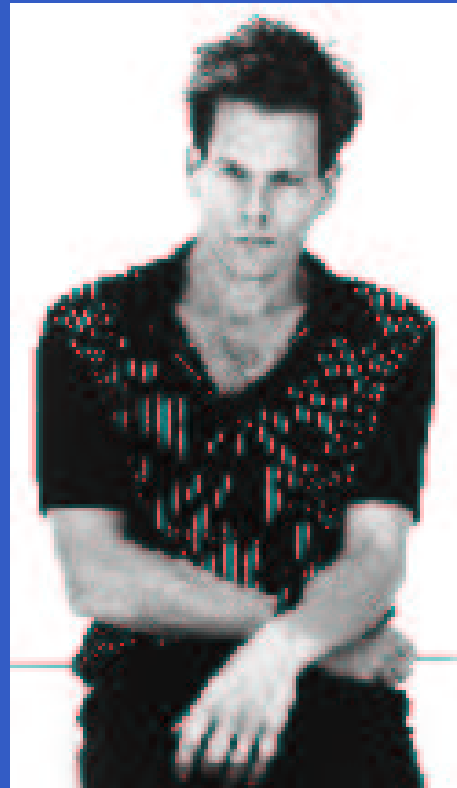
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– the "six degrees of separation" that we're familiar with today.

# Popular culture

We've seen similar phenomena in the Kevin Bacon game.



# Popular culture

Also in the Erdős number project.



# Our answer

So, if familiarity grows proportionally with the size of the population and the amount of randomness in the system grows also, then

# Our answer

So, if familiarity grows proportionally with the size of the population and the amount of randomness in the system grows also, then

It's FIVE degrees of separation, not six!

# Thanks

Thank you for letting me talk today.

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The file for this talk is available online at my website:

<http://www.math.cmu.edu/~rymartin>

These slides were created by the Prosper document preparation system.