

# HAMILTONIAN INCREASING PATHS IN RANDOM EDGE ORDERINGS

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ABSTRACT. Let  $f$  be an edge ordering of  $K_n$ : a bijection  $E(K_n) \rightarrow \{1, 2, \dots, \binom{n}{2}\}$ . For an edge  $e \in E(K_n)$ , we call  $f(e)$  the label of  $e$ . An *increasing path* in  $K_n$  is a simple path (visiting each vertex at most once) such that the label on each edge is greater than the label on the previous edge. We let  $S(f)$  be the number of edges in the longest increasing path. Chvátal and Komlós raised the question of estimating  $m(n)$ : the minimum value of  $S(f)$  over all orderings  $f$  of  $K_n$ . The best known bounds on  $m(n)$  are  $\sqrt{n-1} \leq m(n) \leq (\frac{1}{2} + o(1))n$ , due respectively to Graham and Kleitman, and to Calderbank, Chung, and Sturtevant. Although the problem is natural, it has seen essentially no progress for three decades.

In this paper, we consider the average case, when the ordering is chosen uniformly at random. We discover the surprising result that in the random setting,  $S(f)$  often takes its maximum possible value of  $n-1$  (visiting all of the vertices with a Hamiltonian increasing path). We prove that this occurs with probability at least about  $1/e$ . We also prove that with probability  $1 - o(1)$ , there is an increasing path of length at least  $0.85n$ , suggesting that this Hamiltonian (or near-Hamiltonian) phenomenon may hold asymptotically almost surely.

## 1. INTRODUCTION

The classical result of Erdős and Szekeres [5] states that any permutation of  $\{1, 2, \dots, n^2 + 1\}$  contains a monotonic subsequence of length  $n + 1$ . Many extensions have been found for this theorem: see, e.g., any of [6, 11, 13, 15, 16]. In this paper, we consider the direction started by Chvátal and Komlós [3]. They posed the natural analogue of the problem for walks in a graph, which may be considered an extension of Erdős–Szekeres in a similar spirit to how Ramsey’s theorem is an extension of the pigeonhole principle. Rather than order the integers  $\{1, 2, \dots, n\}$ , we order the edges of  $K_n$  by setting a bijection  $f : E(K_n) \rightarrow \{1, 2, \dots, \binom{n}{2}\}$ . A walk in  $K_n$  whose edges are  $(e_1, e_2, \dots, e_k)$  is called  $f$ -increasing if the labels  $f(e_1), f(e_2), \dots, f(e_k)$  form an increasing sequence. (In this setting, we can assume that the monotone sequence of labels is increasing without loss of generality, since a decreasing walk is just an increasing walk traversed backwards.) As in Erdős–Szekeres, the objective is to prove a worst-case lower bound on the length of the longest increasing walk. Here, a walk is permitted to visit the same vertex multiple times.

This question was resolved by Graham and Kleitman [9]. In [18], Winkler communicates an elegant formulation of their solution, which is due to Friedgut: a pedestrian stands at every vertex of  $K_n$ , and the edges are called out in increasing order; whenever an edge is called out, the pedestrians on its endpoints switch places. After all edges have been called out, the  $n$  pedestrians have taken a total of  $n(n-1)$  steps, and therefore at least one must have taken at least  $n-1$  steps, producing an increasing walk with length (number of edges) at least  $n-1$ .

This is easily seen to be tight for even  $n$ , for which  $K_n$  can be partitioned into  $n-1$  perfect matchings, and edges within each individual matching can receive consecutive labels. For odd  $n$ , a partition into  $n$  maximal matchings only gives an upper bound of  $n$ ; a more complicated argument in [9] shows that  $n-1$  is still correct for all  $n$  except  $n=3$  and  $n=5$ , where  $n$  is the right answer.

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Chvátal and Komlós also posed the corresponding problem for self-avoiding walks, or paths, which are not permitted to revisit any vertex. Self-avoiding walks are generally much harder to analyze, and indeed, in this setting, even determining the answer asymptotically is still an open question. Calderbank, Chung, and Sturtevant [2] construct an ordering of  $K_n$  for which no increasing path is longer than  $(\frac{1}{2} + o(1))n$ . The best lower bound known was also proven by Graham and Kleitman in [9], where they show that there must always be an increasing path of length  $\sqrt{n-1}$ .

A simple variant of the “pedestrian argument” establishes this lower bound, which we include for completeness. Suppose we modify the pedestrians’ algorithm so that if either pedestrian would visit an already-visited vertex, instead both pedestrians stay put. If all pedestrians take at most  $k$  steps, then at most  $\frac{1}{2}nk$  edges are walked; each pedestrian can refuse at most  $\binom{k}{2}$  edges, so at most  $n\binom{k}{2}$  edges are refused, for a total of at most  $\frac{1}{2}nk^2$  edges. Since all  $\binom{n}{2}$  edges are either walked or refused,  $\frac{1}{2}nk^2 \geq \binom{n}{2}$ , so  $k \geq \sqrt{n-1}$ .

Many extremal questions for combinatorial structures have also been studied in the random setting (see, e.g., either of the books [1, 10] on random graphs), which is in a sense equivalent to asking about the average-case rather than the worst-case behavior of some property. In many situations, one can prove that interesting properties hold with probability  $1 - o(1)$  over the space of random objects, in which case the property is said to hold *asymptotically almost surely*, or a.a.s. for short. For example, the random analogue of the Erdős–Szekeres result considers the length  $I_n$  of the longest increasing subsequence in a random permutation of  $\{1, 2, \dots, n\}$ , and this is a well-studied topic: it is known [12, 17] that  $I_n \sim 2\sqrt{n}$  a.a.s. (Here and in the remainder, we write  $X \sim Y$  to denote  $\lim_{n \rightarrow \infty} \frac{X}{Y} = 1$ .)

In this paper we consider the random version of the increasing path problem. Suppose the ordering  $f$  is chosen uniformly at random. What can we say about the length of the longest  $f$ -increasing path? It is natural to begin by considering the performance of the greedy algorithm on a randomly ordered graph, since all walks traced by pedestrians in the above argument are greedy in the following sense: every walk exits each vertex along the minimally-labeled edge which maintains the increasing property.

**Proposition 1.** *Let  $v_0$  be an arbitrary vertex in  $K_n$ . Given an edge ordering  $f$  of the edges of  $K_n$ , let the greedy  $f$ -increasing path from  $v_0$  be the path  $v_0v_1v_2 \dots v_t$  with the following properties: (i)  $v_0v_1$  is the lowest-labeled edge incident to  $v_0$ , (ii) for each  $1 \leq i \leq t-1$ ,  $v_{i+1}$  is the vertex  $x$  which minimizes the label of  $v_ix$  over all  $x \notin \{v_0, v_1, \dots, v_i\}$  with  $v_ix$  exceeding the label of  $v_{i-1}v_i$ , and (iii) every vertex  $x \notin \{v_0, \dots, v_t\}$  has  $v_tx$  labeled below  $v_{t-1}v_t$ . Then, if  $f$  is chosen uniformly at random, the length of the greedy  $f$ -increasing path from  $v_0$  is  $(1 - \frac{1}{e} + o(1))n$  a.a.s.*

Since the analysis in the previous result is tight, one must consider more complex algorithms in order to find longer paths in the random setting. The main challenge in analyzing more sophisticated algorithms arises from the fact that randomness is revealed during the algorithm’s execution. We introduce a novel extension of the greedy algorithm which adds some foresight, but which is formulated in a way that is amenable to analysis. At each step, this *k-greedy algorithm* greedily finds a tree of  $k$  potential edges that can extend the increasing path, before choosing the one that has the best short-term prospects. (The ordinary greedy algorithm is the  $k = 1$  case of this algorithm.) A detailed specification of this algorithm appears in Section 3.1.

The performance of the  $k$ -greedy algorithm is related to statistics which arise in the Chinese Restaurant Process, or equivalently, to the random variable  $L_k$  which measures the length of the longest cycle in a uniformly random permutation of  $\{1, \dots, k\}$ . Let  $\alpha_k = \mathbb{E}[\frac{1}{L_k} + \frac{1}{L_{k+1}} + \dots + \frac{1}{k}]$ .

**Theorem 1.** *If an edge ordering  $f$  of  $K_n$  is chosen uniformly at random, then the  $k$ -greedy algorithm finds an  $f$ -increasing path of length  $(1 - e^{-1/\alpha_k} + o(1))n$  a.a.s. Also,  $\alpha_k$  is monotone*

decreasing in  $k$  and explicitly computable. The particular choice  $k = 100$  produces an increasing path of length  $0.85n$  a.a.s.

**Remark.** As  $k \rightarrow \infty$ , the monotonicity in the above result implies that  $\alpha_k$  converges to  $\alpha = \lim_{k \rightarrow \infty} \mathbb{E} \left[ -\log \frac{L_k}{k} \right]$ , a constant related to the Golomb–Dickman constant  $\lim_{k \rightarrow \infty} \mathbb{E} \left[ \frac{L_k}{k} \right] \approx 0.6243$ . Numerically, we estimate  $\alpha \approx 0.5219$ , and  $1 - e^{-1/0.5219} \approx 0.853$ , so  $k = 100$  appears to be a near-optimal choice.

The first two results establish successively stronger linear lower bounds on the increasing path length in a random edge ordering. There is a trivial upper bound of  $n - 1$ : the length of a Hamiltonian path, and at first glance, one may assume that a Hamiltonian increasing path would be too much to hope for. Indeed, when one calculates the expected number of  $f$ -increasing Hamiltonian paths, the total number of paths, which is  $n!$ , is almost exactly canceled by the probability that each is increasing, which is  $\frac{1}{(n-1)!}$ . Thus, the expected number of increasing Hamiltonian paths is only  $n$ , which although it tends to infinity, grows extremely slowly. In comparison, in the Erdős–Rényi model  $G_{n,p}$ , where each edge appears independently with probability  $p$ , the expected number of Hamilton paths is about  $n$  when  $p \sim \frac{\epsilon}{n}$ , but Hamiltonian paths don't appear until  $p \sim \frac{\log n}{n}$ . Furthermore, for Hamilton cycles in the random graph process, at the moment Hamiltonicity is achieved, the number of Hamilton cycles jumps from 0 to  $[(1 + o(1)) \frac{\log n}{\epsilon}]^n$ , as shown recently by Glebov and Krivelevich [7] (improving an earlier result of Cooper and Frieze [4]). It may therefore come as a surprise that random edge orderings often have Hamiltonian paths, despite the extremely low expected value.

**Theorem 2.** *If an edge ordering  $f$  of  $K_n$  is chosen uniformly at random, then an  $f$ -increasing Hamiltonian path exists in  $K_n$  with probability at least  $\frac{1}{e} + o(1)$ .*

Theorems 1 and 2 complement each other, as they establish a.a.s. almost-Hamiltonicity and almost-a.a.s. Hamiltonicity. Numerical simulations seem to indicate that a stronger result is true, which we propose as follows.

**Conjecture 1.** *If an edge ordering  $f$  of  $K_n$  is chosen uniformly at random, then an  $f$ -increasing Hamiltonian path exists in  $K_n$  a.a.s.*

The proof of Theorem 2 uses the second moment method. Let  $H_n$  be the number of increasing Hamiltonian paths. As mentioned above, it is easy to see that  $\mathbb{E}[H_n] = n! \cdot \frac{1}{(n-1)!} = n$ . The main step of our proof is to upper-bound the second moment  $\mathbb{E}[H_n^2]$ . We actually go one step further, and asymptotically determine  $\mathbb{E}[H_n^2] = (1 + o(1))en^2$ , from which the result follows. This asymptotic computation of  $\mathbb{E}[H_n^2]$ , up to multiplicative error  $1 + o(1)$ , may be useful for a full proof of Conjecture 1 via analysis of variance. For example, the problem might be tractable by the small subgraph conditioning method first used by Robinson and Wormald [14] to prove that the random  $d$ -regular graph  $G_{n,d}$ , for  $d \geq 3$ , contains a Hamiltonian cycle a.a.s., and this method requires the precise second moment estimate that we provide.

## 2. THE LENGTH OF THE GREEDY INCREASING PATH

As a warm-up for the  $k$ -greedy algorithm, we begin by proving Proposition 1, which establishes that the greedy algorithm produces an increasing path of linear length a.a.s. In this section, it is convenient to introduce a different (but equivalent) model for generating the edge labels, which features more independence. In order to sample a uniform permutation of  $\{1, 2, \dots, \binom{n}{2}\}$  for the labels, we choose a labeling  $f : E(K_n) \rightarrow [0, 1]$ , where the labels  $f(e)$  are i.i.d. Uniform(0, 1) random variables. Since with probability 1 no two labels will be equal, this induces a total ordering on the edges, and by symmetry, all orderings occur with uniform probability.

Let  $(e_1, e_2, \dots, e_k)$  be the edges of any path, not necessarily  $f$ -increasing. We define the *jumps*  $X_1, \dots, X_k$  along this path by  $X_1 = f(e_1)$ , and

$$X_k = (f(e_k) - f(e_{k-1})) \bmod 1 = \begin{cases} f(e_k) - f(e_{k-1}), & \text{if } f(e_k) > f(e_{k-1}) \\ 1 + f(e_k) - f(e_{k-1}), & \text{if } f(e_k) \leq f(e_{k-1}). \end{cases}$$

The sum  $X_1 + X_2 + \dots + X_k$  telescopes to  $f(e_k) + p$ , where  $p$  is the number of points at which the path fails to be  $f$ -increasing. Therefore the path is  $f$ -increasing if and only if  $X_1 + X_2 + \dots + X_k \leq 1$ .

Choose a Hamiltonian path  $(e_1, e_2, \dots, e_{n-1})$  by the following rule: starting from an arbitrary vertex, always take the edge with the smallest jump. The result coincides with the greedy path for the entire length of the greedy path. However, when the greedy path would stop, this rule merely makes a step that isn't  $f$ -increasing. This allows us to keep going until  $n - 1$  edges are chosen, no matter what. The length of the greedy increasing path will therefore be the largest  $k$  for which the initial segment  $(e_1, e_2, \dots, e_k)$  forms an  $f$ -increasing path; equivalently, the largest  $k$  such that  $X_1 + X_2 + \dots + X_k \leq 1$ .

When constructing this path, we only expose the labels of the edges as we encounter them. Specifically, if we already have the partial Hamiltonian path  $(e_1, \dots, e_{k-1})$ , then the next edge  $e_k$  will be one of the  $n - k$  edges from the last vertex to a new vertex not on the current path. Call those possible edges  $e_k^1, \dots, e_k^{n-k}$ , and expose  $f(e_k^1), \dots, f(e_k^{n-k})$ . The jump  $X_k$  is given by

$$X_k = \min \left\{ (f(e_k^1) - f(e_{k-1})) \bmod 1, \dots, (f(e_k^{n-k}) - f(e_{k-1})) \bmod 1 \right\}.$$

The values  $(f(e_k^j) - f(e_{k-1})) \bmod 1$  are also uniformly distributed on  $[0, 1]$ , and exposing them is equivalent to exposing  $f(e_k^1), \dots, f(e_k^{n-k})$ . This means that  $X_k$  is the minimum of  $n - k$  uniform random variables, which are independent from each other and all previously exposed values.

Since  $\Pr[X_k \geq x] = (1 - x)^{n-k}$ , the probability density function is  $(n - k)(1 - x)^{n-k-1}$ , so:

$$\begin{aligned} \mathbb{E}[X_k] &= \int_0^1 x(n - k)(1 - x)^{n-k-1} dx = \frac{1}{n - k + 1}, \\ \mathbb{E}[X_k^2] &= \int_0^1 x^2(n - k)(1 - x)^{n-k-1} dx = \frac{2}{(n - k + 1)(n - k + 2)}. \end{aligned}$$

Suppose  $t = \tau n$  for some constant  $0 < \tau < 1$ , and let  $S_t = X_1 + X_2 + \dots + X_t$ . Then  $\mathbb{E}[S_t] = \frac{1}{n} + \dots + \frac{1}{n-t+1}$ , and therefore  $\mathbb{E}[S_t] = \log \frac{n}{n-t} + O(n^{-1}) = \log \frac{1}{1-\tau} + O(n^{-1})$ . Furthermore, we have  $\text{Var}[X_k] = O(n^{-2})$  for  $1 \leq k \leq t$ , so  $\text{Var}[S_t] = O(n^{-1})$ , which means that  $S_t = (1 + o(1)) \mathbb{E}[S_t]$  a.a.s. When  $\tau < 1 - \frac{1}{e}$ ,  $\mathbb{E}[S_t] < 1$ , so  $S_t < 1$  a.a.s., and the greedy path is  $f$ -increasing through the first  $t$  steps. On the other hand, when  $\tau > 1 - \frac{1}{e}$ ,  $\mathbb{E}[S_t] > 1$ , so  $S_t > 1$  a.a.s., and the greedy path is not  $f$ -increasing after the first  $t$  steps. This completes the proof of Proposition 1.  $\square$

### 3. THE $k$ -GREEDY ALGORITHM

Throughout this section, let  $k$  be a constant. The  $k$ -greedy algorithm extends the greedy algorithm by adding some limited look-ahead to the choice of each edge. We analyze it using the same model as in the previous section: each edge receives an independent random label from  $\text{Uniform}(0, 1)$ . For the purposes of intuition, we think of the label  $f(e)$  of an edge  $e$  as the time at which  $e$  appears in the graph. We first describe how the algorithm would run, given a (deterministic) full labeling of the edges of  $K_n$  with real numbers from  $[0, 1]$ .

The challenge with any complex algorithm is dependency between iterations. Our main innovation is to distill the algorithm and pose it in a way that is particularly clean and amenable to analysis.

### 3.1. Algorithm $k$ -greedy.

- (1) Initialize the path  $P$  to be a single (arbitrary) vertex  $v_1$ . Initialize the rooted tree  $T$  to be the 1-vertex tree with  $v_1$  as the root. Initialize the time  $\tau$  to be 0.
- (2) While the rooted tree  $T$  has fewer than  $k$  edges, do:
  - (a) Let  $S$  be the set of all edges with one endpoint in  $T$ , the other endpoint not in  $P \cup T$ , and label at least  $\tau$ .
  - (b) If  $S$  is empty, then terminate the algorithm.
  - (c) Identify the edge of  $S$  with minimum label, add it to  $T$ , and set  $\tau$  to be its label.
- (3) The rooted tree  $T$  now has exactly  $k$  edges. Among the children of the root, identify the child  $x$  whose subtree (rooted at  $x$ ) is the largest. Extend  $P$  by one edge to  $x$ , and set  $T$  to be the subtree rooted at  $x$ . This may substantially reduce the size of  $T$ , as it deletes all of the other subtrees, as well as the root.
- (4) Go back to step (2).

**Lemma 3.1.** *The path  $P$  produced by the  $k$ -greedy algorithm is always a simple increasing path.*

*Proof.* Consider any moment at which an edge  $e$  is added to  $T$ . Suppose that  $e = xy$ , where  $x$  was previously in  $T$ , and  $y$  is a new leaf of  $T$ . By construction, the label of  $e$  is at least  $\tau$ , but the label of every other edge in  $P \cup T$  is at most  $\tau$  (and a.s. not equal to  $\tau$ ). So, by induction, at all times during the algorithm, all paths from the first vertex  $v_1 \in P$  to any leaf of  $T$  are increasing paths. They are all simple paths because we only extend  $T$  by edges to vertices not currently in  $P \cup T$ .  $\square$

**3.2. Managing revelation of randomness.** We now take a closer look at what information needs to be revealed at each step in order to run the algorithm. We find that Step (2b) requires a yes/no answer, and Step (2c) requires the identification of a single edge, together with its label. Therefore, if we have access to an oracle which reports this information upon request, we will be able to run the complete algorithm.

The information revelation in Step (2b) is a minor issue which we can easily sidestep. We accomplish this via fictitious continuation: let the oracle always answer that “ $S$  is nonempty”, and in the event that  $S$  is indeed empty, it will increase  $\tau$  to 1, and return an arbitrary edge from a leaf of  $T$  to a vertex not in  $P \cup T$  in Step (2c). This is a failure state. In our analysis below, we will run the algorithm for a predetermined number of steps, and show that with high probability,  $\tau$  is still bounded below 1, because the likelihood of a failure in Step (2b) is highly unlikely.

We will carefully describe how we manage the exposures in Step (2c). At the beginning, the labels on all edges are independent, and each is uniformly distributed in  $[0, 1]$ . Consider the exposure the first time Step (2c) is encountered. The oracle reports an edge  $v_1x$  and its label, and so at this point, the label of  $v_1x$  is certainly determined. Let us refer to it as  $\tau_1$ . We also learn some information about all other edges  $v_1y$ , with  $y \notin \{v_1, x\}$ : their labels are not in the range  $[0, \tau_1)$ . We do not learn any restrictions on any the labels of any other edges. Importantly, the labels on all edges are still independent, and uniformly distributed over their (possibly-restricted) ranges. They just are not identically distributed.

Consider the second time the algorithm encounters Step (2c). Now, the oracle reports another edge, say  $xz$ , and suppose its label is  $\tau_2$ . Then, we know that all edges  $v_1y$  and  $xy$  with  $y \notin \{v_1, x, z\}$  have labels outside of the interval  $(\tau_1, \tau_2]$ . We already learned that some of those edges had labels outside  $[0, \tau_1)$ ; for those, we now know that their labels avoid  $[0, \tau_2)$ . Again, all labels are still independent and uniformly distributed over their ranges.

So, at every intermediate time  $\tau$  during the course of the algorithm, some edges will have their labels determined, but independence between non-determined labels is preserved throughout. Each non-determined label is still uniformly distributed over some range of the form  $[0, 1] \setminus (I_1 \cup I_2 \cup \dots \cup I_k)$ , where the  $I_i$  are disjoint sub-intervals. Note that all ranges still completely include  $[\tau, 1]$ , and so

this phenomenon actually works in our favor, because it increases the likelihood that we can still use the edge: Step (2a) queries only edges with labels at least  $\tau$ . Since all non-determined edges have label ranges including  $[\tau, 1]$ , they satisfy this property with probability exactly  $(1 - \tau)/\mu$ , where  $\mu$  is the measure of their current range. This is clearly worst when  $\mu = 1$ , which corresponds to the fully unrestricted  $[0, 1]$  range.

**3.3. Intuitive calculation.** Now that we have a clean model which definitively indicates how much randomness is surrendered at each step, we conduct a rough analysis which captures the main structure of the argument. This will also derive the constant in Theorem 1. The key statistic to estimate is the typical *waiting time*, which we define to be the difference between the labels of successive edges added to  $T$  by Step (2c) of the algorithm.

Suppose that at some stage of the algorithm, the path has length  $\ell$ , and the tree  $T$  has  $j$  vertices. If all of the  $j(n - \ell - j)$  edges between  $T$  and vertices outside  $P \cup T$  still had labels which were uniformly distributed over  $[0, 1]$ , then the waiting time would be the minimum of that many random variables  $\text{Uniform}(0, 1)$ . The waiting time would then be exactly  $\frac{1}{j(n - \ell - j) + 1}$  in expectation. In our situation, this is not exactly true. We still have independence, but the labels are distributed uniformly over sub-ranges of  $[0, 1]$ . Also, some of those  $j(n - \ell - j)$  edges could potentially already have their labels determined, if they had previously been added as edges to  $T$  in an earlier stage of the algorithm, but were discarded by some Step (3). As mentioned at the end of Section 3.2, the first issue is in our favor, because it only reduces the waiting time. The second issue works against us, because it reduces the number of independent random labels that are competing in Step (2c), but we will show in Section 3.5 that in fact both of these effects are negligible. So, we first analyze the (fictitious) ideal case.

For now, let us proceed using  $\frac{1}{j(n - \ell - j) + 1} \sim \frac{1}{n - \ell} \cdot \frac{1}{j}$  as the expected waiting time. Then, the expected time until the search tree fills up from  $j - 1$  to  $k$  edges is asymptotically

$$\frac{1}{n - \ell} \cdot \left( \frac{1}{j} + \frac{1}{j + 1} + \cdots + \frac{1}{k} \right) \sim \frac{1}{n - \ell} \log \frac{k}{j}.$$

At this point, the increasing path is extended by 1, and the search tree shrinks to the largest subtree determined by a child of the root. From the formula above, we see that only the size of this subtree is of interest.

In our ideal setting, when a potential edge is added to a search tree with  $j$  vertices, its endpoint in the search tree is randomly distributed uniformly over all  $j$  vertices currently in the tree. From the point of view of subtree sizes among children of the root, it therefore starts a new subtree with probability  $\frac{1}{j}$  (if its tree-endpoint is the root itself), or is added to one of the existing children's subtrees with probability proportional to current size (depending on which subtree its tree-endpoint is in). This is equivalent to the Chinese restaurant process, which generates the cycle decomposition of a uniformly random permutation: if  $\pi$  is a uniformly random permutation of  $\{1, \dots, j - 1\}$ , then we can transform  $\pi$  into a uniformly random permutation of  $\{1, \dots, j\}$  by making  $j$  a fixed point with probability  $\frac{1}{j}$ , and otherwise inserting  $j$  in a uniformly chosen point in any cycle (which means a cycle of length  $i$  is chosen with probability  $\frac{i}{j}$ ). Therefore the number of vertices in the largest subtree has the same distribution as a well-studied random variable: the length  $L_k$  of the longest cycle in a uniformly random permutation of  $\{1, \dots, k\}$ .

Define the random variable  $A_k = \frac{1}{L_k} + \frac{1}{L_k + 1} + \cdots + \frac{1}{k} \sim -\log \frac{L_k}{k}$ , and define the constant  $\alpha_k = \mathbb{E}[A_k]$ . Let  $X_\ell$  be the waiting time for the increasing path to grow from length  $\ell$  to length  $\ell + 1$ . From what we have shown,  $\mathbb{E}[X_\ell] \sim \frac{\alpha_k}{n - \ell}$ . As in the analysis of the greedy algorithm,  $\mathbb{E}[X_\ell^2] = O\left(\frac{1}{(n - \ell)^2}\right)$ , where the dependence on the constant  $k$  is absorbed into the big- $O$ . This

shows that asymptotically almost surely,

$$\sum_{i=1}^{\ell} X_i \sim \mathbb{E} \left[ \sum_{i=1}^{\ell} X_i \right].$$

We determine the length of the path by finding the point at which this expected value reaches 1:

$$1 = \sum_{i=1}^{\ell} \mathbb{E}[X_i] \sim \alpha_k \left( \frac{1}{n} + \dots + \frac{1}{n-\ell} \right) \sim \alpha_k \log \frac{n}{n-\ell}.$$

Therefore the algorithm typically achieves a length  $\ell$  such that  $\frac{\ell}{n} \sim 1 - e^{-1/\alpha_k}$ .

**3.4. Determination of constant.** In order to determine the numerical bounds in Theorem 1, we must understand  $\alpha_k$ . In [8], a recurrence relation is given for the number of permutations of  $\{1, \dots, n\}$  with greatest cycle length  $s$ . Using our notation, we present a modified version of this recurrence: if  $L_n$  is the length of the longest cycle in a random permutation of  $\{1, 2, \dots, n\}$ , then for  $1 \leq s \leq n$ ,

$$\Pr[L_n = s] = \sum_{j=1}^{\lfloor n/s \rfloor} \frac{1}{j! s^j} \Pr[L_{n-sj} \leq s-1],$$

where  $L_0$  is the constant 0 whenever it occurs. This recurrence allows for an exact numerical computation of  $\alpha_k = \mathbb{E}[A_k]$  for any  $k$ . Several seconds of computation are enough to confirm that  $\alpha_{100} < 0.523$ , which implies that the 100-greedy algorithm typically finds an increasing path of length at least  $cn$ , where  $c > 1 - e^{-1/0.523} > 0.85$ .

It is natural to wonder whether a particular finite choice of  $k$  would be optimal for the  $k$ -greedy algorithm. Using a careful coupling argument, we can show that  $\alpha_k$  is monotone decreasing with respect to  $k$ : if we consider  $L_k$  and  $L_{k+1}$  as stages in the same Chinese restaurant process, we have

$$\mathbb{E}[A_{k+1} - A_k \mid L_k] \leq \frac{1}{k+1} - \frac{1}{L_k} \cdot \frac{L_k}{k+1} = 0$$

since with probability at least  $\frac{L_k}{k+1}$ , the longest cycle increases in length. Therefore as  $k \rightarrow \infty$ ,  $\alpha_k$  approaches some constant  $\alpha$ .

Therefore, no finite  $k$  is optimal. Since the Golomb–Dickman constant  $\lim_{k \rightarrow \infty} \mathbb{E}[\frac{L_k}{k}] \approx 0.6243$  has no closed form, we expect the same to be true for  $\alpha = \lim_{k \rightarrow \infty} \mathbb{E}[-\log \frac{L_k}{k}]$ . Our numerical methods estimate  $\alpha \approx 0.5219$ , so our choice of  $k = 100$  already achieves bounds which are close to optimal for  $k$ -greedy algorithms.

**3.5. Rigorous analysis.** There are two obstacles in the way of the uniformity we assumed for this analysis. On the one hand, we may expose potential edges, add them to the search tree, but fail to use them (deleting them from the search tree as we pass to a subtree), and then encounter these edges again, which increases the waiting time because these edges can't be added to the search tree. On the other hand, when a minimal edge is found, all other edges we consider gain negative information: their label is not within some range  $[t_1, t_2]$ , which only helps us because we have  $t \geq t_2$  from that point on. When these edges are considered a second time, the waiting time decreases. In this section, we show that both of these obstacles are asymptotically irrelevant. In our discussion, an *exposed* edge is one whose label has been completely determined. Even if an edge label has received negative information, we still call it *unexposed*.

**Lemma 3.2.** *With probability  $1 - o(1)$ , throughout the entire time during which the path grew to length  $0.99n$ , the following conditions held:*

- (1) *Each vertex of the graph was incident to at most  $o(n)$  exposed edges.*

- (2) For each unexposed edge of the graph, the total length of the intervals of negative information was  $o(1)$ .

*Proof.* First suppose that no vertex has appeared in the search tree for more than  $O(\log n)$  steps of the algorithm. Then the conclusions of the lemma follow:

- (1) A vertex acquires an exposed edge either as it joins the search tree, or when it's already in the search tree and acquires a child, and there are  $O(\log n)$  such steps. Therefore no vertex has more than  $O(\log n)$  exposed edges.
- (2) An edge acquires negative information only when one of its endpoints is in the search tree, which occurs  $O(\log n)$  times. Each of those times, that endpoint had at least  $0.01n$  edges to vertices outside the increasing path, and only  $O(\log n)$  of these are exposed. Therefore  $O(n)$  edges are always available to choose from, and the waiting time is  $O(1/n)$  in expectation. Therefore the total waiting time the edge observes, which is equal to the negative information it acquires, is  $o(1)$ .

When the algorithm begins, it's certainly true that no vertex has appeared in the search tree for more than  $O(\log n)$  steps. Therefore our conclusions initially hold. Together they imply that at every step, there are  $O(n)$  vertices which could potentially enter the search tree; since all edges have  $o(1)$  negative information, their probabilities of having the next smallest label are asymptotically equal, and so no vertex has more than an  $O(1/n)$  chance of being chosen. The algorithm runs for at most  $kn$  steps, so with high probability no vertex enters the search tree more than  $O(\log n)$  times.

Once a vertex enters the search tree, it stays there for at most  $k^2$  steps: initially, its level in the tree is at most  $k$ , and at intervals of at most  $k$  steps, the search tree is replaced by a subtree and so the vertex either leaves the search tree or has its level reduced by 1. Therefore no vertex appears in the search tree for more than  $O(\log n)$  steps, and the conclusions follow.  $\square$

Let  $\mathcal{F}_\ell$  be the  $\sigma$ -algebra generated by the information revealed at the time the path reaches length  $\ell$ , and recall that  $X_\ell$  is the waiting time for the increasing path to grow from length  $\ell$  to  $\ell + 1$ , so that  $X_\ell$  is  $\mathcal{F}_{\ell+1}$ -measurable. Choose  $\epsilon > 0$ , and let  $T = (1 - e^{-(1-3\epsilon)/\alpha_k})n$ . Define the stopping time  $\tau$  to be the lesser of  $T$ , or the first  $\ell$  for which Lemma 3.2 fails, or for which the waiting time  $X_\ell$  exceeds  $\frac{2\log n}{n}$ , or for which the total waiting time  $X_0 + \dots + X_\ell$  exceeds  $1 - \epsilon$ . These conditions are chosen so that we will have  $\tau = T$  a.a.s.; let us assume that for now, and establish it later. Define the martingale  $(Z_t)$  as follows. Let  $Z_0 = 0$ , and for each  $t < \tau$ , let  $Z_{t+1} = Z_t + X_t - \mathbb{E}[X_t | \mathcal{F}_t]$ . For each  $t \geq \tau$ , let  $Z_{t+1} = Z_t$ .

We next study the successive martingale differences  $Z_{\ell+1} - Z_\ell = X_\ell - \mathbb{E}[X_\ell | \mathcal{F}_\ell]$ . For this, it is helpful to identify that the most critical information from  $\mathcal{F}_\ell$  is the number of vertices in the search tree at the time the path reaches length  $\ell$ .

**Lemma 3.3.** *Suppose that  $\ell < \tau$  (our stopping time). Let  $A_{\ell,s}$  be the event that at the time the path reaches length  $\ell$ , the search tree contains  $s$  vertices. Then  $\mathbb{E}[X_\ell | \mathcal{F}_\ell, A_{\ell,s}] \sim \frac{1}{n-\ell} \sum_{i=s}^k \frac{1}{i}$ .*

*Proof.* It suffices to show that if the search tree currently contains  $i$  vertices, then the expected waiting time until the search tree contains  $i + 1$  vertices is  $(1 + o(1))\frac{1}{i(n-\ell)}$ . To this end, recall that in the ideal case, there are  $j(n - \ell - i)$  edges to choose from, each associated with a  $\text{Uniform}(0, 1)$  waiting time, and the minimum of  $i(n - \ell - i)$  waiting times has expected value  $\frac{1}{i(n-\ell-i)+1}$ , which is  $(1 + o(1))\frac{1}{i(n-\ell)}$  since  $\ell < \tau$  implies that  $n - \ell$  is still linear in  $n$ , while  $i \leq k$  is constant.

In reality, the edge labels are not  $\text{Uniform}(0, 1)$ , but if we've revealed that an edge's label is not contained in some intervals of total length  $t$ , we can still model the waiting time for that edge as  $\text{Uniform}(0, 1 - t)$ : the exact location of the intervals is irrelevant, since we will never look at labels with that value anyway. Since  $\ell < \tau$ , any edge we look at has  $o(1)$  negative information total, so its associated waiting time is uniform on an interval of length  $1 - o(1)$ . As in the ideal case, the



minimum of  $i(n - \ell - i - o(n))$  such random variables has expected value  $(1 + o(1))\frac{1}{i(n-\ell)}$ , and summing over  $i$  as the search tree grows from  $s$  to  $k$  vertices, we establish the lemma.  $\square$

In light of Lemma 3.3, our stopping time  $\tau$  ensures that  $(Z_t)$  is Lipschitz with successive differences bounded by  $L = \frac{2\log n}{n}$ . Hence by the Azuma–Hoeffding inequality, we have  $Z_T \leq \frac{\log^2 n}{\sqrt{n}}$  a.a.s. Also, since  $\tau = T$  a.a.s., by unraveling the construction of  $(Z_t)$ , we see that a.a.s., the total waiting time satisfies

$$X_0 + X_1 + \cdots + X_T \leq \frac{\log^2 n}{\sqrt{n}} + \sum_{\ell=0}^T \mathbb{E}[X_\ell \mid \mathcal{F}_\ell],$$

where the sum of conditional expectations on the right is itself another random variable, which we must control.

Let  $S_\ell$  be the following  $\mathcal{F}_\ell$ -measurable random variable: if our stopping time has not occurred at the time our path reaches length  $\ell$ , then let it count the number of vertices in the search tree. Otherwise, let it be a completely independent random variable, distributed as the length of the longest cycle in a uniformly random permutation of  $\{1, \dots, k\}$ . Define the random variables

$$Y_\ell = \frac{1}{n - \ell} \sum_{i=S_\ell}^k \frac{1}{i}.$$

By Lemma 3.3, the total waiting time is then a.a.s. at most

$$X_0 + X_1 + \cdots + X_T \leq \frac{\log^2 n}{\sqrt{n}} + (1 + o(1)) \sum_{\ell=0}^T Y_\ell \leq \sum_{\ell=0}^T Y_\ell + \epsilon.$$

Therefore, it now remains to show that a.a.s.,

$$\sum_{\ell=0}^T Y_\ell \leq 1 - 2\epsilon.$$

This will imply that  $X_0 + \cdots + X_T \leq 1 - \epsilon$  and therefore  $\tau = T$ .

Again, we have an adapted process in which each  $Y_\ell$  is  $\mathcal{F}_\ell$ -measurable, but it helps to study the  $Y_\ell$  (or equivalently,  $S_\ell$ ) with respect to the coarsest possible filtration. Specifically, to observe  $S_\ell$ , we now only need to watch the evolution of the search tree, and crucially, we may proceed by revealing only the number of vertices in the subtree of each child of the root. If we reveal these numbers at every step when an edge is added to the search tree, then in the ideal case, each subtree receives the edge with probability proportional to its size, and we have exactly the Chinese Restaurant Process. When we reach  $k$  edges and pass to the largest subtree, we reveal the next level of subtree size information. In the ideal case, conditioned on the previous partition and the size of the new search tree, when we reveal the new partition of subtree sizes, the distribution is precisely a new and independent Chinese Restaurant Process. It turns out that reality is not far off. Let  $\mathcal{G}_\ell$  be the  $\sigma$ -algebra generated by  $\{S_0, \dots, S_\ell\}$ , i.e., the natural filtration.

**Lemma 3.4.** *For each  $\ell \leq T$ ,  $\Pr[S_\ell = s \mid \mathcal{G}_{\ell-1}] = (1 + o(1)) \Pr[L_k = s]$ , where  $L_k$  is the length of the longest cycle in a uniformly random permutation of  $\{1, \dots, k\}$ .*

*Proof.* If  $\ell \geq \tau$ , then we have perfect equality because  $S_\ell$  is then distributed exactly as  $L_k$ . Otherwise, all vertices in the search tree have  $n - \ell - o(n)$  available edges with waiting time uniform on an interval of length  $1 - o(1)$ . It follows that up to a factor of  $1 + o(1)$ , each vertex in the search tree has approximately the same probability of acquiring a child as any other vertex.

For any  $\ell$ , the search tree at the moment when the path reaches length  $\ell$  can be described as a recursive tree on  $k$  edges: the vertices of the tree are labeled by the order in which they enter the

search tree. By the history up to length  $\ell$ , we mean the sequence of  $(R_1, \dots, R_\ell)$  of recursive trees obtained at lengths  $1, 2, \dots, \ell$ .

Not every sequence of recursive trees is a valid history: the trees must be consistent, since  $R_{i+1}$  must be a suitably relabeled extension of the largest subtree at the root of  $R_i$ . Nevertheless, if we partition all valid histories by the value of  $R_\ell$ , there is a natural bijective correspondence between any two parts: to replace  $R_\ell$  by  $R'_\ell$ , we must simply make the same substitution in subtrees of  $R_{\ell-1}$ ,  $R_{\ell-2}$ , and so on, going back to  $R_{\ell-k+1}$  at worst.

Two histories corresponding in this way have the same value of  $S_0, S_1, \dots, S_{\ell-1}$ : while the shape of the subtrees measured by these random variables may change, the size does not. Moreover, since the two histories agree on all but the last  $k$  trees, they only disagree in at most  $k^2$  steps of the algorithm, so the probability of obtaining them differs by a factor of  $(1 + o(1))^{k^2} = 1 + o(1)$ . It follows that  $(R_\ell \mid \mathcal{G}_{\ell-1})$  is asymptotically uniformly distributed.

In a uniformly chosen recursive tree, the size of the largest subtree at the root has the same distribution as  $L_k$ . Therefore  $S_\ell$ , the size of the largest subtree at the root of  $R_\ell$ , satisfies  $\Pr[S_\ell = s \mid \mathcal{G}_{\ell-1}] = (1 + o(1)) \Pr[L_k = s]$ , as desired.  $\square$

Since  $k$  is a constant, each  $\Pr[L_k = s]$  is a constant, as  $s$  ranges from 1 to  $k$ . So, by Lemma 3.4, the conditional distribution of  $Y_\ell$  given  $\mathcal{G}_{\ell-1}$  is also supported on  $k$  values in the range  $\Theta(\frac{1}{n})$ , with all probabilities bounded away from 0 and 1 by at least some constant depending on  $k$ . Crucially, regardless of the particular  $\mathcal{G}_{\ell-1}$ , the distribution of  $Y_\ell$  is always asymptotically  $\frac{1}{n-\ell} A_k$ , where  $A_k = \frac{1}{L_k} + \dots + \frac{1}{k}$  was the random variable defined with respect to the longest cycle in the Chinese Restaurant Process at the end of Section 3.3.

To finish the analysis, define the martingale

$$W_\ell = (Y_0 - \mathbb{E}[Y_0]) + (Y_1 - \mathbb{E}[Y_1 \mid \mathcal{G}_0]) + \dots + (Y_\ell - \mathbb{E}[Y_\ell \mid \mathcal{G}_{\ell-1}]).$$

It is Lipschitz with successive variations bounded by  $O(\frac{1}{n})$  because  $k$  is a constant, and so the Azuma–Hoeffding inequality applied to  $(W_\ell)$  implies that a.a.s.,

$$W_T \leq \frac{\log n}{\sqrt{n}},$$

or equivalently, that

$$\sum_{\ell=0}^T Y_\ell \leq \frac{\log n}{\sqrt{n}} + \mathbb{E}[Y_0] + \mathbb{E}[Y_1 \mid \mathcal{G}_0] + \dots + \mathbb{E}[Y_T \mid \mathcal{G}_{T-1}],$$

where the right hand side is a random variable because of the conditional expectations. Now we use the fact that each random variable  $\mathbb{E}[Y_\ell \mid \mathcal{G}_{\ell-1}]$  is  $(1 + o(1)) \frac{1}{n-\ell} \mathbb{E}[A_k] = (1 + o(1)) \frac{\alpha_k}{n-\ell}$ , by definition of  $\alpha_k$ . Due to our choice of  $T$ , we have

$$\sum_{\ell=0}^T \frac{\alpha_k}{n-\ell} = (1 + o(1)) \alpha_k \log \frac{n}{n-T} = 1 - 3\epsilon + o(1).$$

Therefore we also obtain that a.a.s.,  $\sum_{\ell=0}^T Y_\ell \leq 1 - 3\epsilon + o(1) < 1 - 2\epsilon$ , as desired, and so the  $k$ -greedy algorithm a.a.s. achieves a path of length  $T$ . Letting  $\epsilon \rightarrow 0$  with  $n$  so that  $T = (1 - e^{-1/\alpha_k} + o(1))n$ , this completes the proof of Theorem 1.  $\square$

#### 4. COMPUTING THE SECOND MOMENT OF $H_n$

The core of our proof of Theorem 2 is the second moment calculation for  $H_n$ , the random variable which tracks the number of increasing Hamiltonian paths in a uniformly random edge ordering. This second moment,  $H_n^2$ , counts the number of ordered pairs of increasing Hamiltonian paths, which can be expressed as a sum of indicator variables:  $H_n^2 = \sum_A \sum_B I_{A,B}$ , where  $A$  and  $B$  range

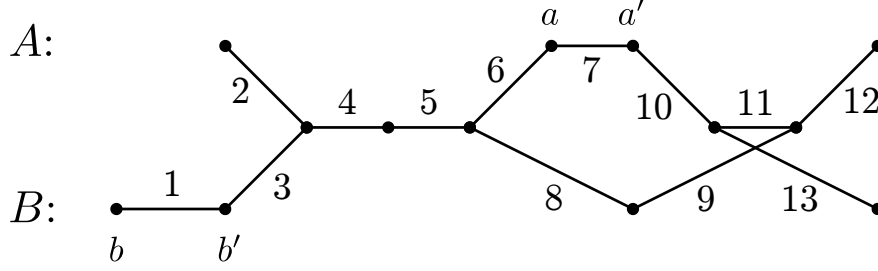


FIGURE 1. A labeled profile for two paths of length 8, with  $c = 3$ ,  $k = 2$ ,  $\ell = 1$ .

over all Hamiltonian paths, and  $I_{A,B} = 1$  if both paths are increasing when  $f$  is chosen, and 0 otherwise. Note that although we are working with undirected graphs, we consider Hamiltonian paths with direction, and therefore, when we speak of a Hamiltonian path in this section, we are referring to a permutation of the  $n$  vertices. In particular, each undirected  $n$ -vertex path will correspond to two such permutations, and will appear twice in our indexing, once in each direction.

We begin by grouping the indicator variables into equivalence classes which we call *intersection profiles*. Two pairs of paths  $(A, B)$  and  $(A', B')$  are in the same intersection profile if there is a permutation of the edges of  $K_n$  (without necessarily preserving all pairwise incidence relations between edges) that takes the paths  $A$  and  $B$  to  $A'$  and  $B'$ . We can represent such a profile as a graph by separating the vertices of  $A$  and  $B$  that are not endpoints of a common edge, as in Figure 1.

There are many ways to order the edges of an intersection profile to make both paths increasing: only the relative orders of the edges common to both  $A$  and  $B$  are fixed. In our counting, we further split each intersection profile up into labeled profiles, in which one such ordering is chosen (again, see Figure 1 for an example). We keep track of three parameters of an unlabeled profile  $P$ :

- (1)  $c(P)$  is the number of common edges shared by the two paths  $A$  and  $B$ .
- (2)  $k(P)$  is the number of common segments shared by the two paths: this satisfies  $k(P) \leq c(P)$  because each common segment contains at least one edge, and  $k(P) \leq n - c(P)$  because each path contains at least one edge between two common segments.
- (3)  $\ell(P)$  is the number of common segments which consist of exactly one edge: since  $c(P) \geq \ell(P) + 2[k(P) - \ell(P)]$ , this satisfies  $\ell(P) \geq 2k(P) - c(P)$ .

Let  $\mathcal{P}(c, k, \ell)$  be the set of profiles  $P$  such that  $c(P) = c$ ,  $k(P) = k$ ,  $\ell(P) = \ell$ , and  $\mathcal{L}(c, k, \ell)$  the corresponding set of labeled profiles. The total number of edges in  $P$  is  $2(n - 1) - c$ ; therefore, if paths  $A$  and  $B$  fit some intersection profile  $P$ , and the edge ordering  $f$  is chosen randomly, the ordering of  $A$  and  $B$  will match any given labeled version of  $P$  with probability  $\frac{1}{(2n-c-2)!}$ . So we can write

$$(1) \quad \mathbb{E}[H_n^2] = \sum_{c,k,\ell} \sum_{P \in \mathcal{L}(c,k,\ell)} \frac{|P|}{(2n-c-2)!}$$

where  $|P|$  is the number of pairs of paths  $(A, B)$  that fit the unlabeled version of  $P$ . We split the sum (1) into several parts:

- (1)  $S_1$ , the sum over  $c \leq \log n$  (most other notions of “small”  $c$  would also be sufficient here);
- (2)  $S_2$ , the sum over  $\log n < c \leq \frac{9}{10}n$ ; and
- (3)  $S_3$ , the sum over  $c > \frac{9}{10}n$ .

It will be  $S_1$  that contributes the most to  $\mathbb{E}[H_n^2]$ , and so we state two lemmas that provide asymptotically exact estimates (with multiplicative error tending to zero as  $n \rightarrow \infty$ ) for  $S_1$  while

only serving as rough upper bounds in  $S_2$  and  $S_3$ . Here,  $\binom{n}{a,b,c}$  denotes the multinomial coefficient  $\frac{n!}{a!b!c!}$ .

**Lemma 4.1.** *For all  $c, k, \ell$ ,*

$$|\mathcal{L}(c, k, \ell)| \leq 2^\ell \binom{k}{\ell} \binom{c-k-1}{k-\ell-1} \binom{2(n-c-1)+k}{n-c-1, n-c-1, k}$$

and  $|\mathcal{L}(c, k, \ell)|$  is asymptotically equal to the right hand side when  $c \leq \log n$ . Furthermore,

$$\sum_{\ell} |\mathcal{L}(c, k, \ell)| \leq 2^k \binom{c-1}{k-1} \binom{2(n-c-1)+k}{n-c-1, n-c-1, k}$$

**Lemma 4.2.** *For all  $P \in \mathcal{P}(c, k, \ell)$ ,  $|P| \leq n!(n-c-k)!$ ; furthermore, if  $c \leq \log n$ , then  $|P| \sim e^{-2}n!(n-c-k)!$ .*

By using these lemmas, we can write out algebraic expressions for  $S_1$ ,  $S_2$ , and  $S_3$ :

$$(2) \quad S_1 \sim e^{-2} \sum_{c=0}^{\log n} \sum_{k, \ell} 2^\ell \binom{k}{\ell} \binom{c-k-1}{k-\ell-1} \binom{2(n-c-1)+k}{n-c-1, n-c-1, k} \frac{n!(n-c-k)!}{(2n-c-2)!}$$

$$(3) \quad S_2 \leq \sum_{c=\log n}^{9n/10} \sum_k 2^k \binom{c-1}{k-1} \binom{2(n-c-1)+k}{n-c-1, n-c-1, k} \frac{n!(n-c-k)!}{(2n-c-2)!}$$

$$(4) \quad S_3 \leq \sum_{c=9n/10}^{n-1} \sum_k 2^k \binom{c-1}{k-1} \binom{2(n-c-1)+k}{n-c-1, n-c-1, k} \frac{n!(n-c-k)!}{(2n-c-2)!}$$

Therefore,  $E[H_n^2] \sim en^2$  will follow from:

**Lemma 4.3.** *The right hand side of the expression (2) is asymptotic to  $en^2$ , and both of the right hand sides of (3) and (4) simplify to  $o(n^2)$ .*

#### 4.1. Asymptotics for $|\mathcal{L}|$ and $|P|$ .

*Proof of Lemma 4.1.* Let  $c, k$ , and  $\ell$  be given. For the remainder of the proof, let  $m = n - c - 1$  stand for the number of edges that belong to  $A$  but not  $B$  (equivalently, to  $B$  but not  $A$ ), when paths  $A$  and  $B$  fit a profile from  $\mathcal{L}(c, k, \ell)$ . Consider the following two-stage method for selecting a labeled profile from  $\mathcal{L}(c, k, \ell)$ . All such labeled profiles will be reachable in this way.

- (1) Choose the sequence of lengths for the common segments, and their relative orientations within paths  $A$  and  $B$ . The segments of length 1 can appear in  $\binom{k}{\ell}$  positions, and the remaining  $c - \ell$  common edges can be partitioned into  $k - \ell$  ordered parts of size at least 2 in  $\binom{c-k-1}{k-\ell-1}$  ways. Common segments of length at least 2 will already have a fixed orientation, because their sequential edge labels will need to be increasing with respect to both  $A$  and  $B$ . On the other hand, a common segment of length 1 could be traversed in either the same direction by both paths, or in opposite directions (as in the case of the second common segment in Figure 1). Therefore, by considering relative orientations, we gain another factor of exactly  $2^\ell$ .
- (2) Now that the sequence of lengths and directions has been fixed for all common segments, it remains to choose an order in which the  $k$  common segments, the  $m$  edges of  $A$ , and the  $m$  edges of  $B$  appear. For this, we construct labeled profiles from strings of  $m$   $A$ 's,  $m$   $B$ 's, and  $k$   $C$ 's. For example, if we have already fixed the first common segment to have length 2, and the other common segment to have length 1, traversed in both directions, then the string  $BABCAABBACAB$  corresponds precisely to Figure 1. There are at most  $\binom{2m+k}{m, m, k}$  such strings of  $A$ 's,  $B$ 's, and  $C$ 's.

The above two-step procedure immediately implies the claimed upper bound on  $|\mathcal{L}(c, k, \ell)|$  in Lemma 4.1. Our next objective is to show that this bound is asymptotically correct for  $c \leq \log n$ . The second step overestimates  $|\mathcal{L}(c, k, \ell)|$  because of two possible illegal interactions between adjacent common segments: (a) we cannot have two consecutive  $C$ 's, separated by all  $A$ 's or all  $B$ 's, and (b) no consecutive  $C$ 's can be separated by exactly one  $A$  and exactly one  $B$ . We will show that the number of such strings is  $o(1)$ -fraction of the total number of strings which appear in the second step.

First, we control the number of strings which have two  $C$ 's which are separated only by  $B$ 's. For this, fix one of the  $k - 1$  gaps between common segments, and suppose that there are exactly  $m - i$   $B$ 's in the gap, with  $0 \leq i \leq m$ . Then, those strings are in bijective correspondence with the strings with exactly  $m$   $A$ 's, exactly  $i$   $B$ 's, and exactly  $k - 1$   $C$ 's: the bijection is realized by the deletion of a segment  $BB \dots BC$  (with  $m - i$   $B$ 's) after the  $C$  which corresponds to the beginning of the gap. Thus, the total number of strings which have two  $C$ 's separated only by  $B$ 's is at most

$$\begin{aligned}
(k-1) \sum_{i=0}^m \binom{m+i+k-1}{m, i, k-1} &= (k-1) \binom{m+k-1}{k-1} \sum_{i=0}^m \binom{m+k-1+i}{i} \\
&= (k-1) \binom{m+k-1}{k-1} \binom{2m+k}{m} \\
(5) \qquad \qquad \qquad &= (k-1) \frac{k}{m+k} \binom{2m+k}{m, m, k}.
\end{aligned}$$

The same bound applies for the total number of strings with two  $C$ 's separated only by  $A$ 's. Similarly, if two  $C$ 's are separated by exactly one  $A$  and one  $B$ , there are two possible orderings ( $AB, BA$ ) between the  $C$ 's, and so the total number of such strings is at most

$$\begin{aligned}
(k-1) 2 \binom{2m+k-3}{m-1, m-1, k-1} &= (k-1) 2 \frac{(2m+k-3)!}{(m-1)!(m-1)!(k-1)!} \\
(6) \qquad \qquad \qquad &= \frac{2(k-1)m^2k}{(2m+k)(2m+k-1)(2m+k-2)} \cdot \frac{(2m+k)!}{m!m!k!}
\end{aligned}$$

When  $k \leq c \leq \log n$ , both (5) and (6) are of order  $\frac{k^2}{m} \binom{2m+k}{m, m, k}$ , and  $\frac{k^2}{m} = o(1)$ . Therefore the true number of choices to be made in the second step is indeed  $(1 - o(1)) \binom{2m+k}{m, m, k}$  for small  $c$  and  $k$ , as claimed. Finally, to obtain the rougher approximation for the second part of the lemma, we forget about the value of  $\ell$ , and get an upper bound by assuming that all  $k$  segments can be reversed, even if they don't have length 1. Effectively, we use  $2^\ell \leq 2^k$  and  $\sum_{\ell} \binom{k}{\ell} \binom{c-k-1}{k-\ell-1} = \binom{c-1}{k-1}$ .  $\square$

*Proof of Lemma 4.2.* The first part of the lemma is immediate: there are  $n!$  ways to choose the  $n$  vertices of  $A$ , and  $(n - c - k)!$  ways to choose the remaining  $n - c - k$  vertices of  $B$ . However, this mistakenly counts some pairs of paths that don't fit the profile  $P$ . For example, if the profile in Figure 1 embeds into  $K_n$  by sending the vertices labeled  $a$  and  $b$  to the same vertex in  $K_n$ , and sending the vertices labeled  $a'$  and  $b'$  to the same vertex in  $K_n$ , then the embedded paths no longer correspond to the intersection profile in the figure, because additional common segments have been created. So, to prove the second part of the lemma, we must estimate the probability that in a random permutation of the  $n - c - k$  vertices of  $B$  which are not on common segments with  $A$ , no new common segments are created between the embedded paths.

We first consider the case  $c = k = 0$ , which clearly corresponds to the probability that in a random permutation of  $\{1, 2, \dots, n\}$ , no two consecutive elements are adjacent. Wolfowitz [19] has shown that asymptotically, the number of adjacent consecutive elements has the Poisson distribution with mean 2, and therefore we obtain the desired probability of  $e^{-2}$ .

For the general case, suppose that the  $n$  vertices of  $A$  have been fully embedded into the  $K_n$ . Exactly  $n - c - k$  of them correspond to vertices of  $A$  which are not shared by  $B$  in the profile diagram. Following the natural order for  $A$  in the profile, label those  $n - c - k$  embedded vertices (in  $K_n$ ) by  $1, 2, \dots, n - c - k$ . Then, each embedding of the remaining  $n - c - k$  vertices of  $B$  (which completes the embedding of the two paths  $A$  and  $B$ ) corresponds precisely to a distinct permutation of  $\{1, 2, \dots, n - c - k\}$ , because both  $A$  and  $B$  are Hamiltonian paths, and thus each use all of the vertices. Here, the permutation is the order in which the vertices  $\{1, 2, \dots, n - c - k\}$  are visited when the embedded  $B$  is traversed in its natural order. Permutations with adjacent consecutive elements still approximately correspond to embeddings which create extraneous common segments, and it remains to quantify the error in the approximation, which arises at junctions with common segments.

When  $A$  is traced in its natural order, there are either  $k - 1$  or  $k$  vertices of  $A$  which come immediately before the start of a common segment. (There are  $k - 1$  if the first vertex of  $A$  is already part of a common edge between the two paths, and  $k - 1$  otherwise.) Let  $i_j \in \{1, 2, \dots, n\}$  be the label in  $K_n$  of the embedded vertex corresponding to the vertex of  $A$  which comes immediately before the start of the  $j$ -th common segment. If there are only  $k - 1$  such vertices, then leave  $i_1$  undefined, and ignore all references to it in the remainder of this argument.

In terms of the  $i_j$ 's, permutations  $\sigma$  with adjacent consecutive elements correspond to embeddings with extraneous common segments, except when for some  $j$ , we have that (a)  $i_j$  and  $i_j + 1$  are adjacent in  $\sigma$ , or (b) the vertex of  $B$  which immediately precedes the  $j$ -th common segment maps to  $i_j$ , or (c) the vertex of  $B$  which comes right after the  $j$ -th common segment maps to  $i_j + 1$ . To see this, observe that (a) identifies a “false positive,” in which the elements are adjacent in  $\sigma$ , but are actually separated by a common segment in  $B$ 's traversal. On the other hand, (b) and (c) represent the “false negatives,” in which the  $j$ -th common segment is unduly extended. Fortunately, a union bound over all  $j$  shows that the probability of (a) happening is at most  $\frac{2k}{n-c-k-1}$ , the probability of (b) happening is at most  $\frac{k}{n-c-k}$ , and the probability of (c) has the same bound. All of these quantities are  $o(1)$  for  $k \leq c \leq \log n$ , and therefore the probability that no new common segments are created differs by  $o(1)$  from the probability that no consecutive elements occur, and is also asymptotically  $e^{-2}$ .  $\square$

**4.2. Estimating  $S_1$ .** To simplify the expressions involved, we use the notation  $(n)_k$  for the falling power  $\frac{n!}{(n-k)!} = n(n-1) \cdots (n-k+1)$ . This satisfies  $(n)_k \sim n^k$  for  $k = o(\sqrt{n})$ . In particular, for  $c \leq \log n$ , we have  $k \leq \log n$  as well; therefore for falling powers linear in  $c$  and  $k$ , we may freely use this asymptotic relation. Starting from (2), we have:

$$\begin{aligned}
S_1 &\sim e^{-2} \sum_{c=0}^{\log n} \sum_{k,\ell} 2^\ell \binom{k}{\ell} \binom{c-k-1}{k-\ell-1} \binom{2(n-c-1)+k}{n-c-1, n-c-1, k} \frac{n!(n-c-k)!}{(2n-c-2)!} \\
&= e^{-2} \sum_{c=0}^{\log n} \sum_{k,\ell} 2^\ell \binom{k}{\ell} \binom{c-k-1}{k-\ell-1} \frac{(2n-2c+k-2)!n!(n-c-k)!}{k!(n-c-1)!^2(2n-c-2)!} \\
&= e^{-2} \sum_{c=0}^{\log n} \sum_{k,\ell} 2^\ell \binom{k}{\ell} \binom{c-k-1}{k-\ell-1} \frac{1}{k!} \cdot \frac{(n)_{c+1}}{(n-c-1)_{k-1}(2n-c-2)_{c-k}} \\
&\sim e^{-2} n^2 \sum_{c=0}^{\log n} \sum_{k,\ell} \binom{k}{\ell} \binom{c-k-1}{k-\ell-1} \frac{2^{\ell-c+k}}{k!}.
\end{aligned}$$

Splitting off the  $e^{-2}n^2$  factor, it turns out that the remaining double sum converges to a constant  $C$  (which depends only on  $c$ ,  $k$ , and  $\ell$ , not  $n$ ) as  $n \rightarrow \infty$ , and we now compute this limit. Recall

that the constraints on  $k$  and  $\ell$  in the inner sum are that  $0 \leq \ell \leq k \leq c$  and, furthermore, that  $c \geq \ell + 2(k - \ell) = 2k - \ell$  (which is a stronger bound than  $c \geq k$ ). Therefore

$$\begin{aligned} C &= \sum_{c=0}^{\infty} \sum_{k,\ell} \binom{k}{\ell} \binom{c-k-1}{k-\ell-1} \frac{2^{\ell-c+k}}{k!} \\ &= \sum_{k=0}^{\infty} \frac{2^k}{k!} \sum_{\ell=0}^k 2^\ell \binom{k}{\ell} \sum_{c=2k-\ell}^{\infty} \binom{c-k-1}{k-\ell-1} 2^{-c} \\ &= \sum_{k=0}^{\infty} \frac{2^k}{k!} \sum_{\ell=0}^k 2^\ell \binom{k}{\ell} 2^{-2k+\ell} \sum_{j=0}^{\infty} \binom{j+(k-\ell-1)}{k-\ell-1} 2^{-j}, \end{aligned}$$

where we re-parameterized the final sum as  $j = c - (2k - \ell)$ . The final summation is now conveniently in the form of the following power series identity:

$$\sum_{j=0}^{\infty} \binom{j+m-1}{m-1} z^j = \frac{1}{(1-z)^m}.$$

Therefore,

$$\begin{aligned} C &= \sum_{k=0}^{\infty} \frac{2^k}{k!} \sum_{\ell=0}^k 2^\ell \binom{k}{\ell} 2^{-2k+\ell} \cdot 2^{k-\ell} \\ &= \sum_{k=0}^{\infty} \frac{1}{k!} \sum_{\ell=0}^k 2^\ell \binom{k}{\ell} = \sum_{k=0}^{\infty} \frac{3^k}{k!} = e^3, \end{aligned}$$

which implies that  $S_1 \sim e^{-2} n^2 C = e n^2$ , as claimed.

**4.3. Estimating  $S_2$ .** Let  $a_{c,k}$  be one of the summands in (3). Then

$$(7) \quad \frac{a_{c,k+1}}{a_{c,k}} = \frac{2(c-k)}{k(k+1)} \cdot \frac{2n-2c+k-1}{n-c-k}.$$

Our goal is to simplify the upper bound on  $S_2$  by selecting, for each  $c$ , the  $k$  that maximizes  $a_{c,k}$ , and then using this maximum in place of all the terms with that value of  $c$ .

First consider  $k$  such that  $k \leq \frac{1}{2}(n-c)$ . In this case, the second factor of (7) is bounded between 1 and 5: on the one hand,  $(2n-2c+k-1) - (n-c-k) = n-c+2k-1 \geq 0$ , and on the other hand,  $(2n-2c+k-1) - 5(n-c-k) = 6k-3(n-c)-1 \leq 0$ . Therefore we have

$$\frac{2(c-k)}{k(k+1)} \leq \frac{a_{c,k+1}}{a_{c,k}} \leq \frac{10(c-k)}{k(k+1)}.$$

If  $k$  maximizes  $a_{c,k}$  and lies in this range, then  $2(c-k) \leq k(k+1)$  and therefore  $k \geq (1-o(1))\sqrt{2c}$ ; on the other hand,  $10(c-k-1) \geq k(k-1)$  and therefore  $k \leq (1-o(1))\sqrt{10c}$ . We may safely and concisely say  $\sqrt{c} < k < 4\sqrt{c}$ .

On the other hand, if  $k \geq \frac{1}{2}(n-c)$ , since  $S_2$  only runs  $c$  up to  $\frac{9n}{10}$ , we have  $k \geq \frac{1}{2}(n-c) \geq n/20$  in the denominator of (7), and we always have  $k \leq c \leq n$  in the numerator. So,

$$\frac{a_{c,k+1}}{a_{c,k}} < \frac{2n}{k^2} \cdot \frac{3n}{n-c-k} \leq \frac{2n}{n^2/400} \cdot \frac{3n}{n-c-k} = \frac{2400}{n-c-k},$$

which is less than 1 as long as  $k \leq n-c-2400$ . Therefore the maximizing  $k$  is either in the range found above, or between  $n-c-2400$  and  $n-c$  (since  $k \leq n-c$  always).

Let  $S'_2$  be the result of replacing in  $S_2$  all terms  $a_{c,k}$  by  $a_{c,k^*}$  where  $\sqrt{c} < k^*(c) < 4\sqrt{c}$  is the maximizing  $k$  from the range  $0 \leq k \leq n - c - 2400$ . Then

$$\begin{aligned}
S'_2 &< \sum_{c=\log n}^{9n/10} c \cdot 2^{k^*} \binom{c-1}{k^*-1} \binom{2(n-c-1)+k^*}{n-c-1, n-c-1, k^*} \frac{n!(n-c-k^*)!}{(2n-c-2)!} \\
&= \sum_{c=\log n}^{9n/10} \frac{k^* 2^{k^*}}{k^*!} \binom{c}{k^*} \frac{(n)_{c+1}}{(n-c-1)_{k^*-1} (2n-c-2)_{c-k^*}} \\
&< \sum_{c=\log n}^{9n/10} k^* \left(\frac{2e}{k^*}\right)^{k^*} \left(\frac{ce}{k^*}\right)^{k^*} \frac{(n)_{c+1}}{(n-c-1)_{k^*-1} (2n-c-2)_{c-k^*}} \\
&< n^2 \sum_{c=\log n}^{9n/10} k^* (2e^2)^{k^*} \frac{(n-2)_{c-k^*}}{(2n-c-2)_{c-k^*}} \cdot \frac{(n-c+k^*-2)_{k^*-1}}{(n-c-1)_{k^*-1}}.
\end{aligned}$$

We now eliminate the powers of  $n$  in the summand. In the first fraction, since  $c \leq \frac{9}{10}n$ ,  $2n-c \geq \frac{11}{10}n$ , and therefore  $\frac{(n-2)_{c-k^*}}{(2n-c-2)_{c-k^*}} \leq \left(\frac{10}{11}\right)^{c-k^*}$ . In the second fraction, since  $k^*$  is easily less than  $\frac{1}{2}(n-c)$ ,  $n-c+k^*-2 < \frac{3}{2}(n-c-1)$ , and therefore  $\frac{(n-c+k^*-2)_{k^*-1}}{(n-c-1)_{k^*-1}} < (3/2)^{k^*}$ . Thus

$$\begin{aligned}
S'_2 &< n^2 \sum_{c=\log n}^{9n/10} k^* \left(2e^2 \cdot \frac{11}{10} \cdot \frac{3}{2}\right)^{k^*} \left(\frac{10}{11}\right)^c \\
&= n^2 \sum_{c=\log n}^{9n/10} k^* \left(\frac{33e^2}{10}\right)^{k^*} \left(\frac{10}{11}\right)^c \\
&< n^2 \sum_{c=\log n}^{\infty} 4\sqrt{c} \left(\frac{33e^2}{10} \cdot \left(\frac{10}{11}\right)^{\sqrt{c}/4}\right)^{4\sqrt{c}}.
\end{aligned}$$

The sum is the tail of a convergent series in  $c$ , and therefore  $S'_2 = o(n^2)$ .

To show that  $S_2 = o(n^2)$ , it remains to consider the terms  $a_{c,k}$  for which  $n - c - k \leq 2400$ , as these are potentially not dominated by  $a_{c,k^*}$ . For this case, we consider a second ratio:

$$\frac{a_{c,k}}{a_{c+1,k}} = \frac{c-k+1}{c} \cdot \frac{(2n-2c+k-2)(2n-2c+k-3)}{(n-c+1)(n-c-1)} \cdot \frac{n-c-k}{2n-c-2}.$$

Here,  $n - c - k \leq 2400$  and  $c - k + 1 \leq c$ ; all other factors are  $\Theta(n)$  because  $c \leq \frac{9n}{10}$ , and so the overall ratio is  $O(n^{-1})$ . Therefore, once  $n$  surpasses some absolute constant, all of these  $a_{c,k}$  with  $n - c - k \leq 2400$  satisfy  $a_{c,k} \leq a_{n-k,k}$ , and there are at most  $2400n$  of them. It remains to control  $a_{n-k,k}$  in the range  $k \geq n - c - 2400 \geq (1 - o(1))\frac{n}{10}$ , where we used  $c \leq \frac{9n}{10}$ . For those, we have

$$\begin{aligned}
a_{n-k,k} &< 2^k \binom{n-k-1}{k-1} \binom{3k-2}{k-1, k-1, k} \frac{n!}{(n+k-2)!} \\
&< 2^k 2^{n-k-1} 3^{3k-2} \cdot \frac{1}{(n+k-2)_{k-2}} \\
&< \frac{54^n}{(n+k-2)_{k-2}} \\
&< \frac{54^n}{n^{(1-o(1))n/10}} = o(1).
\end{aligned}$$



Therefore, the total contribution of these residual  $a_{c,k}$  is at most  $2400n \cdot o(1)$ , and  $S_2 = S'_2 + o(n) = o(n^2)$ , as claimed.

4.4. **Estimating  $S_3$ .** Let  $d = n - c$  and consider the sum for  $1 \leq d \leq \frac{n}{10}$ . Then from (4) we get

$$\begin{aligned} S_3 &\leq \sum_{k=1}^{n/10} \sum_{d=k}^{n/10} 2^k \binom{n-d-1}{k-1} \binom{2d+k-2}{d-1, d-1, k} \frac{n!(d-k)!}{(n+d-2)!} \\ &\leq \sum_{k=1}^{n/10} \sum_{d=k}^{n/10} \frac{2^k}{(k-1)!} \cdot \frac{(n-d-1)!}{(n-d-k)!} \cdot 3^{2d+k-2} \cdot \frac{n!(d-k)!}{(n+d-2)!} \\ &\leq \sum_{k=1}^{n/10} \sum_{d=k}^{n/10} \frac{6^k \cdot 9^{d-1}}{(k-1)!} \cdot \frac{(n-d-1)_{k-1} (d-k)!}{(n+d-2)_{d-2}} \\ &\leq \sum_{k=1}^{n/10} \sum_{d=k}^{n/10} \frac{6^k \cdot 9^{d-1} \cdot (d-k)!}{(k-1)! \cdot n^{d-k-1}}. \end{aligned}$$

Let  $b_{d,k}$  be a term of this sum; since  $d - k \leq d \leq \frac{n}{10}$ ,

$$\frac{b_{d,k}}{b_{d-1,k}} = \frac{9(d-k)}{n} \leq \frac{9}{10}.$$

Therefore an upper bound on  $S_3$  is:

$$S_3 \leq \sum_{k=1}^{n/10} b_{k,k} \sum_{d=k}^{n/10} \left(\frac{9}{10}\right)^{d-k} \leq 10 \sum_{k=1}^{\infty} \frac{6^k \cdot 9^{k-1}}{(k-1)! \cdot n^{-1}} = 60e^{54}n = o(n^2),$$

which completes our proof.

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