



# PIGEON HOLE PRINCIPLE

If  $f : [m] \rightarrow [n]$  then there exists  $i \in [n]$  such that

$$|f^{-1}(i)| \geq \lceil m/n \rceil.$$

Informally: If  $m$  pigeons are to be placed in  $n$  pigeon-holes, at least one hole will end up with at least  $\lceil m/n \rceil$  pigeons.

**Example 1:** There are at least  $10^4$  people in China who have exactly the same number of strands of hair.

**Proof.** A human may have  $0 \leq x \leq 10^5 - 1$  hair strands. There are more than  $10^9$  people living in China. Label a 'hole' by the number of hair strands and put a person in hole  $i$  if she/he has exactly  $i$  hair strands. There must be at least one hole with  $10^4$  people or more people.  $\square$

Positive integers  $n$  and  $k$  are co-prime if their largest common divisor is 1.

**Example 2.** If we take an arbitrary subset  $A$  of  $n + 1$  integers from the set  $[2n] = \{1, \dots, 2n\}$  it will contain a pair of co-prime integers.

If we take the  $n$  even integers between 1 and  $2n$ . This set of  $n$  elements does not contain a pair of mutually prime integers. Thus we cannot replace the  $n + 1$  by  $n$  in the statement. We say that the statement is *tight*.

Define the holes as sets  $\{1, 2\}, \{3, 4\}, \dots, \{2n - 1, 2n\}$ . Thus  $n$  holes are defined.

If we place the  $n + 1$  integers of  $A$  into their corresponding holes – by the pigeon-hole principle – there will be a hole, which will contains two numbers.

This means, that  $A$  has to contain two consecutive integers, say,  $x$  and  $x + 1$ . But two such numbers are always co-prime.

If some integer  $y \neq 1$  divides  $x$ , i.e.,  $x = ky$ , then  $x + 1 = ky + 1$  and this is not divisible by  $y$ . □

We have two disks, each partitioned into 200 sectors of the same size. 100 of the sectors of Disk 1 are coloured Red and 100 are colored Blue. The 200 sectors of Disk 2 are arbitrarily coloured Red and Blue.

It is always possible to place Disk 2 on top of Disk 1 so that the centres coincide, the sectors line up and at least 100 sectors of Disk 2 have the same colour as the sector underneath them.

Fix the position of Disk 1. There are 200 positions for Disk 2 and let  $q_i$  denote the number of matches if Disk 2 is placed in position  $i$ . Now for each sector of Disk 2 there are 100 positions  $i$  in which the colour of the sector underneath it coincides with its own.

Therefore

$$q_1 + q_2 + \cdots + q_{200} = 200 \times 100 \quad (1)$$

and so there is an  $i$  such that  $q_i \geq 100$ .

Explanation of (1).

Consider 0-1  $200 \times 200$  matrix  $A(i, j)$  where  $A(i, j) = 1$  iff sector  $j$  lies on top of a sector with the same colour when in position  $i$ . Row  $i$  of  $A$  has  $q_i$  1's and column  $j$  of  $A$  has 100 1's. The LHS of (1) counts the number of 1's by adding rows and the RHS counts the number of 1's by adding columns.

Alternative solution: Place Disk 2 randomly on Disk 1 so that the sectors align. For  $i = 1, 2, \dots, 200$  let

$$X_i = \begin{cases} 1 & \text{sector } i \text{ of disk 2 is on sector of disk 1 of same color} \\ 0 & \text{otherwise} \end{cases}$$

We have

$$\mathbf{E}(X_i) = 1/2 \quad \text{for } i = 1, 2, \dots, 200.$$

So if  $X = X_1 + \dots + X_{200}$  is the number of sectors sitting above sectors of the same color, then  $\mathbf{E}(X) = 100$  and there must exist at least one way to achieve 100.

## Theorem

(Erdős-Szekeres) *An arbitrary sequence of integers  $(a_1, a_2, \dots, a_{k^2+1})$  contains a monotone subsequence of length  $k + 1$ .*

**Proof.** Let  $(a_i, a_i^1, a_i^2, \dots, a_i^{\ell-1})$  be the longest *monotone increasing* subsequence of  $(a_1, \dots, a_{k^2+1})$  that starts with  $a_i$ ,  $(1 \leq i \leq k^2 + 1)$ , and let  $\ell(a_i)$  be its length.

If for some  $1 \leq i \leq k^2 + 1$ ,  $\ell(a_i) \geq k + 1$ , then  $(a_i, a_i^1, a_i^2, \dots, a_i^{\ell-1})$  is a monotone increasing subsequence of length  $\geq k + 1$ .

So assume that  $\ell(a_i) \leq k$  holds for every  $1 \leq i \leq k^2 + 1$ .

Consider  $k$  holes  $1, 2, \dots, k$  and place  $i$  into hole  $\ell(a_i)$ .

There are  $k^2 + 1$  subsequences and  $\leq k$  non-empty holes (different lengths), so by the pigeon-hole principle there will exist  $\ell^*$  such that there are (at least)  $k + 1$  indices  $i_1, i_2, \dots, i_{k+1}$  such that  $\ell(a_{i_t}) = \ell^*$  for  $1 \leq t \leq k + 1$ .

Then we must have  $a_{i_1} \geq a_{i_2} \geq \dots \geq a_{i_{k+1}}$ .

Indeed, assume to the contrary that  $a_{i_m} < a_{i_n}$  for some  $1 \leq m < n \leq k + 1$ . Then  $a_{i_m} \leq a_{i_n} \leq a_{i_n}^1 \leq a_{i_n}^2 \leq \dots \leq a_{i_n}^{\ell^* - 1}$ , i.e.,  $\ell(a_{i_m}) \geq \ell^* + 1$ , a contradiction.  $\square$

The sequence

$$n, n-1, \dots, 1, 2n, 2n-1, \dots, n+1, \dots, n^2, n^2-1, \dots, n^2-n+1$$

has no monotone subsequence of length  $n+1$  and so the Erdős-Szekerés result is best possible.

Let  $P_1, P_2, \dots, P_n$  be  $n$  points in the unit square  $[0, 1]^2$ . We will show that there exist  $i, j, k \in [n]$  such that the triangle  $P_i P_j P_k$  has area

$$\leq \frac{1}{2(\lfloor \sqrt{(n-1)/2} \rfloor)^2} \sim \frac{1}{n}$$

for large  $n$ .

Let  $m = \lfloor \sqrt{(n-1)/2} \rfloor$  and divide the square up into  $m^2 < \frac{n}{2}$  subsquares. By the pigeonhole principle, there must be a square containing  $\geq 3$  points. Let 3 of these points be  $P_i P_j P_k$ . The area of the corresponding triangle is at most one half of the area of an individual square.

