

CA LECTURE 25 (SPECIAL HALLOWEEN EDITION)

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Conclusion of proof from last time: we want to show that  $R = R_0[s_1, \dots, s_m]$  where the  $s_i$  generate  $R_+$  as an ideal of  $R$ . Show by induction that  $R_i \subseteq R_0[s_1, \dots, s_m]$  where the  $i = 0$  case is none too hard. Suppose that  $n > 0$  and  $r \in R_n$ , so  $r \in R_+$  and thus  $r = \sum_j r_j s_j$  for some  $r_j \in R$ .

Now recall we are in a graded ring so each coefficient  $r_j$  is a finite sum of “components” from the various subgroups  $R_i$ .

Since the  $s_j$  are in  $R_+$  the equation must remain true if we discard the components of each  $r_j$  which come from  $R_i$  with  $i \geq n$ . But then by induction we have  $r = \sum_j r_j s_j$  with each  $r_j \in R_0[s_1, \dots, s_m]$  and so we are done.

Definition: Let  $G$  be a group and  $G_n, G'_n$  two decreasing sequences of subgroups. They have *bounded difference* iff there is  $k$  such that  $G_{n+k} \subseteq G'_n$  and  $G'_{n+k} \subseteq G_n$  for all  $n$ .

Remark: by a recent homework this implies (but will in general be stronger than) the condition that the two topologies induced by the sequences should coincide.

Remark: this is an equivalence relation on sequences.

Definition: let  $M$  be an  $R$ -module. A *filtration* of  $M$  is a decreasing sequence  $M_n$  of  $R$ -submodules with  $M_0 = M$ . If  $I$  is an ideal of  $R$  the filtration is an  *$I$ -filtration* if  $IM_n \subseteq M_{n+1}$  for all  $n$ , and is a *stable  $I$ -filtration* iff in addition  $IM_n = M_{n+1}$  for all large  $n$ .

Remark; The defining sequence  $I^n M$  for the  $I$ -topology on  $M$  is an  $I$ -stable filtration.

Lemma: Two stable  $I$ -filtrations of  $M$  have bounded difference.

Proof: Let  $M_n$  be stable. It's enough to show that  $M_n$  and  $I^n M$  have bounded difference. By an easy induction  $I^n M_0 = I^n M \subseteq M_n$ . If  $M_{n+1} = IM_n$  for all  $n \geq k$  then by another easy induction  $M_{k+j} = I^j M_k \subseteq I^j M$ .

Motivating goal: when  $M \leq N$  are  $R$ -modules we wish to compare the topologies on  $M$  given by  $I^n M$  and  $I^n N \cap M$ . We do this via the Artin-Rees lemma.

Given a ring  $R$  and ideal  $I$  we construct a graded ring  $R^*$ .  $R_n = I^n$ ,  $R^* = \bigoplus_n R_n$  and we define the ring operations as dictated by distributivity and gradedness: explicitly

$$(a_n) + (b_n) = (c_n),$$

and

$$(a_n) \times (b_n) = \left( \sum_{i+j=n} a_i b_j \right)_n.$$

If  $M$  is an  $R$ -module with an  $I$ -filtration  $M_n$  then we define a graded  $R^*$ -module  $M^*$  with underlying set  $\bigoplus_n M_n$  and the “obvious” operations.

Lemma: If  $R$  is N'ian then  $R^*$  is N'ian.

Proof: Enough to show that it is ring-finite over  $R_0$ .  $I$  is fg as an ideal so let  $I$  be generate as an ideal by  $r_1, \dots, r_m$ . Define  $r_i^*$  to be the corresponding member of

$R_1$ , that is  $(r_i^*)_1 = r_i$  and  $(r_i^*)_j = 0$  for  $j \neq 1$ . Verify that the  $r_i^*$  generate  $R^*$  as a ring over  $R_0$ .

Lemma: Let  $R$  be N'ian,  $M$  an fg  $R$ -module and  $M_n$  an  $I$ -filtration of  $M$ . Then the filtration  $M_n$  is stable iff  $M^*$  is a fg  $R^*$ -module.

Proof: For each  $n$  define  $Q_n$  to be the subset of  $M^*$  consisting of  $(m_i)$  such that  $m_i \in M_i$  for  $i < n$ , and  $m_i \in I^{n-i}M_n$  for  $i \geq n$ .

Since  $R$  is N'ian each  $M_n$  is fg as an  $R$ -module, so easily each  $Q_n$  is fg as an  $R^*$ -module. Also easy to see that  $M^* = \bigcup_n Q_n$ .

Now we just observe that (using the N'ian property of  $R^*$  here)  $M^*$  is fg iff the sequence of  $Q_n$  is eventually constant iff  $Q_n = M^*$  for some  $n$  iff the filtration  $M_n$  is stable.

Lemma (Artin-Rees): Let  $R$  be Noetherian,  $N$  a fg  $R$ -module and  $M \leq N$ . Let  $N_n$  be an  $I$ -stable filtration of  $N$ . Then  $N_n \cap M$  is an  $I$ -stable filtration of  $M$ .

Proof: Define graded  $R^*$ -modules  $M^*$  and  $N^*$  using the filtrations  $N_n \cap M$  and  $N_n$ . It is easy to see that  $M^* \leq N^*$ . Now since  $R^*$  is N'ian we see that  $N_n$  stable implies  $N^*$  fg implies  $N^*$  N'ian implies  $M^*$  fg implies  $N_n \cap M$  stable.

Corollary: the filtrations  $I^n M$  and  $I^n N \cap M$  have bounded difference and in particular they induce the same topology.

UNTIL FURTHER NOTICE: we fix a N'ian ring  $R$  and an ideal  $I$ . For any  $R$ -module  $M$ ,  $\hat{M}$  is the completion wrt the  $I$ -adic topology.

Corollary: if  $A, B, C$  are fg  $R$ -modules and  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  is exact then the corresponding sequence  $0 \rightarrow \hat{A} \rightarrow \hat{B} \rightarrow \hat{C} \rightarrow 0$  is exact.

Proof: we proved this last time when  $A$  and  $C$  are completed using the topologies induced by the filtration of  $B$ . The A-R lemma shows that these topologies are exactly the  $I$ -adic topologies on  $A$  and  $C$ .

Remark: It is easy to see that  $\hat{R}$  has a natural ring structure.

Remark: for any  $R$ -module  $M$  there is a natural map  $M \rightarrow \hat{M}$  taking  $m$  to the equivalence class of the Cauchy sequence with constant value  $M$ . The kernel is  $\bigcap_n I^n M$ .

Remark: For any  $R$ -module  $M$

$$[(r_n)], m \mapsto [(r_n m)]$$

is well-defined and gives an  $R$ -bilinear map from  $\hat{R} \times M$  to  $\hat{M}$ . This induces a linear map from  $\hat{R} \otimes_R M \rightarrow \hat{M}$ .