

# $\square$ ON THE SINGULAR CARDINALS

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ABSTRACT. We give upper and lower bounds for the consistency strength of the failure of a combinatorial principle introduced by Jensen, *Square on singular cardinals*.

A combinatorial principle of great importance in set theory is the *Global  $\square$  principle* of Jensen [6]:

*Global  $\square$* : There exists  $\langle C_\alpha \mid \alpha \text{ a singular ordinal} \rangle$  such that for each  $\alpha$ ,  $C_\alpha$  is a closed unbounded subset of  $\alpha$  of ordertype less than  $\alpha$ , the limit points of  $C_\alpha$  are singular ordinals, and  $C_{\bar{\alpha}} = C_\alpha \cap \bar{\alpha}$  whenever  $\bar{\alpha}$  is a limit point of  $C_\alpha$ .

A weakening of Global  $\square$  is the following: We say that  $\square$  *holds on the singular cardinals* if and only if there exists  $\langle C_\alpha \mid \alpha \text{ a singular cardinal} \rangle$  such that for each  $\alpha$ ,  $C_\alpha$  is a closed unbounded subset of  $\text{Card} \cap \alpha$  of ordertype less than  $\alpha$ , the limit points of  $C_\alpha$  are singular cardinals, and  $C_{\bar{\alpha}} = C_\alpha \cap \bar{\alpha}$  whenever  $\bar{\alpha}$  is a limit point of  $C_\alpha$ .

Jensen observed that Global  $\square$  is equivalent to the conjunction of  $\square$  on the singular cardinals together with his well-known principles  $\square_\kappa$  for all uncountable cardinals  $\kappa$ . The point is that if  $\alpha$  is a singular ordinal then either  $\alpha$  is a singular cardinal or  $\alpha$  is a limit ordinal with  $\kappa < \alpha < \kappa^+$  for a unique cardinal  $\kappa$ : the fragment of Global  $\square$  which assigns a singularising club set  $C_\alpha$  to each limit  $\alpha$  with  $\kappa < \alpha < \kappa^+$  corresponds to  $\square_\kappa$ .

$\square$  principles are typically used as witnesses to various forms of incompactness or non-reflection: for example if  $\square_\kappa$  holds then there exist  $\kappa^+$ -Aronszajn trees and non-reflecting stationary subsets of  $\kappa^+$ . Since large cardinal axioms embody principles of compactness and reflection, it is not surprising that there is some tension between  $\square$  and large cardinals, and this has been extensively investigated. We should distinguish here between the questions “To what extent are large cardinals

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compatible with  $\square$  principles?” and “What is the consistency strength of the failure of  $\square$  principles?”

The situation for  $\square_\kappa$  is fairly well understood. Jensen [11] showed that if  $\kappa$  is *subcompact* (a large cardinal property a little stronger than 1-extendibility) then  $\square_\kappa$  fails; Burke [1] gave a rather similar argument using generic elementary embeddings. Cummings and Schimmerling [2] gave a forcing proof that Global  $\square$  is consistent with the existence of a 1-extendible cardinal. Foreman and Magidor [3] identified a natural weakening of  $\square_\kappa$  (the Very Weak Square) which is consistent with all standard large cardinals; the same paper discusses weakenings of  $\square_\kappa$  (based on work of Baumgartner) where the club sets only have to exist at points of certain cofinalities, and which are again consistent with large cardinals. Friedman [4] studied the consistency of various  $L$ -like combinatorial principles, including squares on restricted cofinalities, with very strong large cardinal axioms; this paper also contains a discussion of the relation between subcompactness and other strong axioms.

As for consistency strength, it is known that the failure of  $\square_\lambda$  for  $\lambda$  regular and uncountable is equiconsistent with the existence of a Mahlo cardinal. Jensen [6] showed that if  $\square_\lambda$  fails then  $\lambda^+$  is Mahlo in  $L$ , and Solovay showed that collapsing a Mahlo cardinal to  $\lambda^+$  by a Lévy collapse yields a model in which  $\square_\lambda$  fails.

Failure of  $\square_\lambda$  for  $\lambda$  singular is stronger and rather more mysterious; an unpublished forcing construction of Zeman gives a measurable subcompact cardinal as an upper bound, while at the lower end Steel [12] has shown that if  $\kappa$  is a singular strong limit cardinal and  $\square_\kappa$  fails then  $\text{AD}^{L(\mathbb{R})}$  holds.

The lower bounds in consistency strength are proved using inner models, so it is important to know that  $\square$  principles are true in these models. Global  $\square$ , and hence  $\square$  on the singular cardinals, holds in  $L$  [6]. Welch [13] showed that Global  $\square$  holds in the Dodd-Jensen core model, and Wylie [14] showed that Global  $\square$  holds in Jensen’s core model with measures of order zero. Jensen [7] showed that  $\forall \kappa \square_\kappa$  holds in the core model below zero-pistol, and Zeman [15] showed that Global  $\square$  holds in this model. Schimmerling and Zeman [11] showed that  $\forall \kappa \square_\kappa$  holds in all extender models which are currently known to exist, and Zeman [16] showed that Global  $\square$  holds in all such models.

In this paper we determine a lower bound for the consistency strength of a failure of  $\square$  on the singular cardinals, and also analyse the large cardinal hypotheses required to refute this principle.

**Theorem 1.** *If it is consistent with ZFC that  $\square$  on the singular cardinals up to  $\alpha$  fails for some cardinal  $\alpha$ , then it is consistent with ZFC that there is an inaccessible cardinal which is a stationary limit of cardinals of uncountable Mitchell order.*

To properly state a global result, we make use of Gödel-Bernays class theory GB:

**Theorem 2.** *If it is consistent with GB that  $\square$  on the singular cardinals fails, then it is consistent with GB that the class of cardinals of uncountable Mitchell order is stationary.*

In order to state our last theorem, we need some definitions. We say that a cardinal  $\kappa$  is *almost inaccessibly hyperstrong* iff it is the critical point of an elementary embedding  $j : V \rightarrow M$  with  $V_\lambda \subseteq M$  for some  $M$ -inaccessible  $\lambda > j(\kappa)$ . To clarify where this axiom sits in the large cardinal hierarchy we consider some more large cardinal properties:

- $\kappa$  is *inaccessibly hyperstrong* iff it is the critical point of an elementary embedding  $j : V \rightarrow M$  with  $V_\lambda \subseteq M$  for some  $V$ -inaccessible  $\lambda > j(\kappa)$ .
- $I_\kappa$  *holds* if and only if there is an inaccessible cardinal  $\gamma > \kappa$  such that  $\kappa$  is  $\gamma$ -supercompact.
- $J_\kappa$  *holds* if and only if there is an inaccessible cardinal  $\gamma > \kappa$  such that  $\kappa$  is  $< \gamma$ -supercompact. That is there is  $j : V \rightarrow M$  with  $\text{crit}(j) = \kappa$ ,  $j(\kappa) > \gamma$  and  ${}^{<\gamma}M \subseteq M$ .

**Lemma 3.**

- (1) *If  $\kappa$  is inaccessibly hyperstrong then there are unboundedly many  $\mu < \kappa$  such that  $I_\mu$ .*
- (2) *If  $I_\kappa$  holds then there are unboundedly many almost inaccessibly hyperstrong  $\mu < \kappa$ .*
- (3) *If  $\kappa$  is almost inaccessibly hyperstrong then there are unboundedly many  $\mu < \kappa$  such that  $J_\mu$ .*

*Proof.* Suppose first that  $\kappa$  is inaccessibly hyperstrong and fix  $j : V \rightarrow M$  and  $V$ -inaccessible  $\lambda > j(\kappa)$  with  $V_\lambda \subseteq M$ . Let  $\bar{\lambda}$  be the least inaccessible greater than  $\kappa$ . Then  $j(\bar{\lambda}) \leq \lambda$  and  $j^{<\bar{\lambda}}$  is bounded in  $j(\bar{\lambda})$ , so  $j^{<\bar{\lambda}} \in M$  and thus  $\kappa$  is  $\bar{\lambda}$ -supercompact. Since  $\bar{\lambda} < j(\kappa) < \lambda$  we see that  $I_\kappa$  holds in  $M$ , so as usual there are many  $\mu < \kappa$  such that  $I_\mu$  holds in  $V$ .

Now suppose that  $I_\kappa$  holds, let  $\gamma$  be the least inaccessible greater than  $\kappa$  and fix  $j : V \rightarrow M$  such that  $j(\kappa) > \gamma$  and  ${}^\gamma M \subseteq M$ . Let  $\eta = \sup j^{<\gamma}$ , let  $E$  be the (long)  $(\kappa, \eta)$  extender for  $j$ , and note that since  $j \upharpoonright V_\gamma \in M$ , also  $E \in M$ . Let  $k : M \rightarrow N = \text{Ult}(M, E)$  be

the ultrapower map, then as usual we may argue that  $V_\eta^M = V_\eta^N$  and  $j \upharpoonright V_\gamma = k \upharpoonright V_\gamma$ . Since  $k$  is continuous at  $\gamma$  and  $j$  is not,  $k(\kappa) = j(\kappa) < k(\gamma) = \eta < j(\gamma)$ . So  $k(\gamma)$  is inaccessible in  $N$  (but not in  $M$ ). It is now easy to see that  $\kappa$  is almost inaccessibly hyperstrong in  $M$ .

Finally let  $\kappa$  be almost inaccessibly hyperstrong and fix  $j : V \rightarrow M$  and  $M$ -inaccessible  $\lambda > j(\kappa)$  with  $V_\lambda \subseteq M$ . Let  $\gamma$  be the least inaccessible greater than  $\kappa$ , so that  $\gamma < j(\kappa) < \lambda$  and  $j(\gamma) \leq \lambda$ . Then for every  $\delta < \gamma$  we have  $j \upharpoonright \delta \in M$ , and if  $U_\delta$  is the induced supercompactness measure then  $\langle U_\delta : \delta < \gamma \rangle$  forms a system of measures whose limit ultrapower witnesses that  $\kappa$  is  $< \gamma$ -supercompact. Of course  $\langle U_\delta : \delta < \gamma \rangle \in M$  and so easily  $J_\kappa$  holds in  $M$ .  $\square$

**Theorem 4.**

- (1) *If  $\kappa$  has the property  $I_\kappa$  then  $\square$  fails on the singular cardinals (and in fact it fails on the singular cardinals less than  $\gamma$ , where  $\gamma$  is the least inaccessible greater than  $\kappa$ ). (Due independently to Dickon Lush, see the following Remark).*
- (2) *There is a class forcing extension of  $V$  in which all almost inaccessibly hyperstrong cardinals retain that property and  $\square$  holds on the singular cardinals.*

**Remark 1.** *The referee pointed out that Dickon Lush, a former student of Jensen, had proved Theorem 4 part 1 and a slightly weaker form of Theorem 4 part 2 in some work which was never written up.*

*Jensen informed the authors that he believes that Lush proved the following results:*

- (1) *If there is a cardinal  $\kappa$  such that  $I_\kappa$  then  $\square$  on the singular cardinals fails to hold.*
- (2) *It is consistent that  $\square$  holds on the singular cardinals and there is a supercompact cardinal.*

We will prove Theorem 1 using Mitchell's core model for sequences of measures [8, 9, 10]. This is an inner model  $K_M$  which is defined on the assumption that there is no inner model in which there is  $\kappa$  such that  $o(\kappa) = \kappa^{++}$ . We list the salient properties of  $K_M$ :

**Fact 5.**

- *Global  $\square$  holds in  $K_M$ .*
- *If  $\lambda$  is a singular cardinal of uncountable cofinality, then either  $\lambda$  is singular in  $K_M$  or  $K_M \models o(\lambda) \geq cf^V(\lambda)$ .*

*Proof of Theorem 1.*

We will prove that if there is no inner model of “there is a stationary limit of cardinals of uncountable Mitchell order”, then  $\square$  holds on the singular cardinals up to  $\alpha$  for every cardinal  $\alpha$ . The argument is of the same general form as Jensen’s argument [6] that if  $\square_{\omega_1}$  fails then  $\omega_2$  is Mahlo in  $L$ .

By our assumption there is no inner model of “there is  $\kappa$  such that  $o(\kappa) = \kappa^{++}$ ”, and so the Mitchell core model  $K_M$  exists. If  $\kappa$  is  $V$ -inaccessible then by our assumption there is a club  $C \subseteq \kappa$  in  $K_M$  such that  $o^{K_M}(\alpha) < \omega_1^{K_M}$  for all  $\alpha \in C$ , and so *a fortiori*  $o^{K_M}(\alpha) < \omega_1^V$  for all  $\alpha \in C$ .

We establish  $\square$  on the singular cardinals up to  $\alpha$  by induction on the cardinal  $\alpha$ . We may assume that  $\alpha$  is a limit cardinal, else the result follows immediately by induction. If  $\alpha$  is singular, then let  $C_\alpha$  be any closed unbounded subset of  $\text{Card} \cap \alpha$  whose ordertype is less than its minimum and whose successor elements are successor cardinals. For limit points  $\bar{\alpha}$  of  $C_\alpha$ , define  $C_{\bar{\alpha}}$  to be  $C_\alpha \cap \bar{\alpha}$ . By induction we can choose  $\square$  sequences on the singular cardinals inside open intervals determined by adjacent elements of  $C_\alpha \cup \{0\}$ , thereby obtaining a  $\square$  sequence on all singular cardinals up to  $\alpha$ .

Now suppose that  $\alpha$  is inaccessible. There is a closed unbounded subset  $C$  of  $\alpha$  consisting of cardinals whose Mitchell order in  $K_M$  is countable in  $V$ . By fact 5, each singular cardinal in  $C$  of uncountable cofinality is singular in  $K_M$ .

By fact 5,  $\square$  on singular cardinals holds in  $K_M$ , so there is in  $K_M$

$$\langle C_\beta \mid \beta < \alpha, \beta \text{ a singular cardinal in } K_M \rangle$$

a  $\square$  sequence on the singular cardinals of  $K_M$  less than  $\alpha$ . For each cardinal  $\beta \in C$  which is singular in  $K_M$ , define  $D_\beta$  to be  $C_\beta \cap \text{Card}$ , if this is cofinal in  $\beta$ , and otherwise define  $D_\beta$  to be a set of successor cardinals cofinal in  $\beta$  of ordertype  $\omega$ . Note that if  $\beta \in C$  is a cardinal which is singular in  $K_M$  and  $\bar{\beta}$  is a limit point of  $D_\beta$  then  $\bar{\beta}$  is a cardinal which is singular in  $K_M$  and  $D_{\bar{\beta}}$  equals  $D_\beta \cap \bar{\beta}$ . If  $\beta$  is a singular cardinal in  $C$  which is regular in  $K_M$  then  $\beta$  has cofinality  $\omega$  and we again choose  $D_\beta$  to be a set of successor cardinals cofinal in  $\beta$  of ordertype  $\omega$ . The result is a  $\square$  sequence on the singular cardinals in  $C$ . As in the singular case, we can extend this to a  $\square$  sequence on all singular cardinals less than  $\alpha$  using  $\square$  sequences on the singular cardinals inside open intervals determined by adjacent elements of  $C \cup \{0\}$ .

The proof of Theorem 2 is similar. Assume that the class of cardinals of uncountable Mitchell order is non-stationary. Then using a closed unbounded class of cardinals of countable Mitchell order, we can

construct a  $\square$  sequence on the singular cardinals, provided that for any cardinal  $\alpha$  there is a  $\square$  sequence on the singular cardinals up to  $\alpha$ ; but if the latter were to fail, then by Theorem 1 there would be an inaccessible cardinal which is a stationary limit of cardinals of uncountable Mitchell order, more than enough to imply the consistency of “the class of cardinals of uncountable Mitchell order is stationary”.

*Proof of Theorem 4.*

1) Let  $\kappa$  satisfy  $I_\kappa$  and let  $\gamma$  be the least inaccessible greater than  $\kappa$ . Fix  $j : V \rightarrow M$  such that  $\text{crit}(j) = \kappa$ ,  $j(\kappa) > \gamma$  and  ${}^\gamma M \subseteq M$ . Let  $S \subseteq \gamma$  be a stationary set of cardinals of cofinality  $\omega$ , and let  $\eta = \sup j''\gamma$ . Since  $j''S \subseteq j(S) \cap \eta$  and  $j$  is continuous at points of cofinality  $\omega$ , it is routine to check that  $j(S) \cap \eta$  is stationary in  $\eta$ , and this statement is downwards absolute to  $M$ . Since  $\gamma$  is a limit of cardinals and  $j$  is elementary,  $\eta$  is a limit of  $M$ -cardinals and so  $\eta$  is an  $M$ -cardinal; what is more  $j''\gamma \in M$  and  $\gamma < j(\kappa) < \eta$  so  $\eta$  is a *singular* cardinal in  $M$ . So by elementarity the stationarity of  $S$  reflects at some singular cardinal  $\mu$  less than  $\gamma$ .

This is enough to refute  $\square$  on the singular cardinals less than  $\gamma$ , by the standard mechanism for generating non-reflecting sets from  $\square$  sequences: if  $\langle C_\alpha \mid \alpha < \gamma, \alpha \text{ a singular cardinal} \rangle$  is such a  $\square$  sequence, then by Fodor’s lemma there is an ordinal  $\eta$  such that the set  $S$  of cardinals  $\alpha < \gamma$  with cofinality  $\omega$  and  $C_\alpha$  of ordertype  $\eta$  is stationary. Then for every singular cardinal  $\mu < \gamma$  it follows from the coherence of the club sets in the  $\square$  sequence that  $\lim(C_\mu)$  meets  $S$  in at most one point, so that  $S$  does not reflect at any singular cardinal  $\mu < \gamma$ .

We note that assuming  $I_\kappa$  we actually established the following reflection principle: “every stationary subset of  $\gamma \cap \text{cof}(< \kappa)$  reflects at singular cardinals of arbitrarily large cofinalities less than  $\kappa$ ”. So  $I_\kappa$  will also be incompatible with some weakened versions of  $\square$  on the singular cardinals.

2) It remains to show that we can force  $\square$  on singular cardinals while preserving all the almost inaccessibly hyperstrong cardinals. Before adding  $\square$  we will perform a preparation step to force some instances of GCH; this will make the iteration for  $\square$  better behaved.

The preparation forcing will be a class reverse Easton iteration  $\mathbb{R}$ , in which we force with the standard collapsing poset  $\text{Coll}(\lambda^+, 2^\lambda)$  for all  $\lambda$  which are singular limits of inaccessibles. Standard arguments [5] show that ZFC is preserved. We claim that in the extension

- For every  $\mu$  which is a singular limit of inaccessibles,  $2^\mu = \mu^+$ .

- All almost inaccessibly hyperstrong cardinals from the ground model are preserved.

The first claim is straightforward; by standard arguments if  $\mu$  is a singular limit of inaccessibles in the extension then it is a singular limit of inaccessibles in the ground model, and the iteration  $\mathbb{R}$  then forces (by design) that  $2^\mu = \mu^+$ . For the second claim let the embedding  $j : V \rightarrow M$  be a witness that  $\kappa$  is almost inaccessibly hyperstrong in  $V$ . By the usual extender arguments we may assume that

$$M = \{j(f)(a) : \text{Dom}(f) = H_\lambda, a \in H_{\lambda^*}\}$$

where  $\lambda$  is the least inaccessible greater than  $\kappa$  and  $\lambda^* = \sup j[\lambda] = j(\lambda)$  is the least  $M$ -inaccessible greater than  $j(\kappa)$ .

Let  $G$  be  $\mathbb{R}$ -generic, and break up  $\mathbb{R}$  as  $\mathbb{R}_0 * \dot{\mathbb{R}}_1 * \dot{\mathbb{R}}_2$  where  $\mathbb{R}_0$  is the part of the iteration up to stage  $\kappa$ ,  $\mathbb{R}_1$  is the part between  $\kappa$  and  $j(\kappa)$ , and  $\mathbb{R}_2$  is the part above  $j(\kappa)$ . Break up  $G$  in the corresponding way as  $G_0 * G_1 * G_2$ . By the agreement between  $V$  and  $M$  there are no inaccessible cardinals between  $\kappa$  and  $\lambda$  so that  $\mathbb{R}_1 * \dot{\mathbb{R}}_2$  is  $(\lambda, \infty)$ -distributive (i.e., does not add new  $\lambda$ -sequences of ordinals) in  $V[G_0]$ .

We now lift  $j$  as follows. Since conditions in  $G_0$  have bounded supports  $j[G_0 \subseteq G_0 * G_1]$ , and so we may lift to get  $j : V[G_0] \rightarrow M[G_0 * G_1]$ . Since  $\mathbb{R}_1 * \dot{\mathbb{R}}_2$  is  $(\lambda, \infty)$ -distributive and  $|H_\lambda| = \lambda$ ,  $j[G_1 * G_2]$  generates a generic filter  $H$  for  $j(\mathbb{R}_1 * \dot{\mathbb{R}}_2)$  over  $M[G_0 * G_1]$ . Hence we may lift again to get in  $V[G]$  an embedding  $j : V[G] \rightarrow M[G_0 * G_1 * H]$ .

To finish we note that there are no inaccessible cardinals between  $j(\kappa)$  and  $\lambda^*$ , so that easily  $\mathbb{R}_2$  is  $(\lambda^*, \infty)$ -distributive in  $V[G_0 * G_1]$ . It is now routine to check that  $V[G]$  and  $M[G_0 * G_1 * H]$  agree up to  $\lambda^*$ , so that  $\kappa$  is still almost inaccessibly hyperstrong in  $V[G]$ . To lighten the notation we now replace our original ground model by the generic extension of that ground model by  $\mathbb{R}$ , and write  $V$  for this generic extension.

Working over the new  $V$  we perform a reverse Easton iteration  $\mathbb{P}$  where at each inaccessible stage  $\alpha$ , a  $\square$  sequence on the singular cardinals less than  $\alpha$  is added via the forcing  $\mathbb{Q}_\alpha$  whose conditions are partial  $\square$  sequences on the singular cardinals less than or equal to some cardinal less than  $\alpha$ , ordered by end-extension. For any cardinal  $\bar{\alpha} < \alpha$ , any condition in  $\mathbb{Q}_\alpha$  can be extended to have length at least  $\bar{\alpha}$ ; this is proved by induction on  $\bar{\alpha}$ , using the existence of generic objects at inaccessibles less than  $\alpha$  to handle the case of inaccessible  $\bar{\alpha}$ , and the same idea as in the singular cardinal limit step of Theorem 1 to handle the case of singular  $\bar{\alpha}$ . Standard arguments show that  $\mathbb{Q}_\alpha$ , and indeed the entire iteration at and above stage  $\alpha$ , is  $< \alpha$ -strategically closed for

each inaccessible  $\alpha$ . Similar arguments for forcing a Global  $\square$  sequence are given in some detail in [2]; see [1], [4], and [3] for more on forcing to add  $\square$ -sequences of various sorts.

We claim that  $\mathbb{P}$  preserves cardinals and cofinalities. For each regular  $\kappa$  we may factor  $\mathbb{P}$  as  $\mathbb{P}(\leq \kappa) * \mathbb{P}(> \kappa)$ . The cardinal arithmetic which we arranged in the preparation step implies that  $\mathbb{P}(\leq \kappa)$  has a dense set of size  $\kappa$ , so that it has  $\kappa^+$ -c.c. The desired preservation of cardinals and cofinalities then follows from the fact that  $\mathbb{P}(> \kappa)$  is forced to be  $< \kappa^+$ -strategically closed.

We need a certain homogeneity fact about  $\mathbb{Q}_\gamma$  for  $\gamma$  inaccessible. Similar arguments will work for other posets to add various kinds of  $\square$ -sequences.

**Lemma 6.** *Let  $p, q \in \mathbb{Q}_\gamma$  be conditions with the same domain. Then there is an isomorphism between  $\{r \in \mathbb{Q}_\gamma : r \leq p\}$  and  $\{r \in \mathbb{Q}_\gamma : r \leq q\}$ .*

*Proof.* Let the common domain of  $p$  and  $q$  be the set of all singular cardinals less than or equal to some cardinal  $\alpha$ .

Let  $r \leq p$ , so that  $r$  is a  $\square$  sequence on the singular cardinals less than or equal to some cardinal  $\beta$ . We define a condition  $r^*$  with the same domain as  $r$  and extending  $q$  as follows:

- (1)  $r_\eta^* = q_\eta$  for  $\eta \leq \alpha$ .
- (2) If  $\eta > \alpha$  and  $\lim(r_\eta) \cap (\alpha + 1) = \emptyset$  then  $r_\eta^* = r_\eta$ .
- (3) Otherwise let  $\zeta = \sup(\lim(r_\eta) \cap (\alpha + 1))$  and note that  $\zeta$  is a limit point of  $r_\eta$ , and hence is a singular cardinal less than or equal to  $\alpha$ : in this case define  $r_\eta^* = q_\zeta \cup (r_\eta \setminus \zeta)$ .

Similarly if  $r \leq q$  we define  $r_* \leq p$  by replacing  $q$  by  $p$  in the definition above. It is easy to see that the maps  $r \mapsto r^*$  and  $r \mapsto r_*$  are mutually inverse and set up an isomorphism between  $\{r \in \mathbb{Q}_\gamma : r \leq p\}$  and  $\{r \in \mathbb{Q}_\gamma : r \leq q\}$ .  $\square$

The following corollary is standard.

**Lemma 7.** *If  $p \in \mathbb{Q}_\beta$  and  $G$  is  $\mathbb{Q}_\beta$ -generic there is a generic  $G^*$  such that  $V[G^*] = V[G]$  and  $p \in G^*$ .*

Suppose that  $\kappa$  is almost inaccessibly hyperstrong, witnessed by  $j : V \rightarrow M$  with  $\text{crit}(j) = \kappa$  and  $V_\lambda \subseteq M$ , where  $\lambda > j(\kappa)$  and  $\lambda$  is  $M$ -inaccessible. Let  $\bar{\lambda}$  be the least inaccessible greater than  $\kappa$ , so that without loss of generality we may assume that  $\lambda = j(\bar{\lambda})$ .

We may assume that every element of  $M$  is of the form  $j(f)(a)$  where  $f$  has domain  $V_{\lambda'}$  and  $a$  is an element of  $V_{\lambda'}$ , where  $\lambda'$  is the supremum of  $j[\bar{\lambda}]$ . Otherwise, we can replace  $M$  by the transitive collapse  $\bar{M}$  of



the class  $H$  of all such  $j(f)(a)$ 's and  $j$  by  $\pi \circ j$ , where  $\pi : H \simeq \bar{M}$ . In particular, we can assume that  $\lambda' = \lambda$ , that is to say  $j$  is continuous at  $\bar{\lambda}$ , and every element of  $M$  is of the form  $j(f)(a)$  where  $f$  has domain  $V_\mu$  for some  $\mu < \bar{\lambda}$  and  $a$  is an element of  $V_\lambda$ .

We show that in  $V[G]$  there is a  $j(\mathbb{P}) = \mathbb{P}^*$  generic  $G^*$  over  $M$  which contains  $j[G]$  as a subclass. It then follows that  $j$  can be definably lifted in  $V[G]$  to  $j^* : V[G] \rightarrow M[G^*]$ , where  $V[G]$  and  $M[G^*]$  have the same sets of rank less than  $\lambda$ , and therefore  $\kappa$  is almost inaccessibly hyperstrong in  $V[G]$ .

Define  $G_{j(\kappa)}^* = (G^*$  below stage  $j(\kappa)$  of the  $\mathbb{P}^*$  iteration) to be the same as  $G_{j(\kappa)}$ . The generic object  $G(\kappa)$  is a condition in  $j(\mathbb{Q}_\kappa)$ , so by Lemma 7 we may obtain  $G^*(j(\kappa)) = (G^*$  at stage  $j(\kappa)$  of the  $\mathbb{P}^*$  iteration) by altering  $G(j(\kappa))$  to get a condition extending  $G(\kappa)$ . Thus we obtain a lifting of  $j$  to an elementary embedding  $j_0^* : V[G_{\kappa+1}] \rightarrow M[G_{j(\kappa)+1}^*]$ .

$\lambda$  is the least nontrivial stage of the  $\mathbb{P}^*$  iteration past  $j(\kappa)$ . We define  $G^*(\lambda)$  to consist of all conditions extended by some condition of the form  $j_0^*(p)$  where  $p$  belongs to  $G(\bar{\lambda})$ , the generic chosen by  $G$  at stage  $\bar{\lambda}$  in the  $\mathbb{P}$ -iteration. We claim that  $G^*(\lambda)$  so defined is indeed generic over  $M[G_\lambda^*]$  for  $\mathbb{P}^*(\lambda)$ . Suppose that  $D$  is open dense on  $\mathbb{P}^*(\lambda)$  and belongs to  $M[G_\lambda^*]$ . Then  $D$  is of the form  $j(f)(a)^{G_\lambda^*}$  where  $a$  belongs to  $V_\lambda$  and  $\text{dom}(f) = V_\mu$  for some  $\mu < \bar{\lambda}$ . Now using the  $\bar{\lambda}$ -distributivity of the forcing  $\mathbb{P}(\bar{\lambda})$ , choose  $p$  in  $G(\bar{\lambda})$  that meets all open dense subsets of  $\mathbb{P}(\bar{\lambda})$  of the form  $f(\bar{a})^{G_\lambda}$ ,  $\bar{a}$  in  $V_\mu$ . Then  $j_0^*(p)$  belongs to  $G^*(\lambda)$  and meets all open dense subsets of  $\mathbb{P}^*(\lambda)$  of the form  $j(f)(b)^{G_\lambda^*}$ ,  $b$  in  $V_{j(\mu)}$ . In particular,  $j_0^*(p)$  meets  $j(f)(a)^{G_\lambda^*} = D$ . Thus we have demonstrated the genericity of  $G^*(\lambda)$  and can extend  $j_0^*$  to an elementary embedding  $j_1^* : V[G_{\bar{\lambda}+1}] \rightarrow M[G_{\lambda+1}^*]$ .

Finally, as the iteration  $\mathbb{P}$  strictly above stage  $\bar{\lambda}$  is  $\bar{\lambda}^+$ -distributive, it is easy to use the previous argument to extend the embedding  $j_1^*$  to all of  $V[G]$ , taking  $G^*$  strictly above stage  $\lambda$  to consist of all conditions extended by some condition of the form  $j_1^*(p)$  where  $p$  belongs to  $G$  strictly above stage  $\bar{\lambda}$ . This proves Theorem 4.

**Remark 2.** *The referee pointed out that we do not actually need the final embedding  $j^* : V[G] \rightarrow M[G^*]$ , since it will suffice to have  $j' : V[G] \rightarrow N$  where  $j'(\bar{\lambda}) = \lambda$  and the models  $N$  and  $V[G]$  agree to rank  $\lambda$ . Such a  $j'$  can be built from  $j_1^*$  using the usual extender techniques.*

We finish with the natural open question:

Question: What is the right upper bound for the consistency strength of “□ on singular cardinals fails”? Is Theorem 1 optimal?

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