

# Splitting an expander graph

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## Abstract

Let  $G = (V, E)$  be an  $r$ -regular expander graph. Certain algorithms for finding edge disjoint paths require that its edges be partitioned into  $E = E_1 \cup E_2 \cup \dots \cup E_k$  so that the graphs  $G_i = (V, E_i)$  are each expanders. In this paper we give a non-constructive proof of the existence a very good *split* plus an algorithm for finding a partition better than that given in Broder, Frieze and Upfal, Existence and construction of edge disjoint paths on expander graphs, *SIAM Journal on Computing* **23** (1994) 976-989.

## 1 Introduction

Let  $G = (V, E)$  be an  $r$ -regular graph with  $|V| = n$ . For the asymptotics we shall assume that  $r$  is fixed as  $n \rightarrow \infty$ , but is sufficiently large. For  $S \subseteq V$  let  $\text{out}(S) = \{e = (v, w) \in E : v \in S, w \notin S\}$  be the set of edges of  $G$  with exactly one endpoint in  $S$ . Let  $\Phi_S = |\text{out}(S)|/|S|$  and let the (edge)-expansion  $\Phi = \Phi(G)$  of  $G$  be defined by

$$\Phi = \min_{\substack{S \subseteq V \\ |S| \leq n/2}} \Phi_S.$$

Loosely speaking,

$G$  is an expander if  $\Phi$  is “large”.

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There have been several papers recently ([4], [5], [7], [8], [9]) which deal with the problem of joining selected pairs of vertices by edge-disjoint paths. In all of these papers we are given an expander graph and there is a need to partition the edges  $E = E_1 \cup E_2 \cup \dots \cup E_k$  so that the graphs  $G_i = (V, E_i), 1 \leq i \leq k$  are expanders. A method was described in [4], but it is relatively inefficient. This computational problem seems interesting in its own right and in this paper we prove two results. One is non-constructive and shows what might be achieved. The second is constructive. The split produced is not as good as indicated by the first result, but it does improve significantly on what is achieved in [4].

We use a subscript  $i$  to denote graph-theoretic constructs related to  $G_i$ . Thus  $d_i(v)$  is the degree of  $v$  in  $G_i$ . Left unsubscripted, such things refer to  $G$ . Thus  $d(v) = r$ .

In Section 2 we prove

**Theorem 1** *Let  $k \geq 2$  be a positive integer and let  $\epsilon > 0$  be a small positive real number. Suppose that*

$$\begin{aligned} \frac{r}{\ln r} &\geq 7k\epsilon^{-2} \\ \Phi &\geq 4\epsilon^{-2}k \ln r. \end{aligned}$$

*Then there exists a partition  $E = E_1 \cup E_2 \cup \dots \cup E_k$  such that for  $1 \leq i \leq k$*

(a)

$$\Phi_i \geq (1 - \epsilon)\frac{\Phi}{k}.$$

(b)

$$(1 - \epsilon)\frac{r}{k} \leq \delta(G_i) \leq \Delta(G_i) \leq (1 + \epsilon)\frac{r}{k}.$$

We have not been able to make the proof of this theorem constructive as was done in [3], [1] and [10]. The main difficulty is that the number of random trials which determines each bad event in our application of the Local Lemma, varies greatly, and is not bounded by a constant. This is one of the problematic situations listed in [10], and while this sort of problem can often be overcome (e.g. as in [3], [1]), we were unable to do so here. Instead we will suffice ourselves with the following theorem, proved in Section 3.

**Theorem 2** *Suppose that the conditions of Theorem 1 hold, and suppose further that we have  $\alpha < 1$  and  $\frac{1}{2} \geq \gamma \geq \frac{1}{\sqrt{r}}$  such that*

$$\Phi_S \geq (1 - \alpha)r|S|$$

for  $|S| \leq \gamma n$ . Then there is a randomised polynomial time algorithm ( $O(n^{O(\ln r)} \ln \delta^{-1})$ ) which with probability at least  $1 - \delta$  constructs  $E_1, E_2, \dots, E_k$  such that

$$\Phi_i \geq (1 - \epsilon) \frac{\Phi}{k} - (\alpha + 2\epsilon) r,$$

for  $i = 1, 2, \dots, k$ .

This theorem is only useful if  $\Phi \geq cr$  for some  $c$  satisfying  $c \gg \alpha$ . Nevertheless, its requirements are weaker than those needed in [4] and the conclusion is stronger. For random  $r$ -regular graphs and Ramanujan graphs we can take  $\gamma$  to be a small constant and  $\alpha = O(\gamma + \frac{1}{\sqrt{r}})$ . In the context of finding edge disjoint paths, it is enough that  $\Phi_i > 1$  for  $i = 1, 2, \dots, k$ .

Note that there is not time to verify that the algorithm succeeds. Instead, in the applications, we assume it has and repeat the split if the other algorithm that uses it fails to find the required paths.

## 2 Existence Result

In this section, we prove Theorem 1. We will use the general version of the Lovász Local Lemma. For each  $e \in E$  we randomly choose an integer  $i \in [k]$  and then place  $e$  in  $E_i$ . We must show that there is a positive probability of choosing a partition which satisfies the conditions of the theorem.

We define the following *bad* events: If  $S \subseteq V$  then  $G[V] = (S, E_S)$  is the subgraph of  $G$  induced by  $S$ . Thus  $E_S = \{e \in S : e \subseteq S\}$ .

(a) For  $v \in V$  and  $i \in [k]$ ,  $A_{v,i} = A_{\{v\},i}$  is the event that

$$d_i(v) \notin [(1 - \epsilon)r/k, (1 + \epsilon)r/k].$$

(b) For  $S \subseteq V$ ,  $2 \leq |S| \leq n/2$ ,  $G[S]$  connected and  $i \in [k]$ ,  $A_{S,i}$  is the event that

$$|\text{out}_i(S)| < (1 - \epsilon)|\text{out}(S)|/k.$$

In showing that  $\Phi_i$  is sufficiently large we can restrict our attention to  $\text{out}(S)$  for which  $G[S]$  is connected. Indeed, for  $S \subset V$  let  $C_1, C_2, \dots, C_t$  be the components of  $G[S]$ . Then

$$\Phi_S \geq \min_{1 \leq s \leq t} \frac{|\text{out}_i(C_s)|}{|C_s|}.$$

**Claim 1** For  $v \in V$  there are at most  $(er)^{s-1}$  sets  $S$  such that (i)  $v \in S$ , (ii)  $|S| = s$  and (iii)  $G[S]$  is connected.

**Proof of Claim 1** The number of such sets is bounded by the number of distinct  $s$ -vertex trees which are rooted at  $v$ . This in turn is bounded by the number of distinct  $r$ -ary rooted trees with  $s$  vertices. This is equal to  $\binom{rs}{s}/((r-1)s+1)$ , see Knuth [6].

**End of proof of Claim 1**

The Chernoff bounds for the tails of the binomial distribution  $B(n, p)$  that we use are

$$\Pr(B(n, p) \geq (1 + \epsilon)np) \leq e^{-\epsilon^2 np/3} \quad (1)$$

$$\Pr(B(n, p) \leq (1 - \epsilon)np) \leq e^{-\epsilon^2 np/2} \quad (2)$$

where  $0 \leq \epsilon \leq 1$ .

Using them we obtain,

$$\begin{aligned} \Pr(A_{v,i}) &\leq 2e^{-\epsilon^2 r/(3k)} \\ &\leq 2e^{-(7 \ln r)/3} \\ &< \frac{1}{r^2}. \\ \Pr(A_{S,i}) &\leq \exp \left\{ -\frac{\epsilon^2 |\text{out}(S)|}{2k} \right\} \\ &\leq e^{-2|S| \ln r} \\ &= \frac{1}{r^{2|S|}}. \end{aligned}$$

Now, for  $S \subseteq V$ ,  $1 \leq |S| \leq n/2$  and  $G[S]$  connected, let

$$x_{S,i} = \left( \frac{2}{r^2} \right)^{|S|}.$$

We show that

$$\Pr(A_{S,i}) < x_{S,i} \prod_{(S,i) \sim (T,j)} (1 - x_{T,j}), \quad (3)$$

where  $(S, i) \sim (T, j)$  denotes adjacency of  $A_{S,i}$  and  $A_{T,j}$  in the dependency graph of bad events i.e.  $\text{out}(S) \cap \text{out}(T) \neq \emptyset$ . The theorem then follows from the general version of the local lemma, see for example Alon and Spencer [2].

It follows from Claim 1 that if  $|S| = s$  then there are at most  $ks(er)^t$  events  $A_{T,j}$  with  $|T| = t$  such that  $(S,i) \sim (T,j)$ . Thus, using  $1 - x \geq e^{-2x}$  for  $0 \leq x \leq 1/2$  we have

$$\begin{aligned}
x_{S,i} \prod_{(S,i) \sim (T,j)} (1 - x_{T,j}) &\geq \left(\frac{2}{r^2}\right)^s \prod_{t \geq 1} \left(1 - \left(\frac{2}{r^2}\right)^t\right)^{ks(er)^t} \\
&\geq \left(\frac{2}{r^2}\right)^s \exp \left\{ -2ks \sum_{t \geq 1} \left(\frac{2e}{r}\right)^t \right\} \\
&= \left(\frac{2}{r^2}\right)^s \exp \left\{ -\frac{4kes}{r - 2e} \right\} \\
&> \frac{1}{r^{2s}},
\end{aligned}$$

since for small values of  $\epsilon$ , the fact that  $r/\ln r \geq 7k\epsilon^{-2}$  implies

$$r > 2e + \frac{4ke}{\ln 2}.$$

Thus (3) holds, proving the theorem.  $\square$

### 3 Splitting Algorithm

In this section, we prove Theorem 2.

**Idea:** We will define a sequence of sets  $V = B_1 \supseteq B_2 \supseteq \dots \supseteq B_t$  such that if  $S \subseteq B_j \setminus B_{j+1}$  then  $\text{out}_i(S)$  is large enough and further that every vertex in  $B_j \setminus B_{j+1}$  has few neighbours in  $B_{j+1}$ . Then we will see that this latter condition accounts for the  $-(\alpha + 2\epsilon)r$  term in the theorem.

Assume we have  $B \subseteq V$ . Initially,  $B = V$ . We randomly colour the edges of  $G$  which are incident with  $B$ , with  $k$  colours. Note that

$$\begin{aligned}
\Pr \left( \exists S \subseteq B, i \in [k] \text{ s.t. } |S| > \ln n, G[S] \text{ is connected and } \Phi_{i,S} \leq \left(\frac{1-\epsilon}{k}\right) \Phi \right) \\
\leq kn \sum_{t \geq \ln n} (er)^{t-1} e^{-\epsilon^2 \Phi t / (2k)} \\
\leq 2kn(er)^{\ln n} e^{-\epsilon^2 \Phi \ln n / (2k)} \\
\leq \frac{1}{n}.
\end{aligned}$$

So, in a sense the large sets, take care of themselves. Now consider the smaller sets. Let

$$X_0 = \left\{ v : \exists S \subseteq B, |S| \leq \ln n, G[S] \text{ is connected}, v \in S \right. \\ \left. \text{and } i \in [k] \text{ s.t. } \Phi_{i,S} \leq \left( \frac{1-\epsilon}{k} \right) \Phi \right\}.$$

$X_0$  can be constructed in  $O(n(er)^{\ln n}) = O(n^{O(\ln r)})$  time.

$$\begin{aligned} \mathbf{E}(|X_0|) &\leq |B| \sum_{t=1}^{\ln n} (er)^{t-1} e^{-\epsilon^2 \Phi t / (2k)} \\ &\leq \frac{|B|}{er} \end{aligned}$$

since

$$\Phi \geq 4\epsilon^{-2} k \ln r > \frac{2k}{\epsilon^2} \ln(2er).$$

Therefore by Markov's Inequality,

$$\mathbf{Pr} \left( |X_0| \geq \frac{2|B|}{er} \right) \leq \frac{1}{2}.$$

We repeat the above colouring until we find that  $|X_0| \leq \frac{2|B|}{er}$ . Now recursively define  $X_j = X_{j-1} \cup \{v_j\}$  where  $v_j$  has at least  $(\frac{\alpha}{2} + \epsilon)r$  neighbours in  $X_{j-1}$ , if such a  $v_j$  exists. Now  $|S| \leq \gamma n$  implies that  $S$  contains at most

$$\frac{1}{2}(r|S| - |\text{out}(S)|) \leq \frac{1}{2}\alpha r|S|$$

edges. Furthermore,  $X_j$  has at least  $(\frac{\alpha}{2} + \epsilon)rj$  edges and at most  $j + \frac{2|B|}{er}$  vertices. Thus this process stops before  $j$  reaches  $\frac{\alpha|B|}{eer}$ , unless  $|X_j|$  exceeds  $\gamma n$  first. However, this latter possibility cannot happen since  $|X_0| + \frac{\alpha|B|}{eer} \leq (2 + \frac{\alpha}{\epsilon})\frac{1}{er}|B| \leq \gamma|B| \leq \gamma n$  since  $\gamma, \epsilon > r^{-\frac{1}{2}}, \alpha < 1$  implies  $\gamma > (2 + \frac{\alpha}{\epsilon})\frac{1}{er}$ . So if  $X$  denotes  $X_j$  when  $v_{j+1}$  cannot be found, then

$$|X| \leq \gamma|B|.$$

We will repeat the construction with  $B$  replaced by  $X$ . Let  $V = B_1 \supseteq B_2 \supseteq \dots \supseteq B_t$  be the sequence of sets constructed.  $B_t$  will be the first set of size at most  $\ln n/r$ . Since  $\gamma < \frac{1}{2}$ , we have  $t \leq \log_2 n$ . Thus the expected number of re-colourings needed is at most  $2 \log_2 n$  and is  $3 \log_2 n$  **whp**. We can "brute force" colour the edges incident with  $B_t$  so that every subset  $S$  of  $B_t$  satisfies  $\Phi_{i,S} \geq (\frac{1-\epsilon}{k})\Phi$ . We use Theorem 1 to justify the success of this. The sequence of sets  $B_1, B_2, \dots, B_t$  satisfies

- $|B_j| \leq \gamma^j n$ .
- $S \subseteq B_j \setminus B_{j+1}$  implies  $\Phi_{i,S} \geq \left(\frac{1-\epsilon}{k}\right) \Phi$ .
- $v \in B_j \setminus B_{j+1}$  implies  $v$  has at most  $\left(\frac{\alpha}{2} + \epsilon\right) rj$  neighbours in  $B_{j+1}$ .

So if  $S \subseteq V$  and  $S_j = S \cap (B_j \setminus B_{j+1})$  then using  $e(X, Y)$  to denote the number of edges from  $X$  to  $Y$ , we have

$$\begin{aligned}
|\text{out}_i(S)| &\geq \sum_{j=1}^{t-1} (|\text{out}_i(S_j)| - 2|e(S_j, B_{j+1})|) + |\text{out}_i(S_t)| \\
&\geq \sum_{j=1}^{t-1} \left( \frac{1-\epsilon}{k} \Phi - (\alpha + 2\epsilon) r \right) |S_j| + \left( \frac{1-\epsilon}{k} \right) \Phi |S_t| \\
&\geq \left( \frac{1-\epsilon}{k} \Phi - (\alpha + 2\epsilon) r \right) |S|.
\end{aligned}$$

□

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