

Self-propulsion in viscous fluids through shape deformation

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joint work with Gianni Dal Maso and Antonio DeSimone (SISSA)

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1 Swimming: motivations, definition, and history

2 Mathematics of swimming

- The mathematical model
- The equations of motion

3 Regularity

- Detection of the problem
- Solution of the problem

4 Mono-dimensional swimmer

- Optimal swimming strategy
- Controllability

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Motivations and definition

We are interested in the mathematical study of the motion of a micro-swimmer in a viscous fluid.

We give the following definition of swimming:

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Swimming is the ability of an organism to perform a variation of its spatial position caused by the variation of its shape, under the self-propulsion constraint.

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Definition

Self-propulsion means no external forces or momenta.

A brief (biased) history

- Taylor, Lighthill '50s, Purcell '70s, and Childress '80s.

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In our model, we build on these results to construct a framework with no constraints on the number of shape parameters and symmetry.

Movie time

(movie by [Luca Heltai](#) (SISSA))

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- **linearity**, of the dependance of the solution on the boundary data (good);

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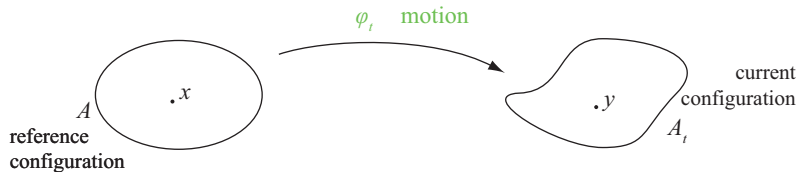
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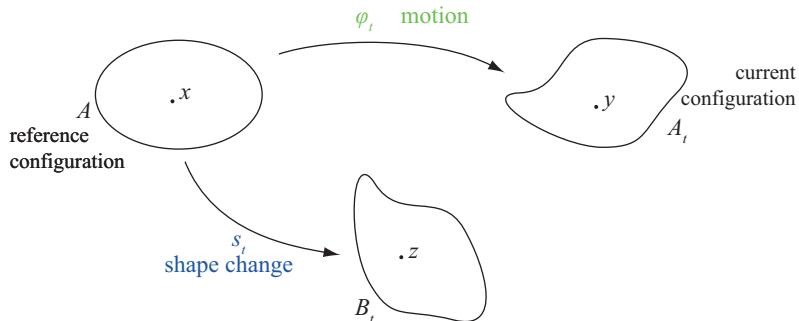
Two major properties:

- **linearity**, of the dependance of the solution on the boundary data (good);
- **time reversibility**, see the Scallop theorem; need of symmetry breaking to swim (can be dramatically bad).

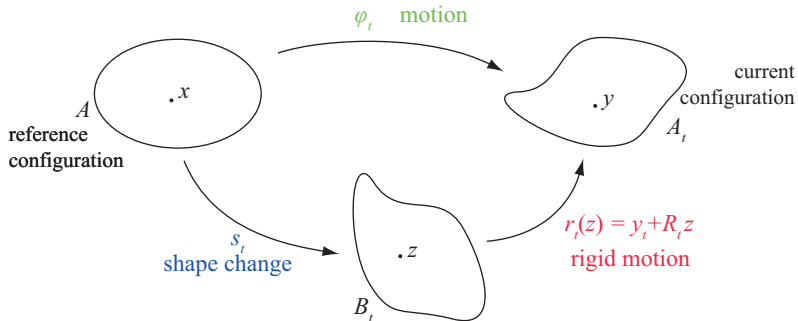
The model II: the swimmer



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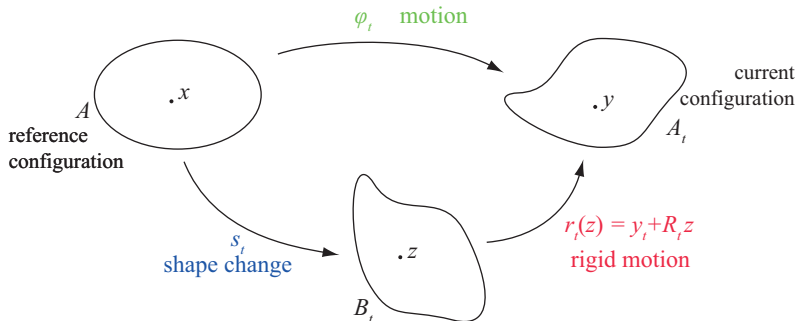


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$$\phi_t = \underbrace{r_t}_{\text{unknowns}} \circ \underbrace{s_t}_{\text{data}}$$

Data are infinite dimensional, while the unknowns are finite dimensional.

Main existence, uniqueness, and regularity result

Theorem

For every sufficiently smooth shape change $t \mapsto s_t$, the position functions $t \mapsto r_t$ are uniquely determined by the initial conditions at $t = 0$. More precisely, there exists a unique family of rigid motions $t \mapsto r_t$ such that the state functions $t \mapsto \varphi_t := r_t \circ s_t$ satisfy the equations of motion, and φ_t (or equivalently r_t) takes a prescribed value at $t = 0$.

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The equations of motion are derived from Newton's second law $F_t = ma_t$, where $F_t = F_t^{\text{ext}} + F_t^{\text{visc}}$.

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$$F_t^{\text{visc}} = \int_{\partial A_t} \sigma_t n_t \, ds(y) = 0, \quad M_t^{\text{visc}} = \int_{\partial A_t} y \times \sigma_t n_t \, ds(y) = 0.$$

Way to solve the equations

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How to write the equations in terms of \mathbf{s}_t and solve them?

Write Stokes equations in the reference frame of the swimmer

$\mathbf{B}_t := \mathbf{s}_t(\mathbf{A})$. The new boundary velocity is

$$\begin{aligned} \mathbf{V}_t(\mathbf{z}) &= \mathbf{R}_t^\top \dot{\mathbf{y}}_t + \mathbf{R}_t^\top \boldsymbol{\omega}_t \times \mathbf{z} + \mathbf{R}_t^\top \dot{\mathbf{s}}_t \circ \mathbf{s}_t^{-1} \\ &= \mathbf{V}_t^{\text{rigid}}[\dot{\mathbf{y}}_t, \boldsymbol{\omega}_t] + \mathbf{V}_t^{\text{shape}}[\dot{\mathbf{s}}_t \circ \mathbf{s}_t^{-1}], \end{aligned}$$

$\boldsymbol{\omega}_t$ being the axial vector of $\dot{\mathbf{R}}_t \mathbf{R}_t^\top$.

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$\boldsymbol{\omega}_t$ being the axial vector of $\dot{\mathbf{R}}_t \mathbf{R}_t^\top$. Then,

$$\begin{bmatrix} \mathbf{F}_t^{\text{visc}} \\ \mathbf{M}_t^{\text{visc}} \end{bmatrix} = - \begin{bmatrix} \mathbf{K}_t & \mathbf{C}_t^\top \\ \mathbf{C}_t & \mathbf{J}_t \end{bmatrix} \begin{bmatrix} \mathbf{R}_t^\top & \mathbf{0} \\ \mathbf{0} & \mathbf{R}_t^\top \end{bmatrix} \begin{bmatrix} \dot{\mathbf{y}}_t \\ \boldsymbol{\omega}_t \end{bmatrix} + \begin{bmatrix} \mathbf{F}_t^{\text{shape}} \\ \mathbf{M}_t^{\text{shape}} \end{bmatrix},$$

$\mathbf{F}_t^{\text{shape}}$ and $\mathbf{M}_t^{\text{shape}}$ being the viscous drag force and torque of a Stokes fluid with boundary velocity $\dot{\mathbf{s}}_t \circ \mathbf{s}_t^{-1}$.

$[\dots]$ is referred to as the *grand resistance matrix*.

The equations of motion

A simple manipulation yields

$$\begin{bmatrix} \dot{y}_t \\ \omega_t \end{bmatrix} = \begin{bmatrix} R_t & 0 \\ 0 & R_t \end{bmatrix} \begin{bmatrix} H_t & D_t^\top \\ D_t & L_t \end{bmatrix} \begin{bmatrix} F_t^{\text{shape}} \\ M_t^{\text{shape}} \end{bmatrix}$$

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$$\begin{aligned} \dot{y}_t &= R_t (H_t F_t^{\text{shape}} + D_t^\top M_t^{\text{shape}}), \\ \dot{\omega}_t &= R_t \mathcal{A} (D_t F_t^{\text{shape}} + L_t M_t^{\text{shape}}). \end{aligned}$$

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Now, use classical results from ODE theory to get existence, uniqueness, and regularity of the solutions y_t and R_t .

What do we need more? Regularity for the coefficients

$b_t(s_t, \dot{s}_t)$ and $\Omega_t(s_t, \dot{s}_t)$.

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Where the difficulty really is

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- (1) All the sub-matrices of the **grand resistance matrix**, and therefore its inverse, are **continuous** with respect to time. They are functions only of the **geometric shape** s_t .
- (2) All the difficulty sits in studying how F_t^{shape} and M_t^{shape} vary with respect to time. The hard thing to cope with is that they depend **both** on s_t and on $\dot{s}_t \circ s_t^{-1}$.

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Both cases are solved via a **variational technique**, which in case (2) is not straightforward.

How we solve the problem

The dependence of F_t^{shape} and M_t^{shape} on s_t and on $\dot{s}_t \circ s_t^{-1}$ simultaneously makes it hard to compare the external Stokes flows at different instants of time: there are difficulties in building a solenoidal velocity field that fits for these two different times.

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Could we ask for more regularity? Of course, we could; but cases when \dot{s}_t is not even continuous are interesting in the optimal controllability problems.

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- Solution of the resulting linear system of ODEs under minimal regularity assumptions on the data.
- We *proved* that the motion of a micro-swimmer is **uniquely** determined by the history of its shapes.

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Approximate theories for 1-d swimmers

We focus now on a mono-dimensional swimmer $\chi(\mathbf{s}, t) \in \mathbb{R}^2$, $(\mathbf{s}, t) \in [0, L] \times [0, T]$, performing a **planar** motion in a three-dimensional infinite viscous fluid. Approximate theories have been proposed to avoid the dimensional gap when stating the boundary conditions.

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$$f(\mathbf{s}, t) = C_{\parallel} \dot{\chi}_{\parallel}(\mathbf{s}, t) \chi'(\mathbf{s}, t) + C_{\perp} \dot{\chi}_{\perp}(\mathbf{s}, t) \mathbf{J} \chi'(\mathbf{s}, t),$$

$$m(\mathbf{s}, t) = \chi(\mathbf{s}, t) \times [C_{\parallel} \dot{\chi}_{\parallel}(\mathbf{s}, t) \chi'(\mathbf{s}, t) + C_{\perp} \dot{\chi}_{\perp}(\mathbf{s}, t) \mathbf{J} \chi'(\mathbf{s}, t)].$$

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The global drag force and momentum are given by

$$\mathbf{F}(t) = \int_0^L \mathbf{K}_{\chi}(s, t) \dot{\chi}(s, t) ds, \quad \mathbf{M}(t) = \int_0^L \chi(s, t) \times \mathbf{K}_{\chi}(s, t) \dot{\chi}(s, t) ds$$

Existence of an optimal swimming strategy

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$$\mathcal{P}(\chi) := \int_0^L \int_0^T \langle f(s, t), \dot{\chi}(s, t) \rangle \, ds dt = \int_0^L \int_0^T \langle \mathbf{K}_\chi(s, t) \dot{\chi}(s, t), \dot{\chi}(s, t) \rangle \, ds dt.$$

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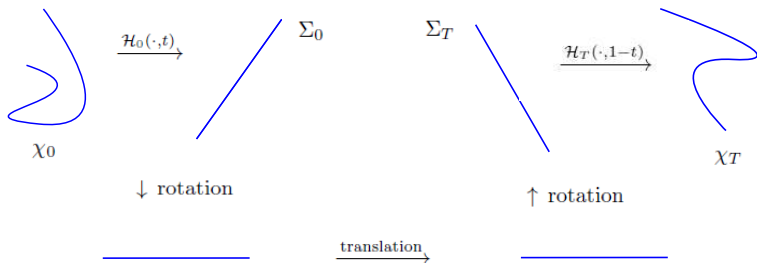
Theorem

The minimum problem

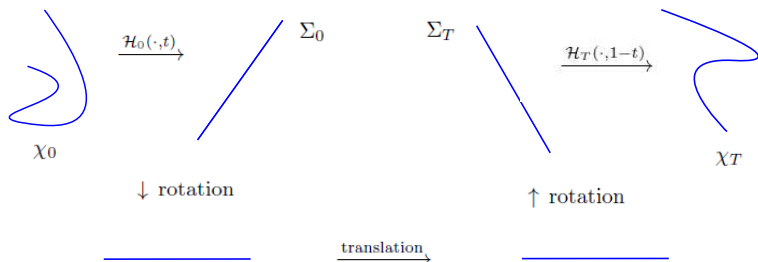
$$\min\{\mathcal{P}(\chi) : \chi \in \Xi, \chi(\cdot, 0) = \chi_0(\cdot), \chi(\cdot, T) = \chi_T(\cdot), (SP), \dots\},$$

where χ_0 and χ_T are assigned states, has a solution.

How to control the system

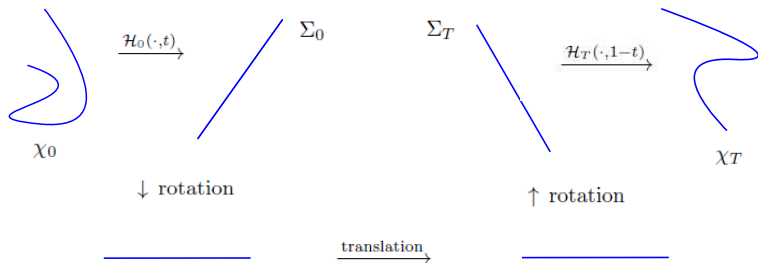


How to control the system



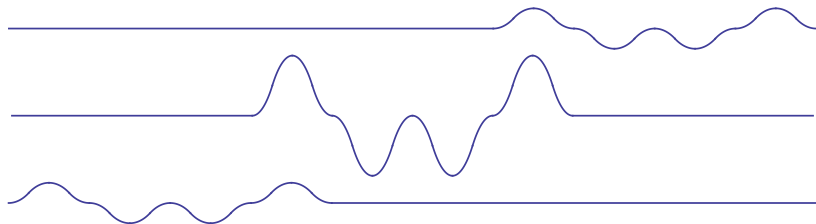
The maps \mathcal{H}_0 and \mathcal{H}_T exist by virtue of the equations of motion.

How to control the system

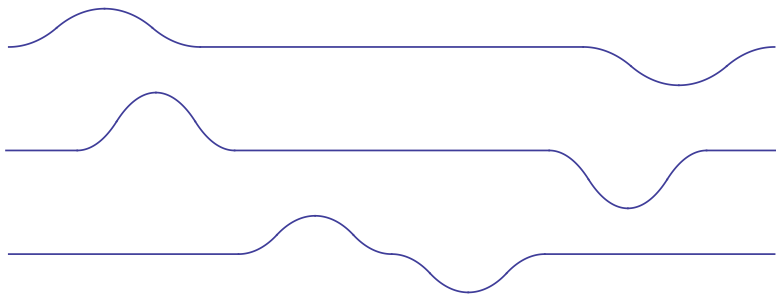


The maps \mathcal{H}_0 and \mathcal{H}_T exist by virtue of the equations of motion. We build a way to make a straight rod translate along its centerline and rotate around its center.

Translation



Rotation



1 Swimming: motivations, definition, and history

2 Mathematics of swimming

- The mathematical model
- The equations of motion













3 Regularity

- Detection of the problem
- Solution of the problem

4 Mono-dimensional swimmer

- Optimal swimming strategy
- Controllability

5 References

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Thank you very much for your attention!