

# Relaxation results for an optimal design problem with perimeter penalization

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# Outline

- C.- Zappale: 3D-2D dimension reduction for a nonlinear optimal design problem with perimeter penalization.  
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- More general models
- Next steps: different growths

## 3D-2D dimension reduction: setting the problem

For  $\varepsilon > 0$  let

$$\Omega(\varepsilon) := \omega \times (-\varepsilon, \varepsilon), \quad \omega \subset \mathbb{R}^2$$

and  $F_\varepsilon : BV(\Omega(\varepsilon); \{0, 1\}) \times W^{1,p}(\Omega(\varepsilon); \mathbb{R}^3) \rightarrow [0, +\infty]$ ,  $p > 1$

$$F_\varepsilon(\chi, v) := \frac{1}{\varepsilon} \left( \int_{\Omega(\varepsilon)} (\chi_{E(\varepsilon)} W_1 + (1 - \chi_{E(\varepsilon)}) W_2) (\nabla v) dx \right. \\ \left. - \int_{\Omega(\varepsilon)} f_\varepsilon \cdot v \, dx + \text{Per}(E(\varepsilon); \Omega(\varepsilon)) \right)$$

$$\lambda := \frac{1}{\mathcal{L}^3(\Omega(\varepsilon))} \int_{\Omega(\varepsilon)} \chi_{E(\varepsilon)}(x) \, dx \rightsquigarrow \text{volume fraction}$$

$E(\varepsilon) \subset \Omega(\varepsilon)$  has finite perimeter,  $f_\varepsilon \in L^{p'}(\Omega(\varepsilon))$ .

$W_i : \mathbb{R}^{3 \times 3} \rightarrow \mathbb{R}$  continuous satisfying

$$\alpha |\xi|^p \leq W_i(\xi) \leq \beta (1 + |\xi|^p), \quad \forall \xi \in \mathbb{R}^{3 \times 3}, \quad i = 1, 2, \quad (1)$$

for some  $\alpha, \beta > 0$ .

# Optimal Design Problem

$$\begin{aligned} \mathcal{C}_\varepsilon(\chi) = & - \inf_{v \in W^{1,p}} \left\{ \frac{1}{\varepsilon} \left( \int_{\Omega(\varepsilon)} (\chi_{E(\varepsilon)} W_1 + (1 - \chi_{E(\varepsilon)}) W_2) (\nabla v) dx \right. \right. \\ & \left. \left. - \int_{\Omega(\varepsilon)} f \cdot v \, dx + \text{Per}(E(\varepsilon); \Omega(\varepsilon)) \right) \right\} \end{aligned}$$

The best optimal design would be

$$-\sup_\chi \left\{ -\mathcal{C}_\varepsilon(\chi) : \chi \in BV(\Omega(\varepsilon); \{0, 1\}), \frac{1}{\mathcal{L}^3(\Omega(\varepsilon))} \int_{\Omega(\varepsilon)} \chi_{E(\varepsilon)} \, dx = \lambda \right\}$$

## Problem

$$\begin{aligned} \inf_{(\chi, u)} \left\{ F_\varepsilon(\chi, v) : v = 0 \text{ on } \partial\omega \times (-\varepsilon, \varepsilon), \frac{1}{\mathcal{L}^3(\Omega(\varepsilon))} \int_{\Omega(\varepsilon)} \chi_{E(\varepsilon)} \, dx = \lambda, \right. \\ \left. v \in W^{1,p}(\Omega(\varepsilon); \mathbb{R}^d), \chi \in BV(\Omega(\varepsilon); \{0, 1\}) \right\} \end{aligned}$$

# 3D-2D dimension reduction

- Question: What happens as  $\varepsilon \rightarrow 0^+$ ?

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- Question: What happens as  $\varepsilon \rightarrow 0^+$ ?
- Rescale the energies  $F_\varepsilon$

$$\Omega := \omega \times (-1, 1)$$

$$E_\varepsilon := \{(x_1, x_2, x_3) \in \Omega : (x_1, x_2, \varepsilon x_3) \in E(\varepsilon)\}$$

$$u(x_1, x_2, x_3) := v(x_1, x_2, \varepsilon x_3)$$

$$f(x_1, x_2, x_3) := f_\varepsilon(x_1, x_2, \varepsilon x_3)$$

$$\chi(x_1, x_2, x_3) := \chi_{E(\varepsilon)}(x_1, x_2, \varepsilon x_3)$$

$$\frac{1}{\varepsilon} \operatorname{Per}(E(\varepsilon); \Omega(\varepsilon)) = \frac{1}{\varepsilon} \left| D\chi_{E(\varepsilon)} \right|(\Omega(\varepsilon)) = \left| \left( D_\alpha \chi \Big| \frac{1}{\varepsilon} D_3 \chi \right) \right|(\Omega)$$

# Rescaled problem

## Problem

$$\inf_{\substack{u \in W^{1,p}(\Omega; \mathbb{R}^3) \\ \chi \in BV(\Omega; \{0,1\})}} \left\{ G_\varepsilon(\chi, u) : u = 0 \text{ on } \partial\omega \times (-1, 1), \frac{1}{\mathcal{L}^3(\Omega)} \int_\Omega \chi dx = \lambda \right\}$$

where

$$G_\varepsilon(\chi, u) := \int_\Omega V(\chi, (\nabla_\alpha u | \frac{1}{\varepsilon} \nabla_3 u)) dx - \int_\Omega f \cdot u dx + |(D_\alpha \chi | \frac{1}{\varepsilon} D_3 \chi)|(\Omega)$$

with

$$V(\chi, \nabla u) := (\chi W_1 + (1 - \chi) W_2)(\nabla u).$$

# Compactness

Define  $J_\varepsilon : L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3) \rightarrow [0, +\infty]$  by

$$J_\varepsilon(\chi, u) := \begin{cases} G_\varepsilon(\chi, u) & \text{in } BV(\Omega; \{0, 1\}) \times W^{1,p}(\Omega; \mathbb{R}^3), \\ +\infty & \text{otherwise.} \end{cases} \quad (2)$$

- Bounded admissible sequences for problem (2) are compact in  $L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3)$ .

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- Bounded admissible sequences for problem (2) are compact in  $L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3)$ .
- The limit is independent of the  $x_3$  variable

# Characterization of the Gamma limit

- Let  $\overline{W}_i : \mathbb{R}^{3 \times 2} \rightarrow [0, +\infty)$  be given by

$$\overline{W}_i(\overline{F}) := \inf_{z \in \mathbb{R}^3} W_i(\overline{F}|z), \quad \overline{F} \in \mathbb{R}^{3 \times 2}, \quad i = 1, 2.$$

and  $\overline{V} : \{0, 1\} \times \mathbb{R}^{3 \times 2} \rightarrow [0, +\infty)$  such that

$$\overline{V}(\chi, \overline{F}) := \chi \overline{W}_1(\overline{F}) + (1 - \chi) \overline{W}_2(\overline{F}),$$

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- $Q\overline{V}$  ... quasiconvexification of  $\overline{V}$  in the second variable.

$$Q\overline{V}(\chi, \overline{F}) := \inf \left\{ \int_{Q'} \overline{V}(\chi, \overline{F} + \nabla_\alpha \varphi) dx_\alpha : \varphi \in W_0^{1,p}(Q'; \mathbb{R}^3) \right\},$$

$Q'$  unit cube in  $\mathbb{R}^2$ .

# Main result

## Theorem

Let  $\Omega \subset \mathbb{R}^3$  be a bounded Lipschitz set and let  $W_i : \mathbb{R}^{3 \times 3} \rightarrow [0, +\infty)$ ,  $i = 1, 2$ , be continuous functions satisfying (3). Then

$$\Gamma = \lim_{\varepsilon \rightarrow 0^+} (L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3)) J_\varepsilon(\chi, u) = J_0(\chi, u)$$

for every  $(\chi, u) \in L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3)$ .

$$J_0 : L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3) \rightarrow [0, +\infty]$$

$$J_0(\chi, u) := \begin{cases} G_0(\chi, u) & \text{if } (\chi, u) \in BV(\omega; \{0, 1\}) \times W^{1,p}(\omega; \mathbb{R}^3), \\ +\infty & \text{otherwise,} \end{cases}$$

where

$$G_0(\chi, u) := 2 \int_{\omega} Q \bar{V}(\chi, \nabla_{\alpha} u) dx_{\alpha} - \int_{-1}^1 \int_{\omega} f \cdot u dx_{\alpha} dx_3 + 2|D\chi|(\omega).$$

# Lower bound

Let

$$J(\chi, u) := \Gamma - \lim_{\varepsilon \rightarrow 0^+} (L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3)) J_\varepsilon(\chi, u)$$

For every  $(\chi, u) \in BV(\omega; \{0, 1\}) \times W^{1,p}(\omega; \mathbb{R}^3)$ , we claim that

$$\begin{aligned} J(\chi, u) &\geq 2 \int_{\omega} Q\bar{V}(\chi(x_\alpha), \nabla_\alpha u(x_\alpha)) dx_\alpha \\ &\quad - \int_{-1}^1 \int_{\omega} f(x_\alpha, x_3) u(x_\alpha) dx_\alpha dx_3 + 2 |D_\alpha \chi|(\omega). \end{aligned}$$

Let  $\{(\chi_\varepsilon, u_\varepsilon)\} \subset L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3)$  such that  $(\chi_\varepsilon, u_\varepsilon) \rightarrow (\chi, u)$  w.r.t. the strong topology of  $L^1(\Omega; \{0, 1\}) \times L^p(\Omega; \mathbb{R}^3)$ .

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- lower semicontinuity of the total variation
- Bulk energy  $\rightsquigarrow$  use Decomposition Lemma for scaled gradients

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- for the forces it is ok (because  $u_\varepsilon \rightarrow u$  in  $L^p(\Omega; \mathbb{R}^3)$ )
- lower semicontinuity of the total variation
- Bulk energy  $\rightsquigarrow$  use Decomposition Lemma for scaled gradients
- Superadditivity of  $\liminf$ .

## Gamma-Convergence result (Babadjian - Francfort)

Let  $G_\varepsilon : L^p(\Omega; \mathbb{R}^3) \rightarrow [0, +\infty]$  be given by

$$G_\varepsilon(u) := \begin{cases} \int_{\Omega} W(x_\alpha, (\nabla_\alpha u | \frac{1}{\varepsilon} \nabla_3 u)) dx - \int_{\Omega} f \cdot u dx & \text{if } u \in W^{1,p}(\Omega; \mathbb{R}^3), \\ +\infty & \text{otherwise} \end{cases}$$

where  $W : \omega \times \mathbb{R}^{3 \times 3} \rightarrow [0, +\infty)$  is a Carathéodory function satisfying the following growth condition

$$\frac{1}{C} |\xi|^p - C \leq W(x_\alpha, \xi) \leq C(1 + |\xi|^p)$$

for a.e.  $x_\alpha \in \omega$  and for every  $\xi \in \mathbb{R}^{3 \times 3}$  and some constant  $C > 0$ .

# Gamma-Convergence result (Babadjian - Francfort)

## Theorem

Under the above assumptions  $\{G_\epsilon\}$   $\Gamma$ -converges, with respect to  $L^p$ -strong convergence, to the functional

$$G(u) := \begin{cases} 2 \int_{\omega} \underline{W}(x_\alpha, \nabla_\alpha u) dx_\alpha - \int_{-1}^1 \int_{\omega} f \cdot u dx_\alpha dx_3 & \text{if } u \in W^{1,p}(\omega; \mathbb{R}^3), \\ +\infty & \text{otherwise.} \end{cases}$$

where the function  $\underline{W}$  is given by

$$\underline{W}(x_0, \bar{F}) := \inf_{\substack{\lambda > 0 \\ \varphi \in W^{1,p}(Q; \mathbb{R}^3)}} \left\{ \frac{1}{2} \int_Q W(x_0, \bar{F} + \nabla_\alpha \varphi, \lambda \nabla_3 \varphi) dx : \varphi \equiv 0 \right. \\ \left. \text{on } \partial Q' \times (-1, 1) \right\},$$

where  $Q := Q' \times (-1, 1)$ .

# Upper bound

For every  $\chi \in L^1(\Omega; \{0, 1\})$

$$J(\chi, u) \leq \liminf_{\varepsilon \rightarrow 0^+} J_\varepsilon(\chi, u_\varepsilon) \text{ if } u_\varepsilon \rightarrow u \text{ in } L^p(\Omega; \mathbb{R}^3).$$

Study the asymptotic behaviour

$$\int_{\Omega} (\chi W_1(\nabla_{\alpha} u_\varepsilon, \frac{1}{\varepsilon} \nabla_3 u_\varepsilon) + (1 - \chi) W_2(\nabla_{\alpha} u_\varepsilon, \frac{1}{\varepsilon} \nabla_3 u_\varepsilon)) dx - \int_{\Omega} f \cdot u_\varepsilon dx.$$

Define

$$W(x_\alpha, F) := \chi(x_\alpha) W_1(F) + (1 - \chi(x_\alpha)) W_2(F).$$

$$Q\overline{W}(x_\alpha, \overline{F}) = \underline{W}(x_\alpha, \overline{F}),$$

where  $\overline{W}$  is defined by  $\overline{W}(x_\alpha, \overline{F}) := \inf_{c \in \mathbb{R}^3} W(x_\alpha, (\overline{F}|_c)),$

# Upper bound

- Applying Gamma convergence result of Babadjian and Francfort there exists a sequence  $\{u_\varepsilon\}$  such that

$$\begin{aligned} & \lim_{\varepsilon \rightarrow 0^+} \left( \int_{\Omega} W(x_\alpha, (\nabla_\alpha u_\varepsilon, \frac{1}{\varepsilon} \nabla_3 u_\varepsilon)) dx - \int_{\Omega} f \cdot u_\varepsilon dx \right) \\ & \leq 2 \int_{\omega} Q \overline{W}(x_\alpha, \nabla_\alpha u) dx_\alpha - \int_{-1}^1 \int_{\omega} f \cdot u dx_\alpha dx_3. \end{aligned}$$

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- The proof is concluded by observing that

$$\overline{W}(x_0, \bar{F}) = \chi(x_0) \overline{W}_1(\bar{F}) + (1 - \chi(x_0)) \overline{W}_2(\bar{F}) = \overline{V}(\chi(x_0), \bar{F}),$$

$$Q\overline{W}(x_0, \bar{F}) = Q\overline{V}(\chi(x_0), \bar{F}).$$

for every  $(x_0, \bar{F}) \in \omega \times \mathbb{R}^{3 \times 2}$ .

## Relaxation p=1: settings

Let  $F : BV(\Omega; \{0, 1\}) \times W^{1,1}(\Omega; \mathbb{R}^d) \rightarrow [0, +\infty]$ ,

$$F(\chi, u) := \int_{\Omega} (\chi_E W_1 + (1 - \chi_E) W_2)(\nabla u) dx - \int_{\Omega} f \cdot u \, dx + \text{Per}(E; \Omega)$$

where  $\text{Per}(E; \Omega) = |D\chi_E|(\Omega)$ ,  $f \in L^\infty(\Omega; \mathbb{R}^d)$ .

$W_i : \mathbb{R}^{d \times N} \rightarrow \mathbb{R}$  continuous satisfying

$$\alpha |\xi| \leq W_i(\xi) \leq \beta (1 + |\xi|), \quad \forall \xi \in \mathbb{R}^{d \times N}, \quad i = 1, 2, \quad (3)$$

for some  $\alpha, \beta > 0$ .

$$\inf_{\substack{u \in W^{1,1}(\Omega; \mathbb{R}^d) \\ \chi_E \in BV(\Omega; \{0,1\})}} \left\{ \int_{\Omega} (\chi_E W_1(\nabla u) + (1 - \chi_E) W_2)(\nabla u) dx + \text{Per}(E; \Omega) \right. \\ \left. u = u_0 \text{ on } \partial\Omega \right\}$$

# Relaxation p=1

Relaxation in  $BV(\Omega; \{0, 1\}) \times W^{1,1}(\Omega; \mathbb{R}^d)$

$$\begin{aligned}\mathcal{F}_{OD}(\chi, u; A) = \inf \left\{ \lim_{n \rightarrow \infty} & \left( \int_A (\chi_n W_1 + (1 - \chi_n) W_2) (\nabla u_n) \, dx \right. \right. \\ & \left. \left. + |D\chi_n|(A) \right) : \{(\chi_n, u_n)\} \subseteq BV(A; \{0, 1\}) \times W^{1,1}(A; \mathbb{R}^d) \right. \\ & \left. \begin{array}{l} \chi_n \xrightarrow{*} \chi \text{ in } BV(A; \{0, 1\}) \\ u_n \rightarrow u \text{ in } L^1(\Omega; \mathbb{R}^d). \end{array} \right\}\end{aligned}$$

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- $QV$  ... quasiconvex envelope of  $V$ ,  
 $QV^\infty$  ... recession function of  $QV$ , namely,

$$QV^\infty(q, z) := \lim_{t \rightarrow \infty} \frac{QV(q, tz)}{t}.$$

# Integral representation

## Theorem

Let  $\Omega \subset \mathbb{R}^N$  be a bounded open set and let  $W_i : \mathbb{R}^{d \times N} \rightarrow [0, +\infty)$ ,  $i = 1, 2$ , be continuous functions satisfying (3). Then for every  $(\chi, u) \in L^1(\Omega; \{0, 1\}) \times L^1(\Omega; \mathbb{R}^d)$

$$\mathcal{F}_{OD}(\chi, u; A) = \begin{cases} \overline{\mathcal{F}_{OD}}(\chi, u; A) & \text{if } (\chi, u) \in BV(\Omega; \{0, 1\}) \times BV(\Omega; \mathbb{R}^m), \\ +\infty & \text{otherwise,} \end{cases}$$

where

$$\begin{aligned} \overline{\mathcal{F}_{OD}}(\chi, u; A) : &= \int_A QV(\chi, \nabla u) dx + \int_A QV^\infty \left( \chi, \frac{dD^c u}{d|D^c u|} \right) d|D^c u| \\ &+ \int_{J_{(\chi, u)} \cap A} K_2(\chi^+, \chi^-, u^+, u^-) d\mathcal{H}^{N-1} \end{aligned}$$

# Integral representation

The interaction is described through the following density

$$K_2(a, b, c, d, \nu) := \inf \left\{ \int_{Q_\nu} QV^\infty(\chi(x), \nabla u(x)) dx + |D\chi|(Q_\nu) : (\chi, u) \in \mathcal{A}_2(a, b, c, d, \nu) \right\},$$

$$\begin{aligned} \mathcal{A}_2(a, b, c, d, \nu) := & \left\{ (\chi, u) \in BV(Q_\nu; \{0, 1\}) \times W^{1,1}(Q_\nu; \mathbb{R}^d) : \right. \\ & (\chi(y), u(y)) = (a, c) \text{ if } y \cdot \nu = \frac{1}{2}, \\ & (\chi(y), u(y)) = (b, d) \text{ if } y \cdot \nu = -\frac{1}{2}, \\ & \left. (\chi, u) \text{ are } 1-\text{periodic in } \nu_1, \dots, \nu_{N-1} \text{ directions} \right\}, \end{aligned}$$

for  $(a, b, c, d, \nu) \in \{0, 1\} \times \{0, 1\} \times \mathbb{R}^d \times \mathbb{R}^d \times S^{N-1}$ , with  $Q_\nu$  the unit cube, centered at the origin, with axes parallel to  $\{\nu_1, \nu_2, \dots, \nu_{N-1}, \nu\}$ .

# Integral representation

- The surface energy can be specialized as follows

$$\begin{aligned} & \int_{J_{(\chi,u)}} K_2(\chi^+, \chi^-, u^+, u^-, \nu_{(\chi,u)}) d\mathcal{H}^{N-1} \\ &= \int_{J_u \setminus J_\chi} QV^\infty(\chi, (u^+ - u^-) \otimes \nu_u) d\mathcal{H}^{N-1} \\ &+ |D\chi|(\Omega \cap (J_\chi \setminus J_u)) + \int_{J_\chi \cap J_u} K_2(\chi^+, \chi^-, u^+, u^-, \nu_{(\chi,u)}) d\mathcal{H}^{N-1} \end{aligned}$$

## Relaxation: Sketch of the proof

- Key idea: consider the couple  $(\chi, u)$  as a unique BV-field  $U$ ,

$$\nabla U = (0, \nabla u) \quad \text{and} \quad D^c U = (0, D^c u).$$

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$$u \in SBV \rightarrow \int_{\Omega} f(x, \nabla u) dx + \int_{J_u} g(x, [u](x), \nu_u) d\mathcal{H}^{N-1}.$$

- ② Bouchitté-Fonseca-Mascarenhas ARMA (1998): deals with more general models.

# Relaxation: Sketch of the proof

## Lower bound

- Observe that

$$\overline{F_{\mathcal{OD}}}(\chi, u) \geq \varliminf_{n \rightarrow \infty} \int_{\Omega} (\chi_n W_1(\nabla u_n) + (1 - \chi_n) W_2(\nabla u_n)) \, dx. \quad (4)$$

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- (4) could go much lower than  $\overline{F_{\mathcal{OD}}}(\chi, u)$ .

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## Upper bound

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- We compute “Bulk” and “Cantor” parts exploiting the “Global Method for Relaxation” by Bouchitté-Fonseca-Mascarenhas 1998.
- For the jump we compute it directly again mixing Ambrosio-Braides JMPA (1993) and Fonseca-Rybka Proc. Roy. Soc. Edinburgh (1992).

# Comparison between the densities

- Clearly  $K_2 \geq K$ , where  $K$  is the following density

$$K(a, b, c, d, \nu) := \inf \left\{ \int_{Q_\nu} (QV^\infty(\nu(x), \nabla u(x)) + |\nabla \nu(x)|) dx : \right.$$
$$\left. (\nu, u) \in \mathcal{A}(a, b, c, d, \nu) \right\},$$

where

$$\begin{aligned} \mathcal{A}(a, b, c, d, \nu) := & \left\{ (\nu, u) \in W^{1,1}(Q_\nu; \mathbb{R}^{1+d}) : \right. \\ & (\nu(y), u(y)) = (a, c) \text{ if } y \cdot \nu = \frac{1}{2}, \quad (\nu(y), u(y)) = (b, d) \text{ if } y \cdot \nu = -\frac{1}{2} \\ & \left. (\nu, u) \text{ are } 1 - \text{periodic in } \nu_1, \dots, \nu_{N-1} \text{ directions} \right\}. \end{aligned}$$

# Comparison between the densities

- Recall Fonseca-Muller ARMA (1993)

$$\inf \left\{ \varliminf_{n \rightarrow \infty} \left( \int_{\Omega} (v_n W_1 + (1 - v_n) W_2) (\nabla u_n) dx + \int_{\Omega} |\nabla v_n| dx \right. \right.$$
$$\left. \left. : \{(v_n, u_n)\} \subset W^{1,1}(\Omega; [0, 1]) \times W^{1,1}(\Omega; \mathbb{R}^d) \right. \right.$$
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- The result has been applied for  $U \equiv (v, u)$ , now with  $U \in W^{1,1}(\Omega; \mathbb{R}^{1+d})$ .

What about  $K_2 \leq K$ ?

## More general models

- Relaxation in  $SBV_0(\Omega; \mathbb{R}^m) \times W^{1,1}(\Omega; \mathbb{R}^d)$

$$\begin{aligned}\mathcal{F}(v, u) &= \inf \left\{ \varliminf_{n \rightarrow \infty} \left( \int_{\Omega} f(v_n, \nabla u_n) dx + \int_{J_{v_n}} g(v_n^+, v_n^-, v_{v_n}) d\mathcal{H}^{N-1} \right. \right. \\ &\quad \left. \left. \{u_n\} \subset W^{1,1}(\Omega; \mathbb{R}^d), \quad \{v_n\} \subset SBV_0(\Omega; \mathbb{R}^m), \right. \right. \\ &\quad \left. \left. u_n \rightarrow u \text{ in } L^1(\Omega; \mathbb{R}^d) \right. \right. \\ &\quad \left. \left. v_n \rightarrow v \text{ in } L^1(\Omega; \mathbb{R}^m) \right. \right\}\end{aligned}$$

## More general models

Recall that

$$SBV_0(\Omega; \mathbb{R}^m) := \left\{ v \in BV(\Omega; \mathbb{R}^m) : D^c v = 0, \nabla v = 0, \mathcal{H}^{N-1}(J_v) < \infty \right\}$$

i.e.  $v \in SBV_0(\Omega; \mathbb{R}^m)$  iff there exists a Borel partition  $\{E_i\}$  of  $\Omega$  and a sequence  $\{v_i\} \subset \mathbb{R}^m$  such that

$$v = \sum_{i=1}^{\infty} v_i \chi_{E_i} \text{ a.e. } x \in \Omega,$$

$$\mathcal{H}^{N-1}(J_v \cap \Omega) = \frac{1}{2} \sum_{i=1}^{\infty} \mathcal{H}^{N-1}(\partial^* E_i \cap \Omega),$$

$$(v^+, v^-, \nu_v) \equiv (v_i, v_j, \nu_i) \text{ a.e. } x \in \partial^* E_i \cap \partial^* E_j \cap \Omega,$$

$\nu_i$  being the unit normal to  $\partial^* E_i \cap \partial^* E_j$ .

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- ④ there exist  $\alpha \in (0, 1)$ , and  $C, L > 0$  such that

$$t|\xi| > L \Rightarrow \left| f^\infty(v, \xi) - \frac{f(v, t\xi)}{t} \right| \leq C \frac{|\xi|^{1-\alpha}}{t^\alpha}, \quad \forall (v, \xi) \quad \forall t > 0,$$

with  $f^\infty$  the recession function of  $f$  w.r.t.  $\xi$ .

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- ③  $g(\lambda, \theta, \nu) = g(\theta, \lambda, -\nu)$ , for every  $(\lambda, \theta, \nu) \in \mathbb{R}^m \times \mathbb{R}^m \times S^{N-1}$ .

# General result

## Theorem

Let  $\Omega \in \mathcal{A}(\Omega)$  and  $f : \mathbb{R}^m \times \mathbb{R}^{d \times N} \rightarrow [0, +\infty[$  be a function satisfying  $(F_1) - (F_4)$  and  $g : \mathbb{R}^m \times \mathbb{R}^m \times S^{N-1} \rightarrow [0, +\infty[$  satisfying  $(G_1) - (G_3)$ . Then for every  $(v, u) \in L^1(\Omega; \mathbb{R}^m) \times L^1(\Omega; \mathbb{R}^d)$

$$\mathcal{F}(v, u; \Omega) = \begin{cases} \overline{F}_0(v, u; \Omega) & \text{if } (v, u) \in SBV_0(\Omega; \mathbb{R}^m) \times BV(\Omega; \mathbb{R}^d), \\ +\infty & \text{otherwise} \end{cases}$$

where  $\overline{F}_0 : SBV_0(\Omega; \mathbb{R}^m) \times BV(\Omega; \mathbb{R}^d) \times \mathcal{A}(\Omega) \rightarrow [0, +\infty]$  is given by

$$\begin{aligned} \overline{F}_0(v, u; A) &:= \int_A Qf(v, \nabla u) dx + \int_A Qf^\infty \left( v, \frac{dD^c u}{d|D^c u|} \right) d|D^c u| \\ &\quad + \int_{J_{(v,u)} \cap A} K_3(v^+, v^-, u^+, u^-, v) d\mathcal{H}^{N-1}. \end{aligned}$$

# Energy density

Let  $K_3 : \mathbb{R}^m \times \mathbb{R}^m \times \mathbb{R}^d \times \mathbb{R}^d \times S^{N-1} \rightarrow [0, +\infty[$  be defined as

$$K_3(a, b, c, d, \nu) := \inf \left\{ \int_{Q_\nu} Qf^\infty(\nu(x), \nabla u(x)) dx + \int_{J_\nu \cap Q_\nu} g(\nu^+(x), \nu^-(x), \nu(x)) d\mathcal{H}^{N-1} : (\nu, u) \in \mathcal{A}_3(a, b, c, d, \nu) \right\}$$

where

$$\begin{aligned} \mathcal{A}_3(a, b, c, d, \nu) := & \{ (\nu, u) \in SBV_0(Q_\nu; \mathbb{R}^m) \cap L^\infty(Q_\nu; \mathbb{R}^m) \times W^{1,1}(Q_\nu; \mathbb{R}^d) \\ & (\nu(y), u(y)) = (a, c) \text{ if } y \cdot \nu = \frac{1}{2}, \\ & (\nu(y), u(y)) = (b, d) \text{ if } y \cdot \nu = -\frac{1}{2}, \\ & (\nu, u) \text{ are } 1-\text{periodic in } \nu_1, \dots, \nu_{N-1} \}. \end{aligned}$$

# Auxiliary Lemma

## Lemma

Let  $Q := [0, 1]^N$  and

$$v_0(y) := \begin{cases} a & \text{if } x_N > 0, \\ b & \text{if } x_N < 0, \end{cases} \quad u_0(y) := \begin{cases} c & \text{if } x_N > 0, \\ d & \text{if } x_N < 0. \end{cases}$$

Let  $\{v_n\} \subset SBV_0(\Omega; \mathbb{R}^m)$  and  $\{u_n\} \subset W^{1,1}(\Omega; \mathbb{R}^d)$ , such that  $v_n \rightarrow v_0$  in  $L^1(Q; \mathbb{R}^m)$  and  $u_n \rightarrow u_0$  in  $L^1(Q; \mathbb{R}^d)$ . There exists  $\{(\zeta_n, \xi_n)\} \in \mathcal{A}_3(a, b, c, d, e_N)$  such that  $\zeta_n = v_0$  on  $\partial Q$ ,  $\zeta_n \rightarrow v_0$  in  $L^1(Q; \mathbb{R}^m)$ ,  $\xi_n = \rho_{i(n)} * u_0$  on  $\partial Q$ ,  $\xi_n \rightarrow u_0$  in  $L^1(Q; \mathbb{R}^d)$

$$\begin{aligned} & \overline{\lim}_{n \rightarrow \infty} \left( \int_Q Qf(\zeta_n, \nabla \xi_n) dx + \int_{J_{\xi_n} \cap Q} g(\zeta_n^+, \zeta_n^-, v_{\zeta_n}) d\mathcal{H}^{N-1} \right) \\ & \leq \underline{\lim}_{n \rightarrow \infty} \left( \int_Q Qf(v_n, \nabla u_n) dx + \int_{J_{v_n} \cap Q} g(v_n^+, v_n^-, v_{v_n}) d\mathcal{H}^{N-1} \right) \end{aligned}$$

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- Key idea: consider a unique field  $\textcolor{red}{U} \equiv (v, u)$ .

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  - Jump part: comes from an explicit calculation mixing techniques of Ambrosio-Braides and Fonseca-Muller.

## Jump part: sketch of the proof

- ① Case 1-  $U := (a, c) \chi_E(x) + (b, d)(1 - \chi_E(x))$  with  $\text{Per}(E; \Omega) < \infty$ .

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$$\begin{aligned} & \int_{J_{(v,u)}} K_3(v^+, v^-, u^+, u^-, \nu_{(v,u)}) d\mathcal{H}^{N-1} \\ &= \int_{J_u \setminus J_v} Qf^\infty(v, (u^+ - u^-) \otimes \nu_u) d\mathcal{H}^{N-1} \\ &+ \int_{J_v \setminus J_u} \mathcal{R}g(v^+, v^-, \nu_v) d\mathcal{H}^{N-1} \\ &+ \int_{J_v \cap J_u} K_3(v^+, v^-, u^+, u^-, \nu_{(v,u)}) d\mathcal{H}^{N-1}. \end{aligned}$$

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- $\mathcal{R}g$  is the BV-elliptic envelope of  $g$ !

## In progress: GAP

Let  $W_i : \mathbb{R}^{d \times N} \rightarrow \mathbb{R}$  be continuous such that  $\exists \alpha, \beta > 0$

$$\alpha |\xi|^p \leq W_i(\xi) \leq \beta(1 + |\xi|^q) \quad \forall \xi \in \mathbb{R}^{d \times N}, \quad 1 < p < q < \frac{Np}{N-1}, \quad i = 1, 2$$

$$F(\chi, u) := \int_{\Omega} \chi_E W_1(\nabla u) + (1 - \chi_E) W_2(\nabla u) \, dx + |D\chi_E|(\Omega).$$

$$\begin{aligned} \mathcal{F}(\chi, u; A) := \inf \Big\{ & \liminf_{n \rightarrow \infty} F(\chi_n, u_n; A) : \{u_n\} \subset W^{1,q}(A; \mathbb{R}^d), \\ & \{\chi_n\} \subset BV(A; \{0, 1\}) \quad u_n \rightharpoonup u \text{ in } W^{1,p}(A; \mathbb{R}^d), \\ & \chi_n \xrightarrow{*} \chi \text{ in } BV(A; \{0, 1\}) \Big\} \end{aligned}$$