

# Classical scattering theory and applications to computing invariant manifolds in chemistry

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- We consider a general vector field  $\mathcal{U}$  in  $\mathbb{R}^n$  subject to a perturbation  $\mathcal{P}$  that is localized in time

$$\mathcal{V}(x, t) = \mathcal{U}(x, t) + \mathcal{P}(x, t) \quad (1.1)$$

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- where, for instance,  $|\mathcal{P}(x, t)| \leq Ce^{-\lambda|t|}$ ,  $\mathcal{P}$  is compactly supported in time, etc.

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- We expect the flow  $U_{t_0}^t$  of  $\mathcal{U}$  to behave like the flow  $V_{t_0}^t$  of  $\mathcal{V}$  for  $|t|$  large

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- Example: A satellite being perturbed by the passage of an asteroid or comet

# The Wave and scattering maps

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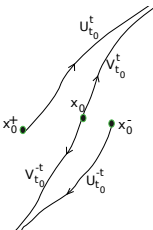
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- We make precise the notion that the  $V_{t_0}^t$  behaves like  $U_{t_0}^t$  for large  $|t|$ .





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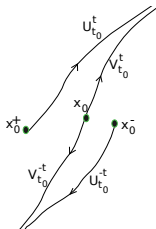
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- The wave maps compute  $x_0^+$  and  $x_0^-$  knowing  $x_0$

$$\Omega_{\pm}^{t_0} = \lim_{T \rightarrow \pm\infty} U_T^{t_0} \circ V_{t_0}^T \quad (1.2)$$

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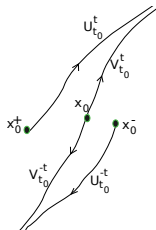
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$$\Omega_{\pm}^{t_0} = \lim_{T \rightarrow \pm\infty} U_T^{t_0} \circ V_{t_0}^T \quad (1.2)$$

- The scattering map takes  $x_0^-$  to  $x_0^+$

$$s^{t_0} = \Omega_+^{t_0} \circ (\Omega_-^{t_0})^{-1} \quad (1.3)$$

# Existence and Intertwining relations

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- The Omega maps exist when, roughly speaking, the decay rate of  $\mathcal{P}$  is larger than the growth rate  $\|DU_{t_0}^t\|$  of  $U_{t_0}^t$  (More Later!)

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- Implications of the existence of the Omega maps: The intertwining relations

$$\Omega_{\pm}^s \circ V_{t_0}^s = U_{t_0}^s \circ \Omega_{\pm}^{t_0} \quad (2.1)$$

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$$\Omega_{\pm}^s \circ V_{t_0}^s = U_{t_0}^s \circ \Omega_{\pm}^{t_0} \quad (2.1)$$

- We then obtain the time-dependent conjugacy:  
$$V_{t_0}^s = (\Omega_{\pm}^s)^{-1} \circ U_{t_0}^s \circ \Omega_{\pm}^{t_0}$$

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- We then obtain the time-dependent conjugacy:  
$$V_{t_0}^s = (\Omega_{\pm}^s)^{-1} \circ U_{t_0}^s \circ \Omega_{\pm}^{t_0}$$
- If we “automonize” the flows, one can show that the flows  $U_{t_0}^t$  and  $V_{t_0}^t$  are conjugate in the extended phase space.

# Applications to invariant manifolds

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- First consider the example: Let  $U$  be an autonomous flow and  $x_0$  a fixed point.

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- First consider the example: Let  $U$  be an autonomous flow and  $x_0$  a fixed point.
- Question: what is the corresponding object in the for the non-autonomous flow  $V_{t_0}^t$ ?



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- Question: what is the corresponding object in the for the non-autonomous flow  $V_{t_0}^t$ ?
- For each starting time, we have a point  $\bar{x}_0(t_0)$  that satisfies

$$V_{t_0}^t(\bar{x}_0(t_0)) = \bar{x}_0(t) \quad (2.2)$$

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- This property is called *Time-dependent invariance*

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- This property is called *Time-dependent invariance*
- If  $x_0$  is *hyperbolic* and has stable and unstable manifolds  $W^s(x_0)$ ,  $W^u(x_0)$  then there are corresponding time dependent invariant stable manifolds  $W_{t_0}^s(\bar{x}_0(t_0))$ ,  $W_{t_0}^u(\bar{x}_0(t_0))$

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- A more general notion: Start with a *time-dependent normally hyperbolic invariant manifold* (TDNHIM) of  $U_{t_0}^t$ , i.e. a “normally hyperbolic” family  $M_{t_0}$  satisfying

$$U_{t_0}^t(M_{t_0}) = M_t \quad (2.3)$$

## Proposition

Let  $M_{t_0}$  be a TDNHIM for  $U_{t_0}^t$  and assume that  $\Omega_{\pm}^{t_0}$  exist. Then

$$N_{t_0}^{\pm} := (\Omega_{\pm}^{t_0})^{-1}(M_{t_0}) \quad (2.4)$$

is a TDNHIM for  $V_{t_0}^t$ . Similarly,  $\Omega_{\pm}^{t_0}$  takes the stable and unstable manifolds of  $M_{t_0}$  to those of  $N_{t_0}$ .

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- Since  $(\Omega_{\pm}^{t_0})^{-1} \approx V_T^{t_0} \circ U_{t_0}^T$  one can use this to numerically compute invariant objects for  $V_{t_0}^t$

# Derivation of existence result: Cook's method

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$$\Omega_+^{t_0, T} := U_T^{t_0} \circ V_{t_0}^T \quad (2.5)$$

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- We show that the sequence  $\{\Omega_+^{t_0, N}\}$  is Cauchy in  $C^k(B_R)$  for every ball  $B_R$ .
- By the fundamental theorem of calculus we have

$$\Omega_+^{t_0, T+1} - \Omega_+^{t_0, T} = \int_T^{T+1} \frac{d}{d\sigma} \Omega_+^{t_0, \sigma} d\sigma \quad (2.6)$$



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- We deduce that

$$\sum_{N > t_0} \|\Omega_+^{t_0, N+1} - \Omega_+^{t_0, N}\| \leq \int_{t_0}^{\infty} \left\| \frac{d}{d\sigma} \Omega_+^{t_0, \sigma} \right\| d\sigma \quad (2.7)$$

# Computing $\frac{d}{d\sigma}\Omega_+^{t_0,\sigma}$ where $\Omega_+^{t_0,\sigma} = U_\sigma^{t_0} \circ V_{t_0}^\sigma$

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- We just saw that if

$$\int_{t_0}^{\infty} \left\| \frac{d}{d\sigma} \Omega_+^{t_0,\sigma} \right\| d\sigma < \infty \quad (2.8)$$

Then  $\Omega_+^{t_0}$  exists

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- $\Omega_+^{t_0,\sigma}$  is one-parameter (in  $\sigma$ ) family of diffeomorphisms and we can define its *Generator*  $\mathcal{O}^+$  by

$$\mathcal{O}_\sigma^+(\Omega_+^{t_0,\sigma}(x)) = \frac{d}{d\sigma} \Omega_+^{t_0,\sigma}(x) \quad (2.9)$$

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$$\mathcal{O}_\sigma^+(\Omega_+^{t_0,\sigma}(x)) = \frac{d}{d\sigma} \Omega_+^{t_0,\sigma}(x) \quad (2.9)$$

- Note that the generator of  $V_{t_0}^\sigma$  is simply  $\mathcal{V}_\sigma$  and we can use *Deformation theory* to compute the generators of compositions (e.g.  $U_\sigma^{t_0} \circ V_{t_0}^\sigma$ ) and inverses (e.g.  $U_\sigma^{t_0} = (U_{t_0}^\sigma)^{-1}$ )

# Existence result

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## Theorem

<sup>1</sup> Suppose that

$$I_{\pm}(t_0) := \int_{t_0}^{\pm\infty} \| (DU_{\sigma}^{t_0} (\mathcal{V}_{\sigma} - \mathcal{U}_{\sigma})) \circ V_{t_0}^{\sigma} \|_{C^k(B_R)} d\sigma < \infty \quad (2.10)$$

Then the wave maps exist and are in  $C^k(B_R)$  for all  $R$ .

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<sup>1</sup>D. Blazeovski and R. de la Llave, *Time-dependent scattering theory for ODEs and applications to reaction dynamics*, Journal of Physics A: Mathematical and Theoretical **44** (2011), no. 19, 195101

# Particular cases

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# Particular cases

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invariant  
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chemistry

D. Blazevski

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Theory:  
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intertwining  
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$$I_{\pm}(t_0) = \int_{t_0}^{\pm\infty} \| (DU_{\sigma}^{t_0} (\mathcal{V}_{\sigma} - \mathcal{U}_{\sigma})) \circ V_{t_0}^{\sigma} \|_{C^k(B_R)} d\sigma < \infty$$

- This holds if  $\mathcal{P}_{\sigma} = \mathcal{V}_{\sigma} - \mathcal{U}_{\sigma}$  is compactly supported in  $\sigma$

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- This holds if  $\mathcal{P}_{\sigma} = \mathcal{V}_{\sigma} - \mathcal{U}_{\sigma}$  is compactly supported in  $\sigma$
- If  $\|DU_{t_0}^t\| \leq Ce^{\mu t}$  and  $|\mathcal{P}| \leq Ce^{-\lambda t}$  with  $\mu < \lambda$



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- If  $\|DU_{t_0}^t\| \leq Ce^{\mu t}$  and  $|\mathcal{P}| \leq Ce^{-\lambda t}$  with  $\mu < \lambda$
- If  $\|DU_{t_0}^t\| \leq Ct$  and  $|\mathcal{P}| \leq C/(1+t^3)$

# Invertibility, or *asymptotic completeness*

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- When are  $\Omega_{\pm}^{t_0}$  invertible?

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- When are  $\Omega_{\pm}^{t_0}$  invertible?
- The intertwining relations imply:  $\Omega_+^s = U_{t_0}^s \circ \Omega_+^{t_0} \circ V_s^{t_0}$

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- Thus, invertibility for one  $t_0$  implies invertibility for all  $s$
- Recall that we have

$$\Omega_+^{t_0,t} - \Omega_+^{t_0,s} = \int_s^t \frac{d}{d\sigma} \Omega_+^{\sigma} d\sigma \quad (2.11)$$

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$$\Omega_+^{t_0, t} - \Omega_+^{t_0, s} = \int_s^{t_0} \frac{d}{d\sigma} \Omega_+^\sigma d\sigma$$

- If  $s = t_0$  then  $\Omega_+^{t_0, t_0}(x) = x$  and we let  $t \rightarrow \infty$

$$\|\Omega_+^{t_0} - \text{Id}\| \leq I(t_0) = \int_{t_0}^{\infty} \| (DU_\sigma^{t_0} (\mathcal{V}_\sigma - \mathcal{U}_\sigma)) \circ V_{t_0}^\sigma \| d\sigma \quad (2.12)$$

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■

$$\Omega_+^{t_0, t} - \Omega_+^{t_0, s} = \int_s^{t_0} \frac{d}{d\sigma} \Omega_+^\sigma d\sigma$$

■ If  $s = t_0$  then  $\Omega_+^{t_0, t_0}(x) = x$  and we let  $t \rightarrow \infty$

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■ Thus if  $I(t_0) \rightarrow 0$  as  $t_0 \rightarrow \infty$  then  $\Omega_+^{t_0}$  becomes closer to the identity, and hence invertible

## Proposition

*If  $\lim_{t_0 \rightarrow \infty} I(t_0) = 0$  then  $\Omega_+^{t_0}$  is invertible for all  $t_0$*



# Perturbative calculations

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- We will now consider the case of

$$\mathcal{V}_t^\epsilon = \mathcal{U}_t + \epsilon \mathcal{P}_t \quad (2.13)$$

and expand  $s_\epsilon^{t_0}$  in epsilon.

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and expand  $s_\epsilon^{t_0}$  in epsilon.

- We write

$$s_\epsilon^{t_0} = \text{Id} + \epsilon \left( \frac{d}{d\epsilon} s_{t_0}^\epsilon \right) + \mathcal{O}(\epsilon^2) \quad (2.14)$$

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$$s_\epsilon^{t_0} = \text{Id} + \epsilon \left( \frac{d}{d\epsilon} s_{t_0}^\epsilon \right) + \mathcal{O}(\epsilon^2) \quad (2.14)$$

- Again use deformation theory, though this time with respect to  $\epsilon$ . Generator for  $V_\epsilon$  is given using the variation of parameters formula

# Fermi's Golden Rule

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Let  $U_{t_0}^t$  be the flow for the Hamiltonian  $H_0$  and  $V(\epsilon)$  the flow for  $H = H_0 + \epsilon h$ .

## Theorem

*Suppose that the wave maps  $s_\epsilon^{t_0}$  exists and is smooth. Then  $s_\epsilon^{t_0}$  is the time- $\epsilon$  map of the Hamiltonian*

$$S_\epsilon^{t_0} = \lim_{T \rightarrow \infty} \int_{-T}^T h \circ V_T^\sigma(\epsilon) \circ U_{t_0}^T d\sigma \quad (2.15)$$

# Corollary

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## Corollary

*As a consequence*

$$s_{\epsilon}^{t_0} = Id + \epsilon J \nabla S_0^{t_0} + \mathcal{O}(\epsilon^2) \quad (2.16)$$

*and if  $F$  is any observable*

$$F \circ s_{\epsilon}^{t_0} = F + \epsilon \{F, S_0^{t_0}\} + \mathcal{O}(\epsilon^2) \quad (2.17)$$

*Note that*

$$S_0^{t_0} = \lim_{T \rightarrow \infty} \int_{-T}^T h \circ U_{t_0}^{\sigma} d\sigma$$

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- Application to perturbations of integrable systems:  
$$H(I, \theta) = H_0(I) + \epsilon h(I, \theta)$$

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- Application to perturbations of integrable systems:

$$H(I, \theta) = H_0(I) + \epsilon h(I, \theta)$$

- For  $H_0$  the action  $I$  is conserved:

$$(I(t), \theta(t)) = (I_0, \theta_0 + \nabla H_0(I_0)t)$$

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- Using averaging or KAM theory one can estimate the slow variable  $I_\epsilon(t)$  for the perturbed system for  $|t|$  large



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- Using averaging or KAM theory one can estimate the slow variable  $I_\epsilon(t)$  for the perturbed system for  $|t|$  large
- Using scattering theory we can compute the change in any variable, fast or slow, using

$$F \circ s_\epsilon^{t_0} = F + \epsilon \{F, S_0^{t_0}\} + \mathcal{O}(\epsilon^2) \quad (2.18)$$

which holds for *any* observable, including the coordinate functions, i.e.  $F(I, \theta) = \theta$  or  $F(I, \theta) = I$ .

# Comparison to quantum mechanics

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- For Schroedinger's equation:  $i\partial_t U(t) = H(t)U(t)$  we can still define the wave maps  $\Omega_{\pm}^{t_0}$

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- For Schroedinger's equation:  $i\partial_t U(t) = H(t)U(t)$  we can still define the wave maps  $\Omega_{\pm}^{t_0}$
- Classically,  $s_0^t$  computes the asymptotic future knowing the asymptotic past, and quantum mechanically we have

$$\langle u_+ | s^{t_0} | u_- \rangle = \int u_+(x) \overline{s^{t_0} u_-(x)} dx \quad (2.19)$$

does this probabilistically.

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does this probabilistically.

- For Hamiltonians  $H = H_0 + \epsilon H_1$  one can prove that

$$s_{\epsilon}^{t_0} = s_0^{t_0} + \epsilon \lim_{T \rightarrow \infty} U_T^{t_0} \left( \int_{-T}^T i H_1 U_{t_0}^T ds \right) U_T^{t_0} + \mathcal{O}(\epsilon^2) \quad (2.20)$$

# Application: Numerics for invariant manifolds in chemistry

Classical scattering theory and applications to computing invariant manifolds in chemistry

D. Blazevski

Background: Definitions of the wave and scattering maps

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Applications to chemistry

- We start with the Henon-Heiles Hamiltonian

$$H_0(x, y, p_x, p_y) = \frac{1}{2}(p_x^2 + p_y^2) + \frac{1}{2}(x^2 + y^2) + x^2y - \frac{1}{3}y^3 \quad (3.1)$$

---

<sup>2</sup>S. Kawai, A. Bandrauk, C. Jaffe, T. Bartsch, J. Palacian, and T. Uzer, *Transition state theory for laser-driven reactions*, The Journal of Chemical Physics **126** (2007), no. 16, 164306

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- And consider the time-dependent perturbation <sup>2</sup>

$$H = H_0 + \mathcal{E}(t) \exp(-\alpha x^2 - \beta y^2) \quad (3.2)$$

and  $\mathcal{E}(t)$  is smooth and supported in  $[-4.2, 4.2]$ .

---

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and  $\mathcal{E}(t)$  is smooth and supported in  $[-4.2, 4.2]$ .

- $H_0$  has 3 unstable fixed points and according to *transition state theory* their stable and unstable manifolds separate regions of phase space leading to an outcome of a reaction

---

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- We use scattering theory to compute invariant object for the laser-driven system knowing the invariant object for unperturbed system



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- We use scattering theory to compute invariant object for the laser-driven system knowing the invariant object for unperturbed system
- In this case, we have  $\Omega_{\pm}^{t_0} = U_{\pm T}^{t_0} V_{t_0}^{\pm T}$ , where  $T = 4.2$

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- In this case, we have  $\Omega_{\pm}^{t_0} = U_{\pm T}^{t_0} V_{t_0}^{\pm T}$ , where  $T = 4.2$
- Recall  $N_{t_0} = (\Omega_{\pm}^{t_0})^{-1}(M_{t_0})$ , and thus the invariant objects are obtained by integrating trajectories for a finite time

# Unperturbed invariant objects

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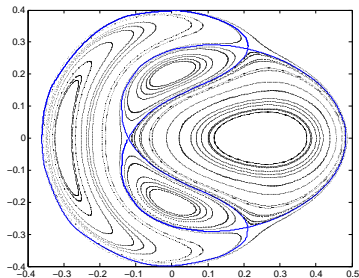
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- We fix the energy to be  $e = 1/12$  and consider a Poincare section



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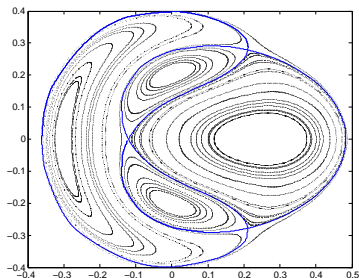
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- That is, we take initial condition  $(0, y, p_x(y, p_y), p_y)$  and compute “crossings”, i.e. when  $x = 0$ .

# Perturbed invariant objects

Classical scattering theory and applications to computing invariant manifolds in chemistry

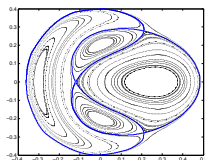
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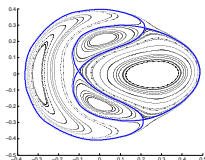
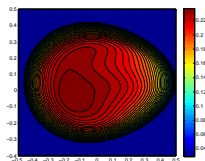
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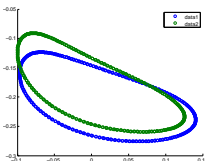
We use the conjugacy  $\Omega_+^{t_0}$  with  $t_0 = -4.2$ <sup>3</sup>



unperturbed



perturbed



$$D(y, p_y) = \| (\Omega_+^{t_0})^{-1} - \text{Id} \| \quad \text{Symmetry is broken}$$

<sup>3</sup>D. Blazevski and J. Franklin, *Classical scattering theory and invariant manifolds for the laser-driven henon-heiles system*, In preparation

# Concluding remarks

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intertwining  
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perturbative  
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Applications  
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- New areas of applications: In celestial mechanics this could explain the formation of trans-Neptunian binaries <sup>4</sup>

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<sup>4</sup>A. G. Suárez, D. Hestroffer, and D. Farrelly, *Formation of the extreme Kuiper-belt binary 2001 QW<sub>322</sub> through adiabatic switching of orbital elements*, Celestial Mech. Dynam. Astronom. **106** (2010), no. 3, 245–259. [2600368](#)

# Concluding remarks

Classical  
scattering  
theory and  
applications to  
computing  
invariant  
manifolds in  
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D. Blazevski

Background:  
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Applications  
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- New areas of applications: In celestial mechanics this could explain the formation of trans-Neptunian binaries <sup>4</sup>
- Extension to infinite dimensions, e.g. new results for Schroedinger's equation

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# Thank You!

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Thank you for your attention!