

# RELAXATION OF $p$ -GROWTH INTEGRAL FUNCTIONALS UNDER SPACE-DEPENDENT DIFFERENTIAL CONSTRAINTS

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ABSTRACT. A representation formula for the relaxation of integral energies

$$(u, v) \mapsto \int_{\Omega} f(x, u(x), v(x)) dx,$$

is obtained, where  $f$  satisfies  $p$ -growth assumptions,  $1 < p < +\infty$ , and the fields  $v$  are subjected to space-dependent first order linear differential constraints in the framework of  $\mathcal{A}$ -quasiconvexity with variable coefficients.

## 1. INTRODUCTION

The analysis of constrained relaxation problems is a central question in materials science. Many applications in continuum mechanics and, in particular, in magnetoelasticity, rely on the characterization of minimizers of non-convex multiple integrals of the type

$$u \mapsto \int_{\Omega} f(x, u(x), \nabla u(x), \dots, \nabla^k u(x)) dx$$

or

$$(u, v) \mapsto \int_{\Omega} f(x, u(x), v(x)) dx, \tag{1.1}$$

where  $\Omega$  is an open, bounded subset of  $\mathbb{R}^N$ ,  $u : \Omega \rightarrow \mathbb{R}^m$ ,  $m \in \mathbb{N}$ , and the fields  $v : \Omega \rightarrow \mathbb{R}^d$ ,  $d \in \mathbb{N}$ , satisfy partial differential constraints of the type “ $\mathcal{A}v = 0$ ” other than  $\text{curl } v = 0$  (see e.g. [5, 9]).

In this paper we provide a representation formula for the relaxation of non-convex integral energies of the form (1.1), in the case in which the energy density  $f$  satisfies  $p$ -growth assumptions, and the fields  $v$  are subjected to linear first-order space-dependent differential constraints.

The natural framework to study this family of relaxation problems is within the theory of  $\mathcal{A}$ -quasiconvexity with variable coefficients. In order to present this notion, we need to introduce some notation.

For  $i = 1 \dots, N$ , let  $A^i \in C^\infty(\mathbb{R}^N; \mathbb{M}^{l \times d}) \cap W^{1, \infty}(\mathbb{R}^N; \mathbb{M}^{l \times d})$ , let  $1 < p < +\infty$ , and consider the differential operator

$$\mathcal{A} : L^p(\Omega; \mathbb{R}^d) \rightarrow W^{-1, p}(\Omega; \mathbb{R}^l), \quad d, l \in \mathbb{N},$$

defined as

$$\mathcal{A}v := \sum_{i=1}^N A^i(x) \frac{\partial v(x)}{\partial x_i} \tag{1.2}$$

for every  $v \in L^p(\Omega; \mathbb{R}^d)$ , where (1.2) is to be interpreted in the sense of distributions. Assume that the symbol  $\mathbb{A} : \mathbb{R}^N \times \mathbb{R}^N \rightarrow \mathbb{M}^{l \times d}$ ,

$$\mathbb{A}(x, w) := \sum_{i=1}^N A^i(x) w_i \quad \text{for } (x, w) \in \mathbb{R}^N \times \mathbb{R}^N,$$

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satisfies the uniform constant rank condition (see [22])

$$\text{rank } \mathbb{A}(x, w) = r \quad \text{for every } x \in \mathbb{R}^N \text{ and } w \in \mathbb{S}^{n-1}. \quad (1.3)$$

Let  $Q$  be the unit cube in  $\mathbb{R}^N$  with sides parallel to the coordinate axis, i.e.,

$$Q := \left( -\frac{1}{2}, \frac{1}{2} \right).$$

Denote by  $C_{\text{per}}^\infty(\mathbb{R}^N; \mathbb{R}^m)$  the set of  $\mathbb{R}^m$ -valued smooth maps that are  $Q$ -periodic in  $\mathbb{R}^N$ , and for every  $x \in \Omega$  consider the set

$$\mathcal{C}_x := \left\{ w \in C_{\text{per}}^\infty(\mathbb{R}^N; \mathbb{R}^m) : \int_Q w(y) dy = 0, \text{ and } \sum_{i=1}^N A^i(x) \frac{\partial w(y)}{\partial y_i} = 0 \right\}.$$

Let  $f : \Omega \times \mathbb{R}^m \times \mathbb{R}^d \rightarrow [0, +\infty)$  be a Carathéodory function. The  $\mathcal{A}$ -quasiconvex envelope of  $f(x, u, \cdot)$  for  $x \in \Omega$  and  $u \in \mathbb{R}^m$  is defined for  $\xi \in \mathbb{R}^d$  as

$$Q_{\mathcal{A}(x)} f(x, u, \xi) := \inf \left\{ \int_Q f(x, u, \xi + w(y)) dy : w \in \mathcal{C}_x \right\}.$$

We say that  $f$  is  $\mathcal{A}$ -quasiconvex if  $f(x, u, \xi) = Q_{\mathcal{A}(x)} f(x, u, \xi)$  for a.e.  $x \in \Omega$ , and for all  $u \in \mathbb{R}^m$  and  $\xi \in \mathbb{R}^d$ .

The notion of  $\mathcal{A}$ -quasiconvexity was first introduced by B. Dacorogna in [8], and extensively characterized in [17] by I. Fonseca and S. Müller for operators  $\mathcal{A}$  defined as in (1.2), satisfying the constant rank condition (1.3), and having constant coefficients,

$$A^i(x) \equiv A^i \in \mathbb{M}^{l \times d} \quad \text{for every } x \in \mathbb{R}^N, i = 1, \dots, N.$$

In that paper the authors proved (see [17, Theorems 3.6 and 3.7]) that under  $p$ -growth assumptions on the energy density  $f$ ,  $\mathcal{A}$ -quasiconvexity is necessary and sufficient for the lower-semicontinuity of integral functionals

$$I(u, v) := \int_\Omega f(x, u(x), v(x)) dx \quad \text{for every } (u, v) \in L^p(\Omega; \mathbb{R}^m) \times L^p(\Omega; \mathbb{R}^d)$$

along sequences  $(u^n, v^n)$  satisfying  $u^n \rightarrow u$  in measure,  $v^n \rightarrow v$  in  $L^p(\Omega; \mathbb{R}^d)$ , and  $\mathcal{A}v^n \rightarrow 0$  in  $W^{-1,p}(\Omega)$ . We remark that in the framework  $\mathcal{A} = \text{curl}$ , i.e., when  $v^n = \nabla \phi^n$  for some  $\phi^n \in W^{1,p}(\Omega; \mathbb{R}^m)$ ,  $d = n \times m$ ,  $\mathcal{A}$ -quasiconvexity reduces to Morrey's notion of quasiconvexity.

The analysis of properties of  $\mathcal{A}$ -quasiconvexity for operators with constant coefficients was extended in the subsequent paper [6], where A. Braides, I. Fonseca and G. Leoni provided an integral representation formula for relaxation problems under  $p$ -growth assumptions on the energy density, and presented (via  $\Gamma$ -convergence) homogenization results for periodic integrands evaluated along  $\mathcal{A}$ -free fields. These homogenization results were later generalized in [13], where I. Fonseca and S. Krömer worked under weaker assumptions on the energy density  $f$ . In [19, 20], simultaneous homogenization and dimension reduction was studied in the framework of  $\mathcal{A}$ -quasiconvexity with constant coefficients. Oscillations and concentrations generated by  $\mathcal{A}$ -free mappings are the subject of [14]. Very recently an analysis of the case in which the energy density is nonpositive has been carried out in [18], and applications to the theory of compressible Euler systems have been studied in [7]. A parallel analysis for operators with constant coefficients and under linear growth assumptions for the energy density has been developed in [1, 4, 15, 21]. A very general characterization in this setting has been obtained in [2], following the new insight in [12].

The theory of  $\mathcal{A}$ -quasiconvexity for operators with variable coefficients has been characterized by P. Santos in [23]. Homogenization results in this setting have been obtained in [10] and [11].

This paper is devoted to proving a representation result for the relaxation of integral energies in the framework of  $\mathcal{A}$ -quasiconvexity with variable coefficients. To be precise, let  $1 < p, q < +\infty$ ,  $d, m, l \in \mathbb{N}$ , and consider a Carathéodory function  $f : \Omega \times \mathbb{R}^m \times \mathbb{R}^d \rightarrow [0, +\infty)$  satisfying

$$(H) \quad 0 \leq f(x, u, v) \leq C(1 + |u|^p + |v|^q), \quad 1 < p, q < +\infty,$$

for a.e.  $x \in \Omega$ , and all  $(u, v) \in \mathbb{R}^m \times \mathbb{R}^d$ , with  $C > 0$ .

Denoting by  $\mathcal{O}(\Omega)$  the collection of open subsets of  $\Omega$ , for every  $D \in \mathcal{O}(\Omega)$ ,  $u \in L^p(\Omega; \mathbb{R}^m)$  and  $v \in L^q(\Omega; \mathbb{R}^d)$  with  $\mathcal{A}v = 0$ , we define

$$\mathcal{I}((u, v), D) := \inf \left\{ \liminf_{n \rightarrow +\infty} \int_D f(x, u_n(x), v_n(x)) : \begin{array}{l} u_n \rightarrow u \text{ strongly in } L^p(\Omega; \mathbb{R}^m), \\ v_n \rightarrow v \text{ weakly in } L^q(\Omega; \mathbb{R}^d) \text{ and } \mathcal{A}v_n \rightarrow 0 \text{ strongly in } W^{-1,q}(\Omega; \mathbb{R}^l) \end{array} \right\}. \quad (1.4)$$

Our main result is the following.

**Theorem 1.1.** *Let  $\mathcal{A}$  be a first order differential operator with variable coefficients, satisfying (1.3). Let  $f : \Omega \times \mathbb{R}^m \times \mathbb{R}^d \rightarrow [0, +\infty)$  be a Carathéodory function satisfying (H). Then,*

$$\int_D Q_{\mathcal{A}(x)} f(x, u(x), v(x)) dx = \mathcal{I}((u, v), D)$$

for all  $D \in \mathcal{O}(\Omega)$ ,  $u \in L^p(\Omega; \mathbb{R}^m)$  and  $v \in L^q(\Omega; \mathbb{R}^d)$  with  $\mathcal{A}v = 0$ .

Adopting the “blow-up” method introduced in [16], the proof of the theorem consists in showing that the functional  $\mathcal{I}((u, v), \cdot)$  is the trace of a Radon measure absolutely continuous with respect to the restriction of the Lebesgue measure  $\mathcal{L}^N$  to  $\Omega$ , and proving that for a.e.  $x \in \Omega$  the Radon-Nicodym derivative  $\frac{d\mathcal{I}((u, v), \cdot)(x)}{d\mathcal{L}^N}$  coincides with the  $\mathcal{A}$ -quasiconvex envelope of  $f$ .

The arguments used are a combination of the ideas from [6, Theorem 1.1] and from [23]. The main difference with [6, Theorem 1.1], which reduces to our setting in the case in which the operator  $\mathcal{A}$  has constant coefficients, is in the fact that while defining the operator  $\mathcal{I}$  in (1.4) we can not work with exact solutions of the PDE, but instead we need to study sequences of asymptotically  $\mathcal{A}$ -vanishing fields. As pointed out in [23], in the case of variable coefficients the natural framework is the context of pseudo-differential operators. In this setting, we don’t know how to project directly onto the kernel of the differential constraint, but we are able to construct an “approximate” projection operator  $P$  such that for every field  $v \in L^p$ , the  $W^{-1,p}$  norm of  $\mathcal{A}Pv$  is controlled by the  $W^{-1,p}$  norm of  $v$  itself (we refer to [23, Subsection 2.1] for a detailed explanation of this issue and to the references therein for a treatment of the main properties of pseudo-differential operators). For the same reason, in the proof of the inequality

$$\frac{d\mathcal{I}((u, v), \cdot)(x)}{d\mathcal{L}^N} \leq Q_{\mathcal{A}(x)} f(x, u(x), v(x)) \quad \text{for a.e. } x \in \Omega,$$

an equi-integrability argument is needed (see Proposition 3.2). We also point out that the representation formula in Theorem 1.1 was obtained in a simplified setting in [11] as a corollary of the main homogenization result. Here we provide an alternative, direct proof, which does not rely on homogenization techniques.

The paper is organized as follows: in Section 2 we establish the main assumptions on the differential operator  $\mathcal{A}$  and we recall some preliminary results on  $\mathcal{A}$ -quasiconvexity with variable coefficients. Section 3 is devoted to the proof of Theorem 1.1.

### Notation

Throughout the paper  $\Omega \subset \mathbb{R}^N$  is a bounded open set,  $1 < p, q < +\infty$ ,  $\mathcal{O}(\Omega)$  is the set of open subsets of  $\Omega$ ,  $Q$  denotes the unit cube in  $\mathbb{R}^N$ ,  $Q(x_0, r)$  and  $B(x_0, r)$  are, respectively, the open cube and the

open ball in  $\mathbb{R}^N$ , with center  $x_0$  and radius  $r$ . Given an exponent  $1 < q < +\infty$ , we denote by  $q'$  its conjugate exponent, i.e.,  $q' \in (1, +\infty)$  is such that

$$\frac{1}{q} + \frac{1}{q'} = 1.$$

Whenever a map  $v \in L^q, C^\infty, \dots$  is  $Q$ -periodic, that is

$$v(x + e_i) = v(x) \quad i = 1, \dots, N,$$

for a.e.  $x \in \mathbb{R}^N$ ,  $\{e_1, \dots, e_N\}$  being the standard basis of  $\mathbb{R}^N$ , we write  $v \in L^q_{\text{per}}, C^\infty_{\text{per}}, \dots$ . We implicitly identify the spaces  $L^q(Q)$  and  $L^q_{\text{per}}(\mathbb{R}^N)$ .

We adopt the convention that  $C$  will denote a generic constant, whose value may change from line to line in the same formula.

## 2. PRELIMINARY RESULTS

In this section we introduce the main assumptions on the differential operator  $\mathcal{A}$  and we recall some preliminary results about  $\mathcal{A}$ -quasiconvexity.

For  $i = 1, \dots, N$ ,  $x \in \mathbb{R}^N$ , consider the linear operators  $A^i(x) \in \mathbb{M}^{l \times d}$ , with  $A^i \in C^\infty(\mathbb{R}^N; \mathbb{M}^{l \times d}) \cap W^{1, \infty}(\mathbb{R}^N; \mathbb{M}^{l \times d})$ . For every  $v \in L^q(\Omega; \mathbb{R}^d)$  we set

$$\mathcal{A}v := \sum_{i=1}^N A^i(x) \frac{\partial v(x)}{\partial x_i} \in W^{-1, q}(\Omega; \mathbb{R}^l).$$

The symbol  $\mathbb{A} : \mathbb{R}^N \times \mathbb{R}^N \setminus \{0\} \rightarrow \mathbb{M}^{l \times d}$  associated to the differential operator  $\mathcal{A}$  is

$$\mathbb{A}(x, \lambda) := \sum_{i=1}^N A^i(x) \lambda_i \in \mathbb{M}^{l \times d}$$

for every  $x \in \mathbb{R}^N$ ,  $\lambda \in \mathbb{R}^N \setminus \{0\}$ . We assume that  $\mathcal{A}$  satisfies the following *uniform constant rank condition*:

$$\text{rank} \left( \sum_{i=1}^N A^i(x) \lambda_i \right) = r \quad \text{for all } x \in \mathbb{R}^N \text{ and } \lambda \in \mathbb{R}^N \setminus \{0\}. \quad (2.1)$$

For every  $x \in \mathbb{R}^N$ ,  $\lambda \in \mathbb{R}^N \setminus \{0\}$ , let  $\mathbb{P}(x, \lambda) : \mathbb{R}^d \rightarrow \mathbb{R}^d$  be the linear projection on  $\text{Ker } \mathbb{A}(x, \lambda)$ , and let  $\mathbb{Q}(x, \lambda) : \mathbb{R}^l \rightarrow \mathbb{R}^d$  be the linear operator given by

$$\begin{aligned} \mathbb{Q}(x, \lambda) \mathbb{A}(x, \lambda) v &:= v - \mathbb{P}(x, \lambda) v \quad \text{for all } v \in \mathbb{R}^d, \\ \mathbb{Q}(x, \lambda) \xi &= 0 \quad \text{if } \xi \notin \text{Range } \mathbb{A}(x, \lambda). \end{aligned}$$

The main properties of  $\mathbb{P}(\cdot, \cdot)$  and  $\mathbb{Q}(\cdot, \cdot)$  are recalled in the following proposition (see e.g. [23, Subsection 2.1]).

**Proposition 2.1.** *Under the constant rank condition (2.1), for every  $x \in \mathbb{R}^N$  the operators  $\mathbb{P}(x, \cdot)$  and  $\mathbb{Q}(x, \cdot)$  are, respectively, 0-homogeneous and (-1)-homogeneous. In addition,  $\mathbb{P} \in C^\infty(\mathbb{R}^N \times \mathbb{R}^N \setminus \{0\}; \mathbb{M}^{d \times d})$  and  $\mathbb{Q} \in C^\infty(\mathbb{R}^N \times \mathbb{R}^N \setminus \{0\}; \mathbb{M}^{d \times l})$ .*

Let  $\eta \in C_c^\infty(\Omega; [0, 1])$ ,  $\eta = 1$  in  $\Omega'$  for some  $\Omega' \subset \subset \Omega$ . We denote by  $\mathbb{A}_\eta$  the symbol

$$\mathbb{A}_\eta(x, \lambda) := \sum_{i=1}^N \eta(x) A^i(x) \lambda_i, \quad (2.2)$$

for every  $x \in \mathbb{R}^N$ ,  $\lambda \in \mathbb{R}^N \setminus \{0\}$ , and by  $\mathcal{A}_\eta$  the corresponding pseudo-differential operator (see [23, Subsection 2.1] for an overview of the main properties of pseudo-differential operators). Let  $\chi \in C^\infty(\mathbb{R}^+; \mathbb{R})$  be such that  $\chi(|\lambda|) = 0$  for  $|\lambda| < 1$  and  $\chi(|\lambda|) = 1$  for  $|\lambda| > 2$ . Let also  $P_\eta$  be the operator associated to the symbol

$$\mathbb{P}_\eta(x, \lambda) := \eta^2(x) \mathbb{P}(x, \lambda) \chi(|\lambda|) \quad (2.3)$$

for every  $x \in \mathbb{R}^N$ ,  $\lambda \in \mathbb{R}^N \setminus \{0\}$ . The following proposition (see [23, Theorem 2.2 and Subsection 2.1]) collects the main properties of the operators  $P_\eta$  and  $\mathcal{A}_\eta$ .

**Proposition 2.2.** *Let  $1 < q < +\infty$ , and let  $\mathcal{A}_\eta$  and  $P_\eta$  be the pseudo-differential operators associated with the symbols (2.2) and (2.3), respectively. Then there exists a constant  $C$  such that*

$$\|P_\eta v\|_{L^q(\Omega; \mathbb{R}^d)} \leq C \|v\|_{L^q(\Omega; \mathbb{R}^d)} \quad (2.4)$$

for every  $v \in L^q(\Omega; \mathbb{R}^d)$ , and

$$\begin{aligned} \|P_\eta v\|_{W^{-1,q}(\Omega; \mathbb{R}^d)} &\leq C \|v\|_{W^{-1,q}(\Omega; \mathbb{R}^d)}, \\ \|v - P_\eta v\|_{L^q(\Omega; \mathbb{R}^d)} &\leq C (\|\mathcal{A}_\eta v\|_{W^{-1,q}(\Omega; \mathbb{R}^l)} + \|v\|_{W^{-1,q}(\Omega; \mathbb{R}^d)}), \\ \|\mathcal{A}_\eta P_\eta v\|_{W^{-1,q}(\Omega; \mathbb{R}^l)} &\leq C \|v\|_{W^{-1,q}(\Omega; \mathbb{R}^d)} \end{aligned}$$

for every  $v \in W^{-1,q}(\Omega; \mathbb{R}^d)$ .

### 3. PROOF OF THEOREM 1.1

Before proving Theorem 1.1 we state and prove a decomposition lemma, which generalizes [17, Lemma 2.15] to the case of operators with variable coefficients.

**Lemma 3.1.** *Let  $1 < q < +\infty$ . Let  $\mathcal{A}$  be a first order differential operator with variable coefficients, satisfying (2.1). Let  $v \in L^q(\Omega; \mathbb{R}^d)$ , and let  $\{v_n\}$  be a bounded sequence in  $L^q(\Omega; \mathbb{R}^d)$  such that*

$$\begin{aligned} v_n &\rightharpoonup v \quad \text{weakly in } L^q(\Omega; \mathbb{R}^d), \\ \mathcal{A}v_n &\rightarrow 0 \quad \text{strongly in } W^{-1,q}(\Omega; \mathbb{R}^l), \\ \{v_n\} &\text{ generates the Young measure } \nu. \end{aligned}$$

Then, there exists a  $q$ -equiintegrable sequence  $\{\tilde{v}_n\} \subset L^q(\Omega; \mathbb{R}^d)$  such that

$$\mathcal{A}\tilde{v}_n \rightarrow 0 \quad \text{strongly in } W^{-1,s}(\Omega; \mathbb{R}^l) \quad \text{for every } 1 < s < q, \quad (3.1)$$

$$\int_\Omega \tilde{v}_n(x) dx = \int_\Omega v(x) dx,$$

$$\tilde{v}_n - v_n \rightarrow 0 \quad \text{strongly in } L^s(\Omega; \mathbb{R}^d) \quad \text{for every } 1 < s < q, \quad (3.2)$$

$$\tilde{v}_n \rightharpoonup v \quad \text{weakly in } L^q(\Omega; \mathbb{R}^d). \quad (3.3)$$

In addition, if  $\Omega \subset Q$  then we can construct the sequence  $\{\tilde{v}^n\}$  so that  $\tilde{v}_n - v \in L^q_{per}(\mathbb{R}^N; \mathbb{R}^d)$  for every  $n \in \mathbb{N}$ .

*Proof.* Arguing as in the first part of [23, Proof of Theorem 1.1], we construct a  $q$ -equiintegrable sequence  $\{\hat{v}_n\}$  satisfying (3.1), (3.2) and (3.3). The conclusion follows by setting  $\tilde{v}_n := \hat{v}_n - \int_\Omega \hat{v}_n(x) dx + \int_\Omega v(x) dx$ .

In the case in which  $\Omega \subset Q$ , let  $\{\varphi^i\}$  be a sequence of cut-off functions in  $Q$  with  $0 \leq \varphi^i \leq 1$  in  $Q$ , such that  $\varphi^i = 0$  on  $Q \setminus \Omega$  and  $\varphi^i \rightarrow 1$  pointwise in  $\Omega$ . Define  $w_n^i := \varphi^i(\hat{v}_n - v)$ . By (3.3) for every  $\psi \in L^q(\Omega; \mathbb{R}^d)$  we have

$$\lim_{i \rightarrow +\infty} \lim_{n \rightarrow +\infty} \int_\Omega w_n^i(x) \psi(x) dx = 0.$$

By (3.1), (3.2), and the compact embedding of  $L^q(\Omega; \mathbb{R}^d)$  into  $W^{-1,q}(\Omega; \mathbb{R}^d)$ , there holds

$$\mathcal{A}w_n^i = \varphi^i \mathcal{A}\hat{v}_n + \left( \sum_{j=1}^N A^j \frac{\partial \varphi^i}{\partial x_j} \right) \hat{v}_n \rightarrow 0 \quad \text{strongly in } W^{-1,s}(\Omega; \mathbb{R}^l)$$

as  $n \rightarrow +\infty$ , for every  $1 < s < q$ . Extending the maps  $w_n^i$  outside  $Q$  by periodicity, by the metrizable of the weak topology on bounded sets and by Attouch's diagonalization lemma (see [3, Lemma 1.15 and Corollary 1.16]), we obtain a sequence

$$w_n := w_n^{i(n)},$$

with  $\{w_n\} \subset L^q_{\text{per}}(\mathbb{R}^N; \mathbb{R}^d)$ , and such that  $w_n + v$  satisfies (3.1), (3.2) and (3.3). The thesis follows by setting

$$\tilde{v}_n := w_n - \int_{\Omega} w_n(x) dx + v.$$

□

The following proposition will allow us to neglect vanishing perturbations of  $q$ -equiintegrable sequences.

**Proposition 3.2.** *For every  $n \in \mathbb{N}$ , let  $f_n : Q \times \mathbb{R}^d \rightarrow [0, +\infty)$  be a continuous function. Assume that there exists a constant  $C > 0$  such that, for  $q > 1$ ,*

$$\sup_{n \in \mathbb{N}} f_n(y, \xi) \leq C(1 + |\xi|^q) \quad \text{for every } y \in Q \text{ and } \xi \in \mathbb{R}^d, \quad (3.4)$$

and that the sequence  $\{f_n(y, \cdot)\}$  is equicontinuous in  $\mathbb{R}^d$ , uniformly in  $y$ . Let  $\{w_n\}$  be a  $q$ -equiintegrable sequence in  $L^q(Q; \mathbb{R}^d)$ , and let  $\{v_n\} \subset L^q(Q; \mathbb{R}^d)$  be such that

$$v_n \rightarrow 0 \quad \text{strongly in } L^q(Q; \mathbb{R}^d). \quad (3.5)$$

Then

$$\lim_{n \rightarrow +\infty} \left| \int_Q f_n(y, w_n(y)) dy - \int_Q f_n(y, v_n(y) + w_n(y)) dy \right| = 0.$$

*Proof.* Fix  $\eta > 0$ . In view of (3.5), the sequence  $\{C(1 + |v_n|^q + |w_n|^q)\}$  is equiintegrable in  $Q$ , thus there exists  $0 < \varepsilon < \frac{\eta}{3}$  such that

$$\sup_{n \in \mathbb{N}} \int_A C(1 + |v_n(y)|^q + |w_n(y)|^q) dy < \frac{\eta}{3} \quad (3.6)$$

for every  $A \subset Q$  with  $|A| < \varepsilon$ . By the  $q$ -equiintegrability of  $\{w_n\}$  and  $\{v_n\}$ , and by Chebyshev's inequality there holds

$$|Q \cap (\{|w_n| > M\} \cup \{|v_n| > M\})| \leq \frac{1}{M^q} \int_Q (|w_n(y)|^q + |v_n(y)|^q) dy \leq \frac{C}{M^q}$$

for every  $n \in \mathbb{N}$ . Therefore, there exists  $M_0$  satisfying

$$\sup_{n \in \mathbb{N}} |Q \cap (\{|w_n| > M_0\} \cup \{|v_n| > M_0\})| \leq \frac{\varepsilon}{2}. \quad (3.7)$$

By the uniform equicontinuity of the sequence  $\{f_n(y, \cdot)\}$ , there exists  $\delta > 0$  such that, for every  $\xi_1, \xi_2 \in B(0, M_0)$ , with  $|\xi_1 - \xi_2| < \delta$ , we have

$$\sup_{y \in Q} |f_n(y, \xi_1) - f_n(y, \xi_2)| < \varepsilon \quad (3.8)$$

for every  $n \in \mathbb{N}$ . By (3.5) and Egoroff's theorem, there exists a set  $E_\varepsilon \subset Q$ ,  $|E_\varepsilon| < \frac{\varepsilon}{2}$ , such that

$$v_n \rightarrow 0 \quad \text{uniformly in } Q \setminus E_\varepsilon,$$

and, in particular,

$$|v_n(x)| < \delta \quad \text{for a.e. } x \in Q \setminus E_\varepsilon, \quad (3.9)$$

for every  $n \geq n_0$ , for some  $n_0 \in \mathbb{N}$ .

We observe that

$$\begin{aligned} \int_Q f_n(y, v_n(y) + w_n(y)) dy &= \int_{Q \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} f_n(y, v_n(y) + w_n(y)) dy \\ &\quad + \int_{Q \cap (\{|w_n| > M_0\} \cup \{|v_n| > M_0\})} f_n(y, v_n(y) + w_n(y)) dy. \end{aligned} \quad (3.10)$$

The first term in the right-hand side of (3.10) can be further decomposed as

$$\begin{aligned}
& \int_{Q \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} f_n(y, v_n(y) + w_n(y)) dy \\
&= \int_{(Q \setminus E_\varepsilon) \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} f_n(y, v_n(y) + w_n(y)) dy \\
&\quad + \int_{E_\varepsilon \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} f_n(y, v_n(y) + w_n(y)) dy \\
&= \int_{(Q \setminus E_\varepsilon) \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} f_n(y, w_n(y)) dy \\
&\quad + \int_{(Q \setminus E_\varepsilon) \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} (f_n(y, v_n(y) + w_n(y)) - f_n(y, w_n(y))) dy \\
&\quad + \int_{E_\varepsilon \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} f_n(y, v_n(y) + w_n(y)) dy \\
&= \int_Q f_n(y, w_n(y)) dy - \int_{E_\varepsilon \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} f_n(y, w_n(y)) dy \\
&\quad - \int_{Q \cap (\{|w_n| > M_0\} \cup \{|v_n| > M_0\})} f_n(y, w_n(y)) dy \\
&\quad + \int_{(Q \setminus E_\varepsilon) \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} (f_n(y, v_n(y) + w_n(y)) - f_n(y, w_n(y))) dy \\
&\quad + \int_{E_\varepsilon \cap \{|w_n| \leq M_0\} \cap \{|v_n| \leq M_0\}} f_n(y, v_n(y) + w_n(y)) dy.
\end{aligned}$$

We observe that by (3.7)

$$|E_\varepsilon \cup (\{|w_n| > M_0\} \cup \{|v_n| > M_0\})| < \varepsilon.$$

Hence, for  $n \geq n_0$ , by (3.4), (3.6), (3.8), and (3.9) we deduce the estimate

$$\begin{aligned}
& \left| \int_Q f_n(y, w_n(y)) dy - \int_Q f_n(y, v_n(y) + w_n(y)) dy \right| \\
& \leq \varepsilon + \int_{E_\varepsilon \cup (\{|w_n| > M_0\} \cup \{|v_n| > M_0\})} 2C(1 + |w_n(y)|^p + |v_n(y)|^p) dy \leq \varepsilon + \frac{2\eta}{3}.
\end{aligned} \tag{3.11}$$

The thesis follows by the arbitrariness of  $\eta$ .  $\square$

We now prove our main result.

*Proof of Theorem 1.1.* The proof is subdivided into 4 steps. Steps 1 and 2 follow along the lines of [6, Proof of Theorem 1.1]. Step 3 is obtained by modifying [6, Lemma 3.5], whereas Step 4 follows by adapting an argument in [23, Proof of Theorem 1.2]. We only outline the main ideas of Steps 1 and 2 for convenience of the reader, whilst we provide more details for Steps 3 and 4.

*Step 1:*

The first step consists in showing that

$$\begin{aligned}
\mathcal{I}((u, v), D) = \inf \left\{ \liminf_{n \rightarrow +\infty} \int_D f(x, u(x), v_n(x)) dx : \{v_n\} \text{ is } q\text{-equiintegrable,} \right. \\
\left. \mathcal{A}v_n \rightarrow 0 \text{ strongly in } W^{-1,s}(D; \mathbb{R}^l) \text{ for every } 1 < s < q \right. \\
\left. \text{and } v_n \rightharpoonup v \text{ weakly in } L^q(D; \mathbb{R}^d) \right\}.
\end{aligned}$$

This identification is proved by adapting [6, Proof of Lemma 3.1]. The only difference is the application of Lemma 3.1 instead of [6, Proposition 2.3 (i)].

*Step 2:*

The second step is the proof that  $\mathcal{I}((u, v), \cdot)$  is the trace of a Radon measure absolutely continuous

with respect to  $\mathcal{L}^N \llcorner \Omega$ . This follows as a straightforward adaptation of [6, Lemma 3.4]. The only modifications are due to the fact that [6, Proposition 2.3 (i)] and [6, Lemma 3.1] are now replaced by Lemma 3.1 and Step 1.

*Step 3:*

We claim that

$$\frac{d\mathcal{I}((u, v), \cdot)}{d\mathcal{L}^N}(x_0) \geq Q_{\mathcal{A}(x_0)} f(x_0, u(x_0), v(x_0)) \quad \text{for a.e. } x_0 \in \Omega. \quad (3.12)$$

Indeed, since  $g(x, \xi) := f(x, u(x), \xi)$  is a Carathéodory function, by Scorza-Dragoni Theorem there exists a sequence of compact sets  $K_j \subset \Omega$  such that

$$|\Omega \setminus K_j| \leq \frac{1}{j}$$

and the restriction of  $g$  to  $K_j \times \mathbb{R}^d$  is continuous. Hence, the set

$$\omega := \bigcup_{j=1}^{+\infty} (K_j \cap K_j^*) \cap \mathcal{L}(u, v), \quad (3.13)$$

where  $K_j^*$  is the set of Lebesgue point for the characteristic function of  $K_j$  and  $\mathcal{L}(u, v)$  is the set of Lebesgue points of  $u$  and  $v$ , is such that

$$|\Omega \setminus \omega| \leq |\Omega \setminus K_j| \leq \frac{1}{j} \quad \text{for every } j,$$

and so  $|\Omega \setminus \omega| = 0$ . Let  $x_0 \in \omega$  be such that

$$\lim_{r \rightarrow 0^+} \frac{1}{r^N} \int_{Q(x_0, r)} |u(x) - u(x_0)|^p dx = \lim_{r \rightarrow 0^+} \frac{1}{r^N} \int_{Q(x_0, r)} |v(x) - v(x_0)|^q dx = 0, \quad (3.14)$$

and

$$\frac{d\mathcal{I}((u, v), \cdot)}{d\mathcal{L}^N}(x_0) = \lim_{r \rightarrow 0^+} \frac{\mathcal{I}((u, v), Q(x_0, r))}{r^N} < +\infty, \quad (3.15)$$

where the sequence of radii  $r$  is such that  $\mathcal{I}((u, v), \partial Q(x_0, r)) = 0$  for every  $r$ . (Such a choice of the sequence is possible due to Step 2).

By Step 1, for every  $r$  there exists a  $q$ -equiintegrable sequence  $\{v_{n,r}\}$  such that

$$\begin{aligned} v_{n,r} &\rightharpoonup v \quad \text{weakly in } L^q(Q(x_0, r); \mathbb{R}^d), \\ \mathcal{A}v_{n,r} &\rightarrow 0 \quad \text{strongly in } W^{-1,s}(Q(x_0, r); \mathbb{R}^l) \quad \text{for every } 1 < s < q \end{aligned} \quad (3.16)$$

as  $n \rightarrow +\infty$ , and

$$\lim_{n \rightarrow +\infty} \int_{Q(x_0, r)} g(x, v_{n,r}(x)) dx \leq \mathcal{I}((u, v), Q(x_0, r)) + r^{N+1}.$$

A change of variables yields

$$\frac{d\mathcal{I}((u, v), \cdot)}{d\mathcal{L}^N}(x_0) \geq \liminf_{r \rightarrow 0^+} \lim_{n \rightarrow +\infty} \int_Q g(x_0 + ry, v(x_0) + w_{n,r}(y)) dy,$$

where

$$w_{n,r}(y) := v_{n,r}(x_0 + ry) - v(x_0) \quad \text{for a.e. } y \in Q.$$

Arguing as in [6, Proof of Lemma 3.5], Hölder's inequality and a change of variables imply

$$w_{n,r} \rightharpoonup 0 \quad \text{weakly in } L^q(Q; \mathbb{R}^d) \quad (3.17)$$

as  $n \rightarrow +\infty$  and  $r \rightarrow 0^+$ , in this order. We claim that

$$\mathcal{A}(x_0 + r \cdot)w_{n,r} \rightarrow 0 \quad \text{strongly in } W^{-1,s}(Q; \mathbb{R}^l), \quad (3.18)$$

as  $n \rightarrow +\infty$ , for every  $r$  and every  $1 < s < q$ .



Indeed, let  $\varphi \in W_0^{1,s'}(Q; \mathbb{R}^d)$ . There holds

$$\begin{aligned} \langle \mathcal{A}(x_0 + r \cdot) w_{n,r}, \varphi \rangle_{W^{-1,s}(Q; \mathbb{R}^l), W_0^{1,s'}(Q; \mathbb{R}^l)} &= - \sum_{i=1}^N \left\{ r \int_Q \frac{\partial A^i(x_0 + ry)}{\partial x_i} v_{n,r}(x_0 + ry) \cdot \varphi(y) dy \right. \\ &\quad \left. + \int_Q A^i(x_0 + ry) v_{n,r}(x_0 + ry) \cdot \frac{\partial \varphi(y)}{\partial y_i} dy \right\} \\ &= - \sum_{i=1}^N \left\{ \frac{1}{r^{N-1}} \int_{Q(x_0, r)} \frac{\partial A^i(x)}{\partial x_i} v_{n,r}(x) \cdot \psi_r(x) dx + \frac{1}{r^{N-1}} \int_{Q(x_0, r)} A^i(x) v_{n,r}(x) \cdot \frac{\partial \psi_r(x)}{\partial x_i} dx \right\} \\ &= \frac{1}{r^{N-1}} \langle \mathcal{A} v_{n,r}, \psi_r \rangle_{W^{-1,s}(Q(x_0, r); \mathbb{R}^l), W_0^{1,s'}(Q(x_0, r); \mathbb{R}^l)}, \end{aligned}$$

where  $\psi_r(x) := \varphi\left(\frac{x-x_0}{r}\right)$  for a.e.  $x \in Q(x_0, r)$ . Since  $\psi_r \in W_0^{1,s'}(Q(x_0, r); \mathbb{R}^d)$  and

$$\|\psi_r\|_{W_0^{1,s'}(Q(x_0, r); \mathbb{R}^d)} \leq C(r) \|\varphi\|_{W_0^{1,s'}(Q; \mathbb{R}^d)},$$

we obtain the estimate

$$\|\mathcal{A}(x_0 + r \cdot) w_{n,r}\|_{W^{-1,s}(Q; \mathbb{R}^l)} \leq C(r) \|\mathcal{A} v_{n,r}\|_{W^{-1,s}(Q(x_0, r); \mathbb{R}^l)}.$$

Claim (3.18) follows by (3.16).

In view of (3.17) and (3.18), a diagonalization procedure yields a  $q$ -equiintegrable sequence  $\{\hat{w}_k\} \subset L^q(Q; \mathbb{R}^d)$  satisfying

$$\hat{w}_k \rightharpoonup 0 \quad \text{weakly in } L^q(Q; \mathbb{R}^d), \quad (3.19)$$

$$\mathcal{A}(x_0 + r_k \cdot) \hat{w}_k \rightarrow 0 \quad \text{strongly in } W^{-1,s}(Q; \mathbb{R}^l) \quad \text{for every } 1 < s < q, \quad (3.20)$$

and

$$\frac{d\mathcal{I}((u, v), \cdot)}{d\mathcal{L}^N}(x_0) \geq \liminf_{k \rightarrow +\infty} \int_Q g(x_0 + r_k y, v(x_0) + \hat{w}_k(y)) dy. \quad (3.21)$$

For every  $\varphi \in W_0^{1,s'}(Q; \mathbb{R}^l)$ ,  $1 < s < q$ , there holds

$$\begin{aligned} &\langle (\mathcal{A}(x_0 + r_k \cdot) - \mathcal{A}(x_0)) \hat{w}_k, \varphi \rangle_{W^{-1,s}(Q; \mathbb{R}^l), W_0^{1,s'}(Q; \mathbb{R}^l)} \\ &= - \sum_{i=1}^N \left[ r_k \int_Q \frac{\partial A^i(x_0 + r_k y)}{\partial x_i} \hat{w}_k(y) \cdot \varphi(y) dy + \int_Q (A^i(x_0 + r_k y) - A^i(x_0)) \hat{w}_k(y) \cdot \frac{\partial \varphi(y)}{\partial y_i} dy \right]. \end{aligned}$$

Thus,

$$\|(\mathcal{A}(x_0 + r_k \cdot) - \mathcal{A}(x_0)) \hat{w}_k\|_{W^{-1,s}(Q; \mathbb{R}^l)} \leq r_k \sum_{i=1}^N \|A^i\|_{W^{1,\infty}(\mathbb{R}^N; \mathbb{R}^l \times d)} \|\hat{w}_k\|_{L^q(Q; \mathbb{R}^d)}$$

for every  $1 < s < q$ . By (3.19) and (3.20) we conclude that

$$\mathcal{A}(x_0) \hat{w}_k \rightarrow 0 \quad \text{strongly in } W^{-1,s}(Q; \mathbb{R}^l) \quad \text{for every } 1 < s < q. \quad (3.22)$$

In view of (3.19) and (3.22), an adaptation of [6, Corollary 3.3] yields a  $q$ -equiintegrable sequence  $\{w_k\}$  such that

$$\begin{aligned} w_k &\rightharpoonup 0 \quad \text{weakly in } L^q(Q; \mathbb{R}^d), \\ \int_Q w_k(y) dy &= 0 \quad \text{for every } k, \\ \mathcal{A}(x_0) w_k &= 0 \quad \text{for every } k, \end{aligned} \quad (3.23)$$

and

$$\liminf_{k \rightarrow +\infty} \int_Q g(x_0, v(x_0) + w_k(y)) dy \leq \liminf_{k \rightarrow +\infty} \int_Q g(x_0 + r_k y, v(x_0) + \hat{w}_k(y)) dy. \quad (3.24)$$

Finally, by combining (3.21), (3.23), and (3.24), and by the definition of  $\mathcal{A}$ -quasiconvex envelope for operators with constant coefficients, we obtain

$$\begin{aligned} \frac{d\mathcal{I}((u, v), \cdot)}{d\mathcal{L}^N}(x_0) &\geq \liminf_{k \rightarrow +\infty} \int_Q g(x_0, v(x_0) + w_k(y)) dy \\ &= \liminf_{k \rightarrow +\infty} \int_Q f(x_0, u(x_0), v(x_0) + w_k(y)) dy \geq Q_{\mathcal{A}(x_0)} f(x_0, u(x_0), v(x_0)) \end{aligned}$$

for a.e.  $x_0 \in \Omega$ . This concludes the proof of Claim (3.12).

*Step 4:*

To complete the proof of the theorem we need to show that

$$\frac{d\mathcal{I}((u, v), \cdot)}{d\mathcal{L}^N}(x_0) \leq Q_{\mathcal{A}(x_0)} f(x_0, u(x_0), v(x_0)) \quad \text{for a.e. } x_0 \in \Omega. \quad (3.25)$$

To this aim, let  $\mu > 0$ , and  $x_0 \in \omega$  be such that (3.14) and (3.15) hold. Let  $w \in C_{\text{per}}^\infty(\mathbb{R}^N; \mathbb{R}^d)$  be such that

$$\int_Q w(y) dy = 0, \quad \mathcal{A}(x_0)w = 0, \quad (3.26)$$

and

$$\int_Q f(x_0, u(x_0), v(x_0) + w(y)) dy \leq Q_{\mathcal{A}(x_0)} f(x_0, u(x_0), v(x_0)) + \mu. \quad (3.27)$$

Let  $\eta \in C_c^\infty(\Omega; [0, 1])$  be such that  $\eta \equiv 1$  in a neighborhood of  $x_0$  and let  $r$  be small enough so that

$$Q(x_0, r) \subset \{x : \eta(x) = 1\} \quad \text{and} \quad Q(x_0, 2r) \subset\subset \Omega. \quad (3.28)$$

Consider a map  $\varphi \in C_c^\infty(Q(x_0, r); [0, 1])$  satisfying

$$\mathcal{L}^N(Q(x_0, r) \cap \{\varphi \neq 1\}) < \mu r^N, \quad (3.29)$$

and define

$$z_m^r(x) := \varphi(x) w\left(\frac{m(x - x_0)}{r}\right) \quad \text{for } x \in \mathbb{R}^N. \quad (3.30)$$

We observe that  $z_m^r \in L^q(\Omega; \mathbb{R}^d)$ , and for  $\psi \in L^{q'}(\Omega; \mathbb{R}^d)$  we have

$$\begin{aligned} \int_\Omega z_m^r(x) \cdot \psi(x) dx &= \int_\Omega \varphi(x) w\left(\frac{m(x - x_0)}{r}\right) \cdot \psi(x) dx \\ &= r^N \int_Q \varphi(x_0 + ry) w(my) \cdot \psi(x_0 + ry) dy. \end{aligned}$$

By (3.26) and by the Riemann-Lebesgue lemma we have

$$z_m^r \rightharpoonup 0 \quad \text{weakly in } L^q(\Omega; \mathbb{R}^d) \quad (3.31)$$

as  $m \rightarrow +\infty$ . We claim that

$$\limsup_{m \rightarrow +\infty} \|\mathcal{A}_\eta z_m^r\|_{W^{-1, q}(\Omega; \mathbb{R}^t)} \leq Cr^{\frac{N}{q} + 1}, \quad (3.32)$$

where  $\mathcal{A}_\eta$  is the pseudo-differential operator defined in (2.2). Indeed, by (3.28) we obtain

$$\begin{aligned} \mathcal{A}_\eta z_m^r &= \mathcal{A} z_m^r - \mathcal{A}(x_0) z_m^r + \mathcal{A}(x_0) z_m^r \\ &= \sum_{i=1}^N \frac{\partial((A^i(x) - A^i(x_0)) z_m^r(x))}{\partial x_i} + \sum_{i=1}^N A^i(x_0) \frac{\partial z_m^r(x)}{\partial x_i} - \sum_{i=1}^N \frac{\partial A^i(x)}{\partial x_i} z_m^r(x). \end{aligned} \quad (3.33)$$

By the regularity of the operators  $A^i$  and by a change of variables, the first term in the right-hand side of (3.33) is estimated as

$$\begin{aligned} & \left\| \sum_{i=1}^N \frac{\partial((A^i(x) - A^i(x_0))z_m^r(x))}{\partial x_i} \right\|_{W^{-1,q}(\Omega;\mathbb{R}^l)} \\ & \leq \sum_{i=1}^N \left\| (A^i(x) - A^i(x_0))\varphi(x)w\left(\frac{m(x-x_0)}{r}\right) \right\|_{L^q(Q(x_0,r);\mathbb{R}^l)} \\ & \leq \sum_{i=1}^N \|A^i\|_{W^{1,\infty}(\mathbb{R}^N;\mathbb{R}^l \times d)} \|\varphi\|_{L^\infty(Q(x_0,r))} \|w(m\cdot)\|_{L^q(Q;\mathbb{R}^d)} r^{\frac{N}{q}+1} \leq Cr^{\frac{N}{q}+1}. \end{aligned} \quad (3.34)$$

In view of (3.26) the second term in the right-hand side of (3.33) becomes

$$\sum_{i=1}^N A^i(x_0) \frac{\partial z_m^r(x)}{\partial x_i} = \sum_{i=1}^N A^i(x_0) \frac{\partial \varphi(x)}{\partial x_i} w\left(\frac{m(x-x_0)}{r}\right),$$

and thus converges to zero weakly in  $L^q(\Omega;\mathbb{R}^l)$ , as  $m \rightarrow +\infty$ , due to (3.26) and by the Riemann-Lebesgue lemma. Hence,

$$\left\| \sum_{i=1}^N A^i(x_0) \frac{\partial z_m^r(x)}{\partial x_i} \right\|_{W^{-1,q}(\Omega;\mathbb{R}^l)} \rightarrow 0 \quad \text{as } m \rightarrow +\infty \quad (3.35)$$

by the compact embedding of  $L^q(\Omega;\mathbb{R}^l)$  into  $W^{-1,q}(\Omega;\mathbb{R}^l)$ . Finally, the third term in the right-hand side of (3.33) satisfies

$$\sum_{i=1}^N \frac{\partial A^i(x)}{\partial x_i} z_m^r(x) = \sum_{i=1}^N \frac{\partial A^i(x)}{\partial x_i} \varphi(x)w\left(\frac{m(x-x_0)}{r}\right),$$

which again converges to zero weakly in  $L^q(\Omega;\mathbb{R}^l)$ , as  $m \rightarrow +\infty$ , owing again to (3.26) and the Riemann-Lebesgue lemma. Therefore,

$$\left\| \sum_{i=1}^N \frac{\partial A^i(x)}{\partial x_i} z_m^r(x) \right\|_{W^{-1,q}(\Omega;\mathbb{R}^l)} \rightarrow 0 \quad \text{as } m \rightarrow +\infty. \quad (3.36)$$

Claim (3.32) follows by combining (3.34)–(3.36).

Consider the maps

$$v_m^r := P_\eta z_m^r,$$

where  $P_\eta$  is the projection operator introduced in (2.3). By Proposition 2.2 we have

$$\|v_m^r\|_{L^q(Q(x_0,r);\mathbb{R}^d)} \leq C \|z_m^r\|_{L^q(\Omega;\mathbb{R}^d)}, \quad (3.37)$$

$$\|v_m^r\|_{W^{-1,q}(Q(x_0,r);\mathbb{R}^d)} \leq C \|z_m^r\|_{W^{-1,q}(\Omega;\mathbb{R}^d)}, \quad (3.38)$$

$$\|\mathcal{A}_\eta v_m^r\|_{W^{-1,q}(Q(x_0,r);\mathbb{R}^l)} \leq C \|z_m^r\|_{W^{-1,q}(\Omega;\mathbb{R}^d)}, \quad (3.39)$$

$$\|v_m^r - z_m^r\|_{L^q(Q(x_0,r);\mathbb{R}^d)} \leq C (\|\mathcal{A}_\eta z_m^r\|_{W^{-1,q}(\Omega;\mathbb{R}^l)} + \|z_m^r\|_{W^{-1,q}(\Omega;\mathbb{R}^d)}). \quad (3.40)$$

By (3.31) and (3.37), the sequence  $\{v_m^r\}$  is uniformly bounded in  $L^q(Q(x_0,r);\mathbb{R}^d)$ . Thus, there exists a map  $v^r \in L^q(Q(x_0,r);\mathbb{R}^d)$  such that, up to the extraction of a (not relabelled) subsequence,

$$v_m^r \rightharpoonup v^r \quad \text{weakly in } L^q(Q(x_0,r);\mathbb{R}^d) \quad (3.41)$$

as  $m \rightarrow +\infty$ . Again by (3.31), and by the compact embedding of  $L^q$  into  $W^{-1,q}$ , we deduce that

$$z_m^r \rightarrow 0 \quad \text{strongly in } W^{-1,q}(\Omega;\mathbb{R}^d) \quad (3.42)$$

as  $m \rightarrow +\infty$ . Therefore, by combining (3.38) and (3.41), we conclude that

$$v_m^r \rightharpoonup 0 \quad \text{weakly in } L^q(Q(x_0,r);\mathbb{R}^d)$$

as  $m \rightarrow +\infty$ , and the convergence holds for the entire sequence. Additionally, by (3.28), (3.39), and (3.42), we obtain

$$\mathcal{A}v_m^r = \mathcal{A}_\eta v_m^r \rightarrow 0 \quad \text{strongly in } W^{-1,q}(Q(x_0, r); \mathbb{R}^l)$$

as  $m \rightarrow +\infty$ . Finally, by (3.32), (3.40), and (3.42), there holds

$$\lim_{r \rightarrow 0} \lim_{m \rightarrow +\infty} r^{-\frac{N}{q}} \|v_m^r - z_m^r\|_{L^q(Q(x_0, r); \mathbb{R}^d)} = 0. \quad (3.43)$$

We recall that, since  $x_0$  satisfies (3.15), Step 1 yields

$$\frac{d\mathcal{I}(u, v)}{d\mathcal{L}^N}(x_0) = \lim_{r \rightarrow 0^+} \frac{\mathcal{I}((u, v); Q(x_0, r))}{r^N} \leq \liminf_{r \rightarrow 0^+} \liminf_{m \rightarrow +\infty} \frac{1}{r^N} \int_{Q(x_0, r)} f(x, u(x), v(x) + v_m^r(x)) dx. \quad (3.44)$$

We claim that

$$\frac{d\mathcal{I}(u, v)}{d\mathcal{L}^N}(x_0) = \lim_{r \rightarrow 0^+} \frac{\mathcal{I}((u, v); Q(x_0, r))}{r^N} \leq \liminf_{r \rightarrow 0^+} \liminf_{m \rightarrow +\infty} \frac{1}{r^N} \int_{Q(x_0, r)} g(x, v(x) + z_m^r(x)) dx, \quad (3.45)$$

where  $g$  is the function introduced in Step 3. Indeed, for every  $r \in \mathbb{R}$ , consider the function  $g^r : Q \times \mathbb{R}^d \rightarrow [0, +\infty)$  defined as

$$g^r(y, \xi) := g(x_0 + ry, \xi) \quad \text{for every } y \in Q, \xi \in \mathbb{R}^d.$$

Since  $x_0 \in \omega$ , by (3.13) there exists  $K_j$  such that  $x_0 \in K_j$ . In particular, this yields the existence of  $r_0 > 0$  such that for  $r \leq r_0$ , the maps  $g^r$  are continuous on  $Q \times \mathbb{R}^d$ , and the family  $\{g^r(y, \cdot)\}$  is equicontinuous in  $\mathbb{R}^d$ , uniformly with respect to  $y$ . A change of variables yields

$$\begin{aligned} & \left| \frac{1}{r^N} \int_{Q(x_0, r)} f(x, u(x), v(x) + v_m^r(x)) dx - \int_{Q(x_0, r)} f(x, u(x), v(x) + z_m^r(x)) dx \right| \\ &= \left| \int_Q g^r(y, v(x_0 + ry) + v_m^r(x_0 + ry)) dy - \int_Q g^r(y, v(x_0 + ry) + z_m^r(x_0 + ry)) dy \right|. \end{aligned}$$

On the other hand, by (3.43) we have

$$\lim_{r \rightarrow 0} \lim_{m \rightarrow +\infty} \|z_m^r(x_0 + r \cdot) - v_m^r(x_0 + r \cdot)\|_{L^q(Q; \mathbb{R}^d)} = \lim_{r \rightarrow 0} \lim_{m \rightarrow +\infty} r^{-\frac{N}{q}} \|z_m^r - v_m^r\|_{L^q(Q(x_0, r); \mathbb{R}^d)} = 0.$$

Therefore, by a diagonal procedure we extract a subsequence  $\{m_r\}$  such that

$$\begin{aligned} & \limsup_{r \rightarrow 0} \limsup_{m \rightarrow +\infty} \left| \int_Q g^r(y, v(x_0 + ry) + v_m^r(x_0 + ry)) dy - \int_Q g^r(y, v(x_0 + ry) + z_m^r(x_0 + ry)) dy \right| \\ &= \lim_{r \rightarrow 0} \left| \int_Q g^r(y, v(x_0 + ry) + v_{m_r}^r(x_0 + ry)) dy - \int_Q g^r(y, v(x_0 + ry) + z_{m_r}^r(x_0 + ry)) dy \right|, \end{aligned} \quad (3.46)$$

and

$$z_{m_r}^r(x_0 + r \cdot) - v_{m_r}^r(x_0 + r \cdot) \rightarrow 0 \quad \text{strongly in } L^q(Q; \mathbb{R}^d).$$

In view of (3.14), (3.30) and the Riemann-Lebesgue lemma, the sequence  $\{v(x_0 + r \cdot) + z_{m_r}^r(x_0 + r \cdot)\}$  is  $q$ -equiintegrable in  $Q$ . Hence, by (H) we are under the assumptions of Proposition 3.2, and we conclude that

$$\lim_{r \rightarrow 0} \left| \int_Q g^r(y, v(x_0 + ry) + v_{m_r}^r(x_0 + ry)) dy - \int_Q g^r(y, v(x_0 + ry) + z_{m_r}^r(x_0 + ry)) dy \right| = 0. \quad (3.47)$$

Claim (3.45) follows by combining (3.46) with (3.47).

Arguing as in [6, Proof of Lemma 3.5], for every  $x_0 \in \omega$  (where  $\omega$  is the set defined in (3.13)) we have

$$\begin{aligned} & \liminf_{r \rightarrow 0^+} \liminf_{m \rightarrow +\infty} \frac{1}{r^N} \int_{Q(x_0, r)} f(x, u(x), v(x) + z_m^r(x)) dx \\ & \leq \liminf_{r \rightarrow 0^+} \liminf_{m \rightarrow +\infty} \frac{1}{r^N} \int_{Q(x_0, r)} f(x_0, u(x_0), v(x_0) + z_m^r(x)) dx, \end{aligned}$$

hence by (3.45) we deduce that

$$\frac{d\mathcal{I}(u, v)}{d\mathcal{L}^N}(x_0) \leq \liminf_{r \rightarrow 0^+} \liminf_{m \rightarrow +\infty} \frac{1}{r^N} \int_{Q(x_0, r)} f(x_0, u(x_0), v(x_0) + z_m^r(x)) dx.$$

By (3.30) we obtain

$$\begin{aligned} \frac{d\mathcal{I}(u, v)}{d\mathcal{L}^N}(x_0) & \leq \liminf_{r \rightarrow 0^+} \liminf_{m \rightarrow +\infty} \frac{1}{r^N} \int_{Q(x_0, r)} f(x_0, u(x_0), v(x_0) + z_m^r(x)) dx \\ & \leq \liminf_{r \rightarrow 0^+} \liminf_{m \rightarrow +\infty} \frac{1}{r^N} \left\{ \int_{Q(x_0, r)} f\left(x_0, u(x_0), v(x_0) + w\left(\frac{m(x-x_0)}{r}\right)\right) dx \right. \\ & \quad \left. + \int_{Q(x_0, r) \cap \{\varphi \neq 1\}} f\left(x_0, u(x_0), v(x_0) + \varphi(x)w\left(\frac{m(x-x_0)}{r}\right)\right) dx \right\}. \end{aligned}$$

The growth assumption (H) and estimate (3.29) yield

$$\begin{aligned} & \int_{Q(x_0, r) \cap \{\varphi \neq 1\}} f\left(x_0, u(x_0), v(x_0) + \varphi(x)w\left(\frac{m(x-x_0)}{r}\right)\right) dx \\ & \leq C \int_{Q(x_0, r) \cap \{\varphi \neq 1\}} \left(1 + \left|w\left(\frac{m(x-x_0)}{r}\right)\right|^q\right) dx \\ & \leq C(1 + \|w\|_{L^\infty(\mathbb{R}^N; \mathbb{R}^d)}^q) \mathcal{L}^N(Q(x_0, r) \cap \{\varphi \neq 1\}) \leq C\mu r^N. \end{aligned} \tag{3.48}$$

Thus, by (3.48), the periodicity of  $w$ , and Riemann-Lebesgue lemma, we deduce

$$\begin{aligned} \frac{d\mathcal{I}(u, v)}{d\mathcal{L}^N}(x_0) & \leq C\mu + \liminf_{r \rightarrow 0^+} \liminf_{m \rightarrow +\infty} \frac{1}{r^N} \int_{Q(x_0, r)} f\left(x_0, u(x_0), v(x_0) + w\left(\frac{m(x-x_0)}{r}\right)\right) dx \\ & = C\mu + \liminf_{m \rightarrow +\infty} \int_Q f(x_0, u(x_0), v(x_0) + w(my)) dy \\ & = C\mu + \int_Q f(x_0, u(x_0), v(x_0) + w(y)) dy \\ & \leq C\mu + Q_{\mathcal{A}(x_0)} f(x_0, u(x_0), v(x_0)), \end{aligned}$$

where the last inequality is due to (3.27). Letting  $\mu \rightarrow 0^+$  we conclude (3.25).  $\square$

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