

Average-case analysis  
of some approximation  
algorithms for the  
metric uncapacitated  
facility location problem.

Abraham Flaxman, CMU

Alan Frieze, CMU

Juan Vera, CMU

# Algorithmic goals in combinatorial optimization:

- (1) In polynomial time,
- (2) for every problem instance
- (3) find an optimal solution.

Two out of three = research area:

Faster exponential time algorithms

~~(1) In polynomial time,~~

(2) for every problem instance

(3) find an optimal solution.

Average-case analysis of algorithms

(1) In polynomial time,

(2) for ~~every~~ <sup>"most"</sup> problem instance

(3) find an optimal solution.

The most popular two out of three

## Approximation algorithms

- (1) In polynomial time,
- (2) for every problem instance
- (3) find ~~an optimal solution.~~  
a solution within a known factor of optimal

This talk: Two two-out-of-threes

We will take

approximation algorithms

and see how good the apx ratio  
is in the

average-case analysis  
setting.

# Facility location problem

Set  $C$  of cities

Set  $F$  of facilities

opening costs:  $f_i$  for facility  $i$

connection costs:  $d_{ij}$  for city  $j$  to be served by facility  $i$

Goal: Find set of facilities to open so that total cost is minimized.

# Approx. fac. loc. state-of-the-art

- Connection costs unrestricted:

$O(\log n)$  [Hochbaum]

- Connection costs form a metric

1.52- $\text{apx}$  exists [Mahdian, Ye, Zhang]

1.46- $\text{apx}$  does not [Guha, Khuller]

(unless  $NP \subseteq PTIME(n^{O(\log n)})$ )

Brief description of an alg.

(1.86- $\alpha$ X; similar to & simpler than  
1.52- $\alpha$ X, [Jain, Mahdian, Markakis,  
Saberi, Vazirani])

Init: Time  $t=0$ . Funds  $\delta_i=0$  for each city.  
Set of unconnected cities  $U=G$



Brief description of an alg.

Init: Time  $t=0$ . Funds  $\delta_i=0$  for each city.

Set of unconnected cities  $U=G$

While  $U \neq \{\}$ :

For every  $i \in U$ , increase  $\delta_i$  simultaneously  
until: 1)  $\delta_i = d_{ij}$  for some open facility  $j$ .

or 2)  $\sum_{i \in U} (\delta_i - d_{ij})^+ = f_j$  for some  
unopened facility  $j$ .

Take appropriate action.

Random instances (random how?)

Not  $d_{i,j} \in [0,1]$  uniformly at random

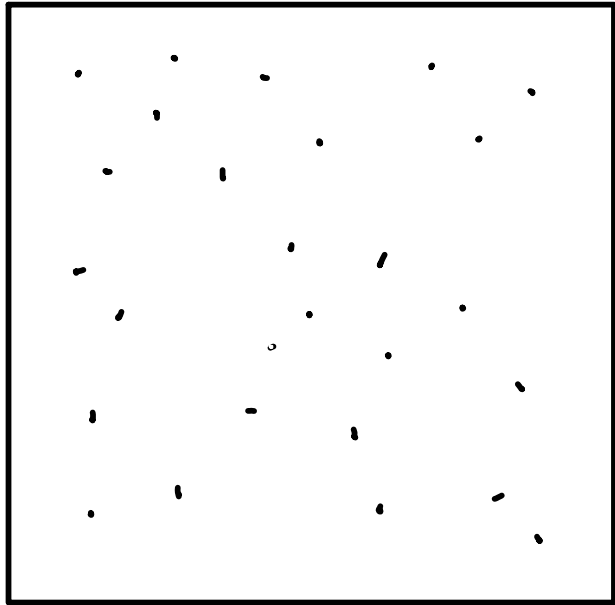
Geometric instances:

Place  $n$  cities u.a.r. in  $[0,1]^2$ .

Keep it simple:

- each city is a candidate facility
- opening costs all same value,  $f$
- connection costs all given by  $l_\infty$ -norm

# Random instances

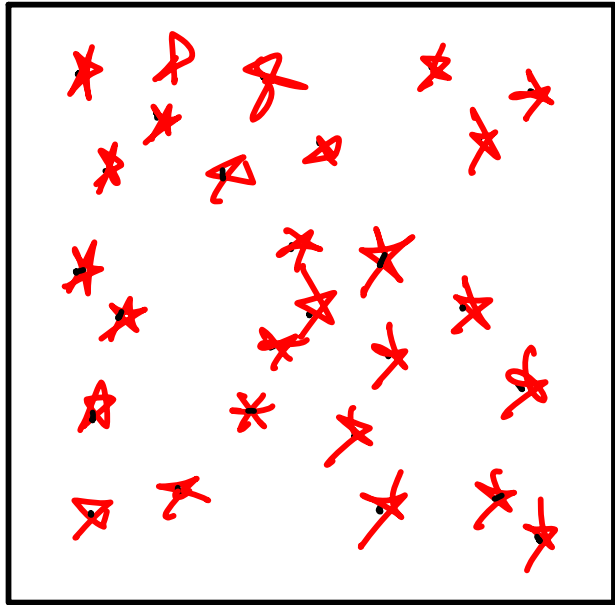


The opening cost  $f$  controls solution structure.

If  $f = 0$  then every city opens as a fac.

If  $f > n$  then just one city opens as a facility — the one most central.

# Random instances

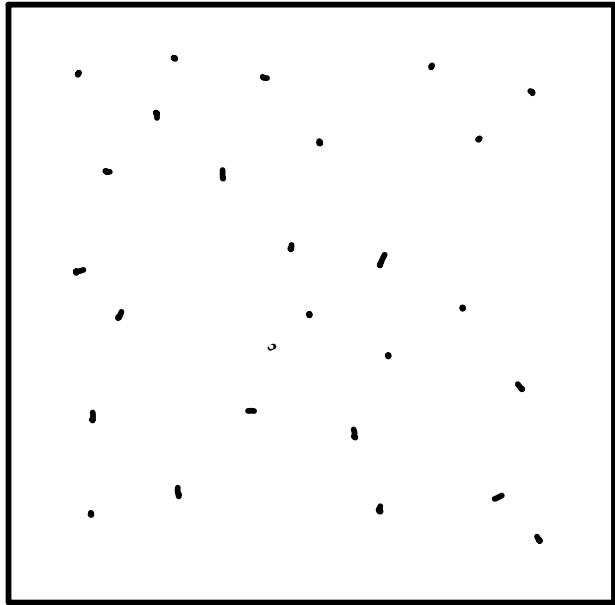


The opening cost  $f$  controls solution structure.

If  $f = 0$  then every city opens as a fac.

If  $f > n$  then just one city opens as a facility — the one most central.

# Random instances

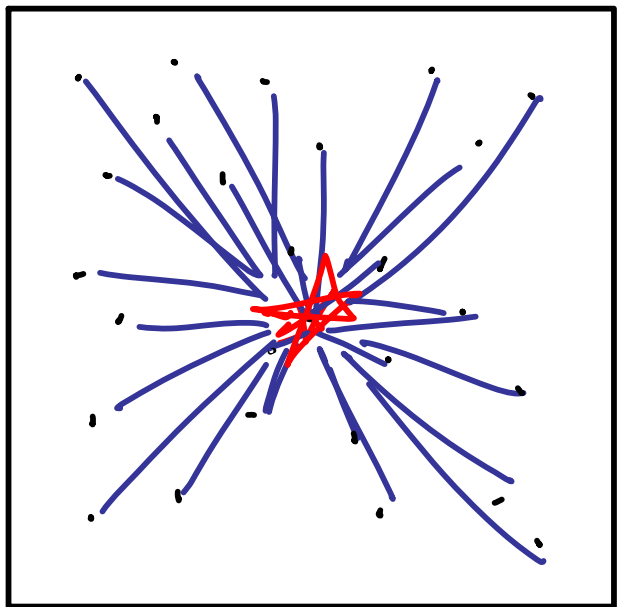


The opening cost  $f$  controls solution structure.

If  $f = 0$  then every city opens as a fac.

If  $f > n$  then just one city opens as a facility — the one most central.

# Random instances



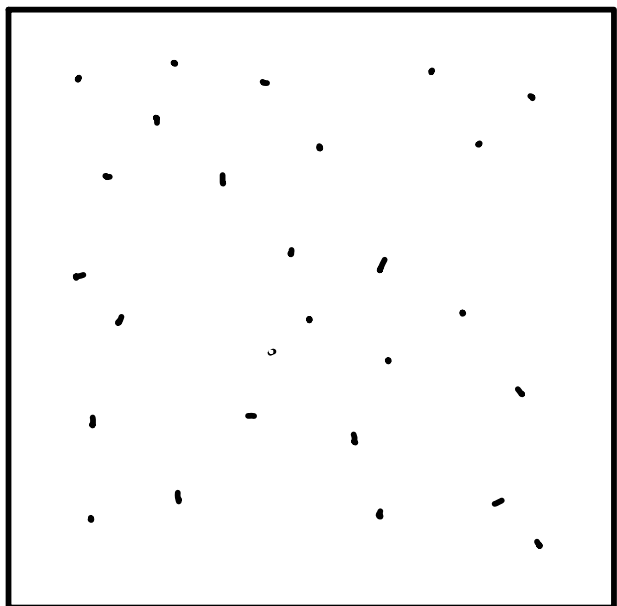
The opening cost  $f$  controls solution structure.

If  $f = 0$  then every city opens as a fac.

If  $f > n$  then just one city opens as a facility — the one most central.

# Random instances

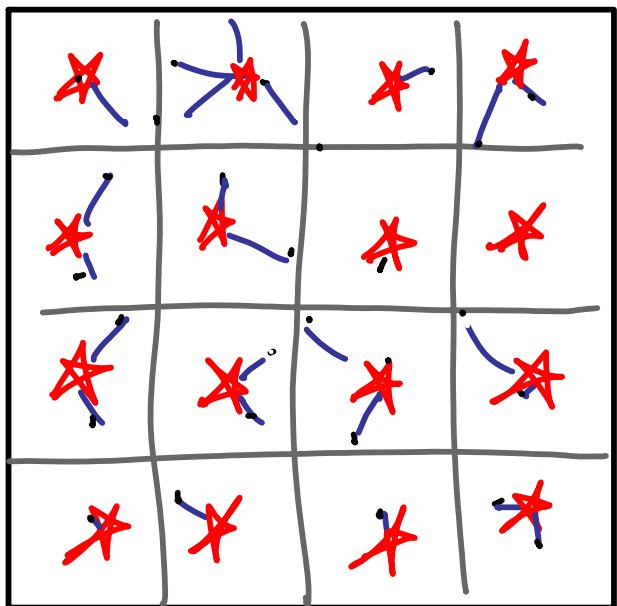
If  $\frac{(\log n)^{3/2}}{n} \ll f \ll n$



then  $\text{OPT} \sim \frac{1}{2} \alpha n$

where  $\alpha = \sqrt[3]{\frac{6f}{n}}$ .

# Random instances



If  $\frac{(\log n)^{3/2}}{n} \ll f \ll n$

then  $\text{OPT} \sim \frac{1}{2} \alpha n$

where  $\alpha = \sqrt[3]{\frac{6f}{n}}$ .

Pf: Partition square

into  $\alpha \times \alpha$  cells. Open facility near center of each cell. (Upper bound)

(Lower bound) Construct dual soln which is feasible whp.



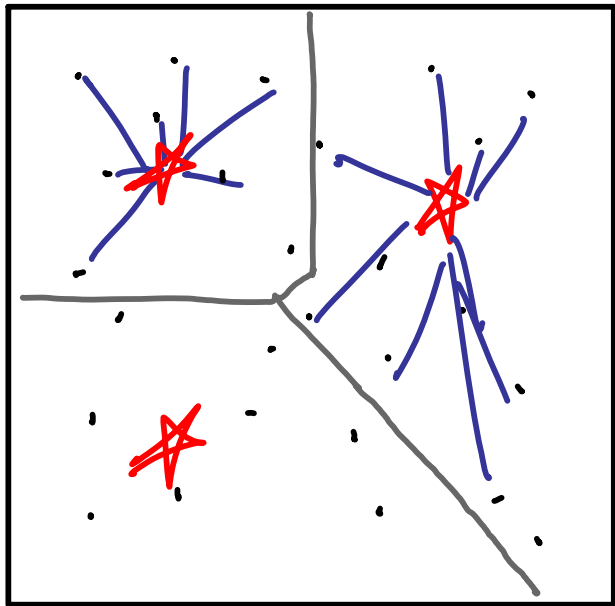
Main Theorem If  $\frac{(\log n)^{3/2}}{n} \ll f \ll n$

then whp,  $APX > (1+\epsilon)OPT$

Pf: 1) Show that in any near-optimal soln, most of the Voronoi cells of open facilities must be close to square, close to centered, and have area approximately  $(\frac{1}{\alpha})^2$ .

2) Show  $APX$  does not do this.

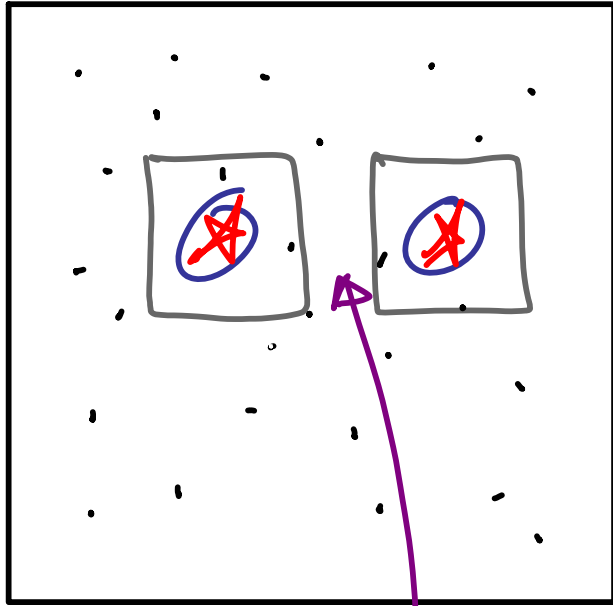
# Proof of main theorem, part 1



A sort of isoperimetric inequality says the connection cost per facility is minimized when the Voronoi cell is square.

We quantize to a fine grid and prove a quantitative version of this.

# Proof of main theorem, part 2



Each approx will open facilities at (dependent) random locations in the square.

Greedy can't fix Voronoi cells after this happens, which is the case often.

# Summary

Whp,  $APX > (1 + \epsilon) OPT$

## Future work

- Tighter bounds on  $\frac{APX}{OPT}$   
(finding it exactly is probably hard)
- See how other approx. algs do  
(for starters, analyze "Solve LP-Relax and round independently")